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# Innovative Machine Learning Applications for Cryptography

J. Anitha Ruth, G.V. Vijayalakshmi, P. Visalakshi, R. Uma, and A. Meenakshi



### Innovative Machine Learning Applications for Cryptography

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A volume in the Advances in Computational Intelligence and Robotics (ACIR) Book Series Book Series

Published in the United States of America by IGI Global (an imprint of IGI Global) 701 E. Chocolate Avenue Hershey PA 17033 Tel: 717-533-8845 Fax: 717-533-8661 E-mail: cust@igi-global.com Web site: http://www.igi-global.com/reference

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Library of Congress Cataloging-in-Publication Data

CIP Pending ISBN: 979-8-3693-1642-9 EISBN: 979-8-3693-1643-6

This book is published under the IGI Global book series Advances in Computational Intelligence and Robotics (ACIR) (ISSN: 2327-0411 eISSN: 2327-042X)

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Advanced Metaheuristic Methods in Big Data Retrieval and Analytics

### Preface

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Machine learning stands at the forefront of technological advancements, offering unparalleled capabilities to analyze data trends and fortify the security of encryption and decryption systems. This reference book delves into the symbiotic relationship between machine learning, data analysis, and the intricate domain of cryptography.

Machine learning's prowess in constructing analytical models, automating processes, and adapting to vast datasets transforms the landscape of encryption and decryption. The association of machine learning approaches, such as boosting and mutual learning, with cryptosystems enables the generation of private cryptographic keys over public and potentially vulnerable channels. The inherent characteristics of machine learning approaches pave the way for the development of safer and more effective encryption and decryption methods, potentially mitigating the impact of human errors that could compromise organizational security.

The primary objective of this comprehensive reference book is to provide an extensive overview of recent theoretical and empirical work at the intersection of machine learning and cryptography. Readers will find relevant theoretical frameworks and the latest empirical research findings, shedding light on how machine learning can bolster encryption and decryption procedures by identifying and addressing data patterns that may expose vulnerabilities.

Addressing a diverse audience of students, professors, engineers, and scientists involved in cryptography and machine learning, this book offers valuable insights into the cross-pollination of ideas between these domains. The content explores realized concepts and untapped potentials, providing a rich resource for specialists, academics, and students working in cryptography, machine learning, and network security.

The thematic exploration spans various crucial topics within the field, including Encryption, Algorithm, Security, Elliptic Curve Cryptography, Cryptanalysis, Pairing-based Cryptography, Artificial Intelligence, Machine Learning Authentication Stream Cinher Message Authentication Homomorphism Encryption Digital Signature Algorithm, Network Security, Quantum Cryptography, Biological Cryptography, and Neural Cryptography.

This reference book aspires to be a cornerstone for advancing knowledge in the intricate intersection of machine learning and cryptography, fostering innovation and understanding among professionals and enthusiasts alike.

### **ORGANIZATION OF THE BOOK**

#### Chapter 1: Introduction to Modern Cryptography and Machine Learning

Authored by Preeti Mariam Mathews, Anjali Sandeep Gaikwad, Mathu Uthaman, Sreelekshmi B, and Dankan Gowda V, this chapter navigates the dynamic landscape of digital currencies within the realms of cryptography and machine learning. The exploration begins with the practical application of PMBeast-1 and extends to the intricacies of Bitcoin Cryptography, emphasizing the pivotal role of information privacy. The chapter spans the historical evolution of cryptography, highlighting recent advances in symmetric and asymmetric encryption, public-key infrastructure (PKI), and cryptographic hashes. The authors draw connections between the age-old practice of cryptography and modern machine-like learning, envisioning next-generation machines adept at understanding the nuances of machine learning to fortify encryption systems.

## Chapter 2: Future Outlook Synergies Between Advanced AI and Cryptographic Research

Dankan Gowda V, Joohi Garg, Shaifali Garg, KDV Prasad, and Sampathirao Suneetha delve into the rapidly progressing domains of artificial intelligence (AI) and cryptography. This chapter explores the surprising symbiosis between AI and cryptographic research, envisioning a future where AI not only studies but also designs cryptographic systems. The narrative probes into the challenges and possibilities at the intersection of these fields, contemplating the impact of quantum computing and neuromorphic technology. The authors shed light on how cryptographic methods contribute to maintaining the openness, safety, and legal privacy regulations of AI models, ultimately reshaping the frontier of internet security within the realm of artificial intelligence.

### Chapter 3: Artificial Intelligence Supported Bio Cryptography Protection

Authored by Sriprasadh K, this chapter introduces the convergence of cryptography, artificial intelligence, and bio-inspired approaches. Focusing on the protection of secret messages or information, the chapter explores the integration of artificial intelligence to support decision-making in various domains. The innovative concept of bio-cryptography, utilizing human unique features as cryptographic keys, is discussed. The author highlights the role of artificial intelligence in linking multiple bio-cryptographic keys and enhancing security services through accurate authentication. This chapter presents a forward-looking perspective on leveraging artificial intelligence to fortify bio-inspired cryptographic methods.

#### Chapter 4: An Adaptive Cryptography Using OpenAl API: Dynamic Key Management Using Self-Learning Al

Valarmathi R, R. Uma, P. Ramkumar, and Srivatsan Venkatesh contribute a chapter that focuses on the integration of adaptive cryptography with the OpenAI API. Addressing the evolving landscape of security functions, the chapter highlights the vulnerabilities introduced by optimized penetration tools. The authors advocate for the adoption of Artificial Intelligence Support, particularly leveraging the OpenAI API, to upgrade password hash automation and enhance dynamic key management. The chapter underscores the need for adapting cryptographic techniques to counter evolving cyber threats and explores the potential of self-learning AI in key management processes.

## Chapter 5: Optimized Deep Learning-Based Intrusion Detection Using WOA With LightGBM

Authored by Jayashree R and Venkata J, this chapter presents an optimized deep learning model for intrusion detection, utilizing the Whale Optimization Algorithm (WOA) with Light Gradient Boosting Machine (LightGBM) algorithm. Focusing on the challenges in cyber defense, the authors propose a model that preprocesses network data with feature selection and dimensionality reduction methods. The WOA-LightGBM algorithm is then employed for training, demonstrating superior performance compared to benchmarking algorithms. This chapter provides a comprehensive approach to enhancing intrusion detection through the integration of deep learning and optimization techniques, promising improved accuracy and efficiency.

#### Chapter 6: A Survey of Machine Learning and Cryptography Algorithms

INDIRA M, Mohanasundaram K S, and SARANYA M present a survey chapter that delves into the intersection of machine learning and encryption, shedding light on various algorithms and techniques. The authors provide an overview of machine learning algorithms and their applications in cryptography, emphasizing privacy-preserving machine learning, secure authentication, and anomaly detection. The survey captures the essence of the paradigm shift in data privacy and security, showcasing advancements such as Secure Multi-Party Computation (SMPC) and Homomorphic Encryption. The chapter serves as a valuable resource for understanding the contemporary landscape of machine learning techniques in cryptography.

#### Chapter 7: Quantum Cryptography: Algorithms and Applications

In this chapter, R Thenmozhi, Vetriselvi D and A Arokiaraj Jovith explore the fascinating realm of Quantum Cryptography. The authors delve into the foundational principles of quantum physics employed in encrypting and transporting data in an unpackable manner. The chapter particularly focuses on Quantum Key Distribution (QKD), a technique for creating and exchanging private keys over quantum channels. The authors emphasize the critical role of quantum cryptography in safeguarding encrypted data against potential threats from quantum computers. The chapter provides insights into various algorithms and applications within the context of quantum cryptography.

#### Chapter 8: Minimizing Data Loss by Encrypting Brake-Light Images and Avoiding Rear-End Collisions Using Artificial Neural Network

Authored by Abirami MS and Manoj Kushwaha, this chapter addresses road safety concerns related to rear-end collisions. The authors propose an encrypted artificial neural network (ANN) method to prevent

such collisions, utilizing encryption techniques and ANN algorithms to recognize brake lights in real-time. The chapter emphasizes the security aspects of encryption, ensuring that information cannot be deciphered without the appropriate key. The proposed ANN-based model outperforms other algorithms in accuracy, providing further alerts to drivers and presenting a secure approach to collision avoidance. The work introduces a novel method for applying encryption in road safety scenarios.

## Chapter 9: Machine Learning Techniques to Predict the Inputs in Symmetric Encryption Algorithm

Sivasakthi M and Meenakshi A contribute a chapter that explores the application of machine learning algorithms to predict inputs in symmetric encryption algorithms. Focusing on the challenge of reverse engineering hash functions, the authors use machine learning to learn and predict hash function outputs. The chapter details experiments involving the DES symmetric encryption function and a neural network trained to identify the first bit of the input based on the output value. The proposed approach presents an innovative perspective on leveraging machine learning for understanding and predicting inputs in encryption algorithms.

## Chapter 10: Homomorphic Encryption and Machine Learning in the Encrypted Domain

Neethu Krishna,Kommisetti Murthy Raju, Dankan Gowda V, G. Arun, and Sampathirao Suneetha delve into the intricate world of homomorphic encryption (HE) and its synergies with machine learning. The chapter provides an in-depth exploration of HE, its fundamental theories, and implications for machine learning in the encrypted domain. With a focus on enhancing privacy and security in machine learning

## CHAPTER 1

### Introduction to Modern Cryptography and Machine Learning

Preeti Mariam Mathews MIT Mahaguru Institute of Technology, India

> Anjali Sandeep Gaikwad Bharati Vidyapeeth, India

Mathu Uthaman Mahaguru Institute of Technology, India

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#### ABSTRACT

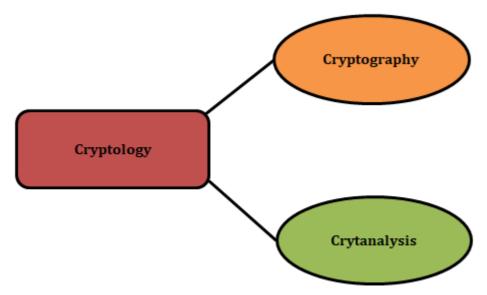
Cryptography and machine learning are part of mega-tech today. The whole of this chapter is about digital currencies. This is what will happen in the encryption world. First, the authors show how to use PMBeast-1 for something and then later on with bitcoin cryptography where information privacy is concerned. The objective of cryptography is to make data impossible for a human eye by encryption so that only someone in possession of the secret key can determine their length. Yet cryptography is ancient. But actually, it's only within the last few hundred years that their methods and purpose have completely changed. Later parts of this chapter review some recent advances in areas such as symmetric and asymmetric encryption, public-key infrastructure (PKI), and cryptographic hashes. In this way, information becomes one's tutor—machine-like learning. The only difference is that we want these next-generation machines to understand the process of machine learning so as to enhance encryption systems.

#### **1. INTRODUCTION**

In an era of technology so advancing by the nano-second that it is known as cutting edge only if you have a single letter hanging off it somewhere--the age itself seems to be mutating into a technical one. But among all this change two fields enjoy ascendancy over everything else; each field wields its power and potential according to its own particularity. The twin foundations that are transforming our digital world, machine learning (a technical subfield of artificial intelligence in which a computer does discoveries and creates new facts) lets the Internet learn from itself: cryptography is an age-old discipline for encrypting

information. Their convergence represents a nexus of innovation and transformation, with profound implications for the realm of cybersecurity and beyond. In this chapter, we will explore the vast landscape of machine learning and the complex web of contemporary encryption. Our mission is twofold: to unravel the essential concepts and techniques that underlie these fields and to illuminate the extraordinary synergy that emerges at their intersection.

Cryptography and Cryptanalysis are two subfields of cryptology, the field that studies cryptosystems generally (Figure 1). The art and science of cryptography come together to build cryptosystems, which provide strong protection for sensitive data (M. N. Reza, and M. Islam, 2021). This field revolves around the practical aspect of safeguarding digital data, involving the design and implementation of mechanisms based on mathematical algorithms. These mechanisms serve as the foundation for essential information security services, effectively forming a versatile toolkit for security applications. In parallel, Cryptanalysis serves as the complementary counterpart to Cryptography within the realm of cryptology. While cryptography generates ciphertext for secure transmission or storage, cryptanalysis is concerned with the analysis and potential decryption of these cryptographic systems. Cryptanalysis study cryptographic mechanisms with the aim of breaking them, revealing vulnerabilities, and identifying weaknesses (Kumar, Pullela SVVSR, & Chaturvedi, Abhay, 2023). Additionally, cryptanalysis plays a crucial role in the development of new cryptographic techniques by rigorously testing their security strengths and assessing their resilience against potential attacks. These two branches, cryptography and cryptanalysis, coexist in the ongoing quest for information security and encryption advancements.

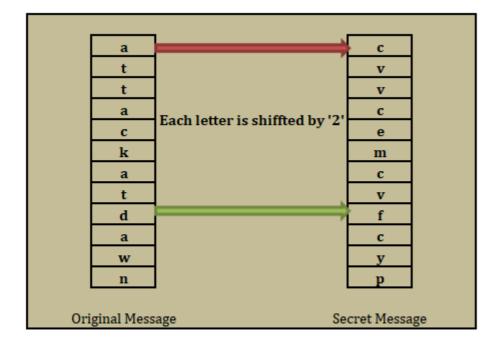


#### Figure 1. Context of cryptology

#### Cryptography: Safeguarding Secrets Through the Ages

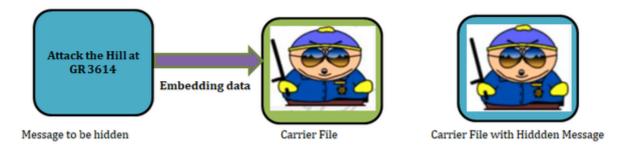
The ancient practice of cryptography, dating back millennia, has been a silent sentinel guarding secrets and sensitive information from prying eyes. From the rudimentary ciphers of ancient civilizations to the complex algorithms of today, cryptography has evolved in response to the ceaseless quest for secure communication- (Kumar, R and B. Ashreetha, 2023). We traverse the annals of history, tracing the evolution of cryptographic methods and their pivotal role in wars, diplomacy, and espionage. Yet, it is in the contemporary digital age that cryptography finds its most significant challenges and opportunities.

With the advent of symmetric and asymmetric encryption, the establishment of Public-Key Infrastructure (PKI), and the ubiquity of cryptographic hashing, we are compelled to explore the intricate mechanisms that safeguard our digital world. The inception of cryptography coincided with the development of written language. As human societies progressed, forming tribes, groups, and kingdoms, concepts such as power, warfare, dominance, and politics emerged. These ideas fueled the ever-changing landscape of encryption, which in turn fueled people's natural inclination to communicate discreetly with chosen receivers (<u>S. Tan, B. Knott and D. J. Wu, 2021</u>). The ancient Egyptians used hieroglyphs for encrypted communication some 4000 years ago, which is the first known application of cryptography. This code remained the exclusive knowledge of scribes entrusted with transmitting messages on behalf of kings. The use of basic mono-alphabetic substitution ciphers was subsequently adopted by intellectuals between 500 and 600 BC. The secret rule that allowed one to decode the original message was used as a replacement for letters inside the message using this approach (<u>P. H. Kumar and T. Samanta, 2022</u>). One well-known early Roman cryptography system was the Caesars Shift Cipher, which included moving a message's characters around by a certain number, usually three. To get the original message back, the receiver would have to move the characters backwards by the same amount (Figure 2).



#### Figure 2. Oldest cryptographic technique

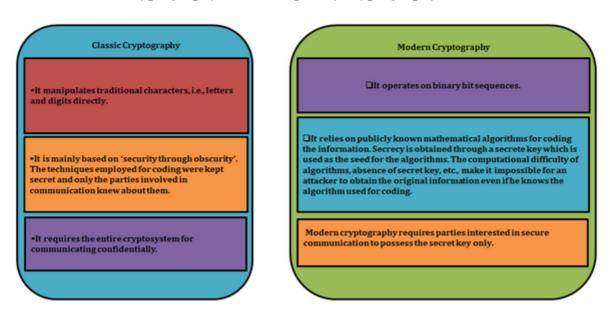
Figure 3. Steganography (invisible watermarking)



complexity. With this method, we want to make sure that no one outside of the intended recipients knows that sensitive information is hidden away(A.Singla, N. Sharma, 2022). Invisible watermarking is a prime example. By design, steganography ensures that the observer of the data is utterly oblivious to the fact that it contains secret information. Cryptography, on the other hand, usually allows an attacker to deduce that data is being communicated, albeit in a coded or jumbled form, since they may see the message in some form that is encrypted (Figure 3).

#### Machine Learning: The Quest for Intelligence in Data

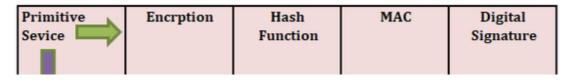
In parallel, machine learning stands as a testament to the potential of artificial intelligence. It bestows upon computers the remarkable ability to learn, adapt, and make informed decisions by discerning patterns in data. Our journey through machine learning begins with an exploration of its core principles, revealing the inherent capacity of algorithms to evolve and improve their performance through exposure to empirical data(<u>G. Karatas, O. Demir and O. K. Sahingoz, 2019</u>). From the dawn of neural networks to the resurgence of deep learning and the dawn of reinforcement learning, machine learning has redefined industries, from healthcare and finance to transportation and entertainment. We shine a spotlight on the extraordinary capabilities of these algorithms and their power to transform our world. The <u>figure 4</u> provides a visual comparison between classic cryptography and modern cryptography.



#### Figure 4. Traditional cryptography vs. contemporary cryptography

Classic cryptography represents traditional methods of securing information, often involving techniques such as substitution ciphers and basic encryption (A. Sharma, K. S and M. R. Arun, 2022). Conversely, state-of-the-art cryptography incorporates sophisticated cryptographic algorithms and methodologies, such as digital signatures, secure communication protocols, and public-key cryptography. This figure illustrates the evolution and advancements in the field of cryptography over time.

#### Figure 5. Cryptography primitives



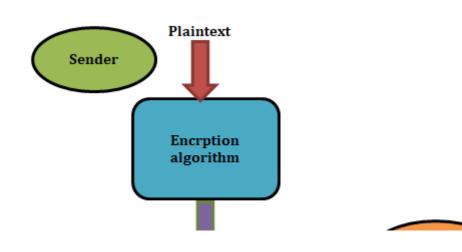
•				
Confidentiality	Yes	No	No	No
Integrity	No	Sometimes	Yes	Yes
Authentication	No	No	Yes	Yes
Non Reputation	No	No	Sometimes	Yes

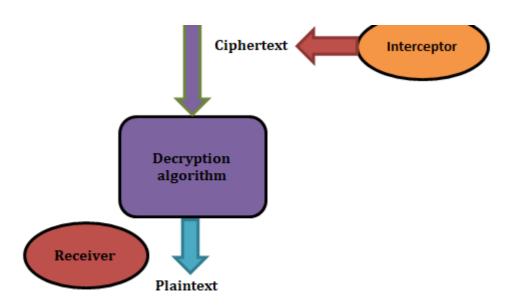
Figure 5 depicts the cryptography primitives, a set of tools and methods used in the area. By selectively exploiting these primitives, a number of crucial security services may be offered. Digital signatures, message authentication codes (MACs), hash functions and encryption are some of the most significant building blocks for cryptography. To find out more about these topics, as well as many others in modern-day information security theory and practice visit H million at www.etoilegenieecom G. <u>Govardhana</u> <u>Reddy & K. Raghavendra (2022)</u>, In most security environments, these components are a very important part of premises for data and sys-tem protection against jamming, tampering or removal.

#### The Confluence of Cryptography and Machine Learning

Where everything thickens, however is where the nexus of cryptography and machine learning lies. This cross-fertilization has created thrilling prospects in recent years, while at the same time raising tremendous obstacles. But because they are inevitably sources of sensitive data, learning machines require the use of encryption to shield such information from peeping eyes and hackers (A. Musa & A Mahmood, 2021).Conversely, machine learning holds the promise of enhancing cryptographic approaches and cryptanalysis through its aptitude for uncovering hidden patterns and vulnerabilities. As we venture further into the heart of this chapter, we will unveil the intricate dance between cryptography and machine learning. Their interplay promises not only to redefine cybersecurity but also to inspire innovation across a multitude of domains. Join us on this intellectual odyssey as we explore the intricacies of modern cryptography, the wonders of machine learning, and the captivating convergence of these two extraordinary disciplines. Together, they are reshaping the contours of our digital future.

#### Figure 6. Model of a cryptosystem



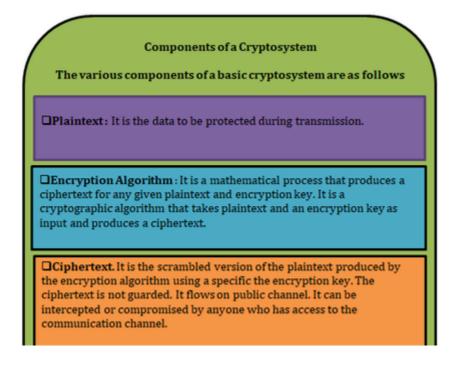


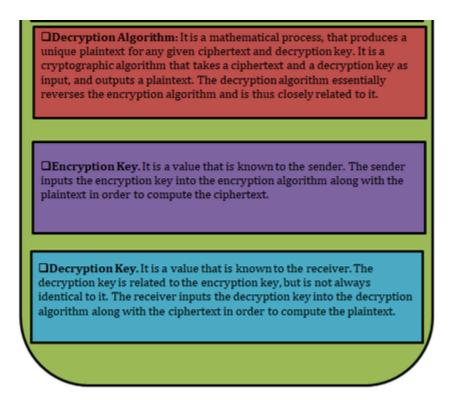
A cryptosystem, as shown in Figure 6, is a system that uses cryptographic methods and associated infrastructure to provide services related to information security. The main goal of a cryptosystem, which is often called a cipher system, is to guarantee that transmitted information remains secret (N. Hussain, A. A. J. Pazhani, and A. K. N, 2023). Here, a sender wants to deliver sensitive data to a recipient in a safe way, and the cryptosystem's architecture ensures that anyone trying to eavesdrop on the line of communication can't get their hands on the data. This model showcases the fundamental role of a cryptosystem in safeguarding the confidentiality of information during transmission.

#### 1.1 Understanding Cryptography

In the digital age, the importance of cryptography in safeguarding sensitive information cannot be overstated. This section offers an in-depth exploration of cryptography, its historical evolution, and the pivotal role it plays in modern-day cybersecurity. We also delve into the various types of cryptographic algorithms and elucidate how they function to ensure secure communication.

Figure 7. Components of a basic cryptosystem





In this <u>figure 7</u>, the essential elements that constitute a basic cryptosystem are illustrated. These components work in tandem to enable the secure transmission and protection of sensitive information.

#### 1.2 A Journey Through History

Cryptography is a practice with an ancient lineage, and as the needs of human societies change it has changed its face many times. We start our story at the earliest days, when civilizations such as those of Egypt and Greece used simple encryption methods to discourage people from meddling with confidential messages. Cryptography has always been used covertly in war, diplomacy and espionage (Shivashankar & S. Mehta 2016). Julius Caesar, for example, used the famous Caesar cipher to encrypt his private correspondence. In fact, the real breakthrough in terms of cryptography occurred during World War II when mechanical and electromechanical ciphering machines like the Enigma machine appeared. These devices posed unprecedented difficulties for Allied codebreakers to overcome.

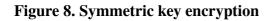
#### 1.3 Modern Cryptography

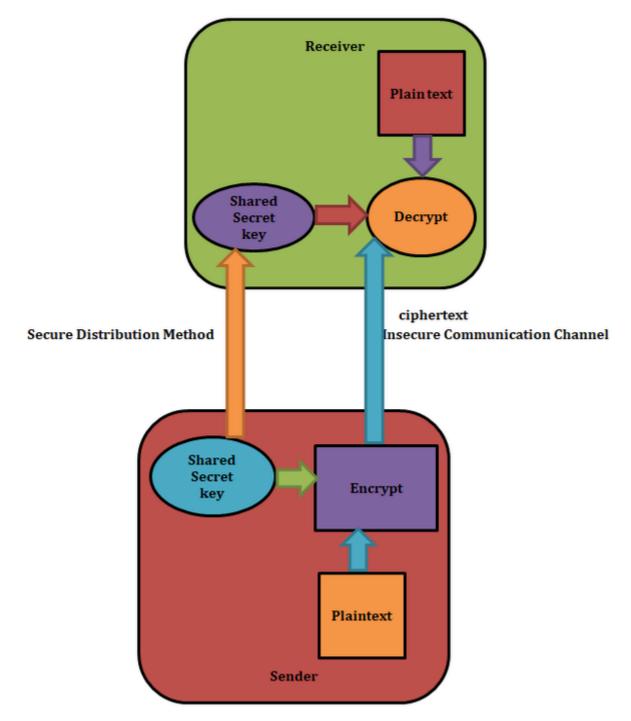
The cryptographic scene has changed drastically in the last few decades. The world of cryptography now includes both symmetric and asymmetric encryption techniques, each designed to suit a different environment. With asymmetric encryption, one key is private and the other public; with symmetric encryption there is only a single secret key for both processes (L. R. Knudsen och J. E. Mathiassen 2000). We go into the specifics of how symmetric encryption techniques, such as Advanced Encryption Standard (AES), use a shared secret key to protect data. However, asymmetric encryption schemes use the mathematical properties of large prime numbers to permit safe communication free from a common secret. One such algorithm is RSA.

#### 1.4 Public-Key Infrastructure (PKI)

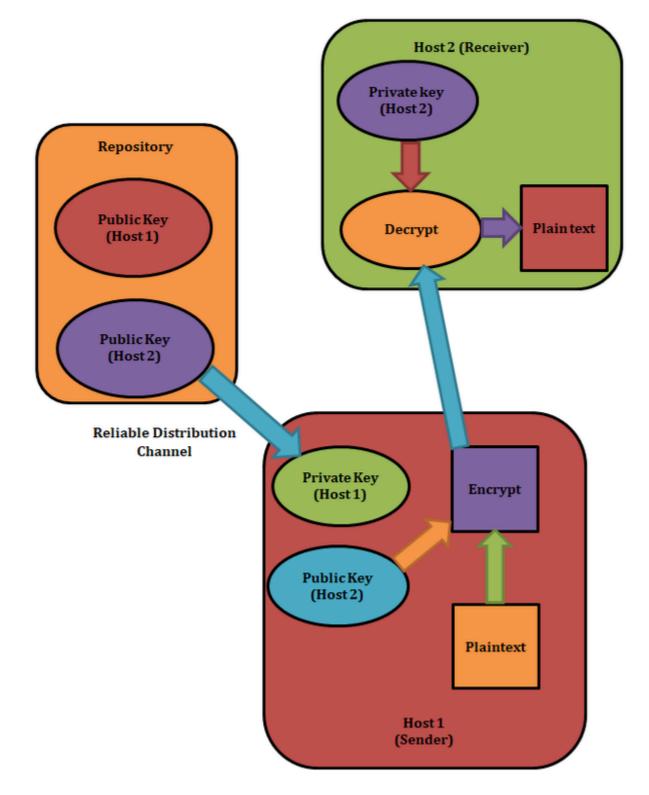
Public-Key Infrastructure (PKI) is a framework for secure electronic communication and digital identity

verification that forms part of modern cryptography. **PKI** makes use of digital certificates, which pair public keys with people or institutions. We examine the inner workings of PKI, and how it serves as a foundation for online commerce.





This <u>figure 8</u> illustrates Symmetric Key Encryption, a cryptographic process where the same key is employed for both encrypting and decrypting information. This area of study falls under the domain of symmetric cryptography and is also known as secret key cryptography(R. Beaulieu, D. Shors, J. Smith, 2018) . The transmitter and receiver in a symmetric cryptosystem must share a secret key in order for the system to function. Digital Decryption Standard (DES), Triple-DES (3DES), IDEA, and BLOWFISH are a few well-known symmetric key encryption algorithms that effectively secure data using symmetric key cryptography.



The cryptographic procedure known as Asymmetric Key Encryption, shown in <u>figure 9</u>, uses separate keys for encrypting and decryption. In asymmetric key encryption, two keys that are mathematically linked but distinct are employed, as opposed to one key in symmetric key encryption (<u>P. Gope, O. Millwood and B. Sikdar, 2022</u>). To solve the problem of secure communication without pre-shared secret keys, a method of encryption using asymmetric keys was created in the 1900s. Despite the keys being different, their mathematical relationship enables the retrieval of plaintext by decrypting ciphertext, offering enhanced security and flexibility in information exchange.

#### 1.5 Cryptographic Hash Functions

Cryptographic hashing is another integral aspect of modern cryptography. We elucidate the role of cryptographic hash functions, which transform variable-length input data into fixed-length strings of characters. These functions are used for data integrity check, password storage and digital signatures. This section presents a detailed review of cryptographic algorithms. It outlines the principles and existing applications in real life (Kumaraswamy & Gupta, Anand Kumar 2023). Readers will become familiar with how cryptography has developed from ancient practices to sophisticated mathematical algorithms, an essential pillar of modern cybersecurity.

### 2. THE NEED FOR MODERN CRYPTOGRAPHY

Internet use is proliferating, complexity abounds-in an age where information flows ceaselessly across the digital highways of the world, it's never been more important to ensure secure communications. This part explores how the need to protect our data and channels for communication is increasingly greater, pointing out that traditional cryptography doesn't have a solution. Beginning now with modern cryptography we can solve today's problems in security issues.

### 2.1 The Era of Digital Ubiquity

The digital age has brought about an unparalleled era of interdependence. Through online banking, ecommerce and telemedicine; to social networking--the internet has become part of our everyday life. But the digital ubiquity has also seen a breed of new threats emerge. Cyberattacks, data breaches and identity theft are now commonplace incidents backed by powerful forces that remind us how essential effective security systems have become.

### 2.2 The Limits of Traditional Cryptography

Traditional cryptographic methods, while still a solution to the intricacies of contemporary digital communication when they were first designed for use in this medium may indeed not remain so. Symmetric encryption makes use of shared secret keys (K.D.V., Gite, Pratik, Sivakumar 2023). Such a method requires the secure exchange of keys between communicating parties, which is another weak link exploited by perpetrators who constantly check for weaknesses.

The transmission and storage of large amounts of data are two obstacles that traditional cryptography has difficulty dealing with. The massive growth of data and the coming quantum threat mean a crisis for classical encryption. Our trusted time-hallowed cryptographic algorithms, which have served us well for decades will eventually be brought to their knees by the arrival of quantum computing.

### 2.3 Modern Cryptography: A Necessity

Modern cryptography has emerged as the necessary technology to burnish our digital ramparts. Its innovative technology and powerful encryption algorithms overcome the functional shortcomings of traditional methods.

A principal advantage of modern cryptography is the use of asymmetric encryption, which requires two different keys for encryption and decryption. This eliminates the need for safe key exchange, and solves a problem with symmetric encryption. ECC and RSA, prime examples of asymmetrical encryption in

practice. Moreover, with the application of cryptographic hashing and digital signatures modernized crypto can enhance data integrity and authentication (<u>S. Zhu & Y. Han 2021</u>). These methods are important in proving the authenticity of digital documents, and to keep data intact during transmission.

### 2.4 Quantum-Resistant Cryptography

Not only that, modern cryptography takes this one step further by including such quantum-resistant algorithms. In the post-quantum world our data will be safe because these encryption methods are immune to quantum computers 'calculating power. This is particularly important, since quantum computers (once they are realized in full) will have the power to break conventional cryptographic systems within seconds. This is a weakness in modern cryptography. It prepares us for the quantum age.

In summary, the digital age requires a change in paradigm with regard to security and communications. While they have a long and honored history, traditional cryptographic methods are inadequate for protecting our digital lives. The next generation of cryptography, featuring new attacks and tailored algorithms that can withstand the onslaught of quantum computers, becomes a must-have defense against rapidly changing dangers. In the course of this chapter, we will dive into these state-of-the art cryptographic techniques in detail and reveal their central place at regents junction between cryptography and machine learning.

### **3. COURSES IN MACHINE LEARNING**

Let us now enter the world of machine learning, where ways to teach computers how to learn and make judgments have dramatically changed. Many universities offer courses in machine learning these days, and anyone can emerge to wield data like a sword or shield. As a result, we will examine the essentials of supervised learning, unsupervised learning and reinforcement. Second, consider that machine data blood.

### 3.1 The Unveiling of Machine Learning

Artificial intelligence is concerned with thinking in new ways about problems and decision-making; one of its offshoots is machine learning. In other words, through a process of digging out patterns from the data--using what is found or changing to adapt as needed--and then based on precedent (a similar experience), making predictions and judgements, machine learning allows a computer to learn things all by itself. It converts data into actionable information and opens up an infinite new world to many fields.

### 3.2 The Trio of Learning Paradigms

Machine learning encompasses three primary learning paradigms, each with its own unique applications and characteristics:

Supervised Learning: Supervised learn ing is one of the methods in which labeled data (data that already has (\$ continue) The principle behind this algorithm is to map the input into an output. It is useful in image category classification, spam detection and translation.

Unsupervised Learning: The study of patterns and structures within unlabeled data is called unsupervised learning. For example, clustering similar data points and dimensionality reduction in large datasets are examples of applications that fit this paradigm.

**Reinforcement Learning**: Reinforcement learning takes inspiration from behavioral psychology, where agents learn to interact with an environment to maximize a reward signal. It finds applications in fields like robotics, gaming, and autonomous systems, enabling machines to learn and adapt through trial and error.

### 3.3 The Data Fueling Machine Learning

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At the heart of every machine learning endeavor lies a fundamental truth: data is the lifeblood of the process. The quality, quantity, and relevance of data directly impact the performance and accuracy of machine learning models. Machine learning algorithms rely on vast datasets to uncover patterns, make predictions, and optimize decision-making(<u>P. Pavankumar, N. K. Darwante, 2022</u>). The importance of data cannot be overstated. It serves as the raw material from which machine learning models extract knowledge. Through the careful collection, cleaning, and preprocessing of data, we empower these algorithms to distill insights, identify trends, and ultimately drive informed decision-making. In the absence of sufficient and high-quality data, machine learning models falter. Erroneous predictions, biased outcomes, and suboptimal performance become the norm. Therefore, data engineering and data governance are essential components of any machine learning initiative, ensuring that the data used for training and testing is representative, unbiased, and reliable.

### 3.4 Unlocking the Potential of Machine Learning Courses

As machine learning continues to proliferate across industries, the demand for skilled practitioners grows in tandem. Machine learning courses, offered by academic institutions and online platforms, provide individuals with the knowledge and tools to harness the potential of this transformative technology. These courses offer a structured curriculum covering the theoretical foundations of machine learning, hands-on experience with popular machine learning libraries and frameworks, and real-world projects to apply newfound knowledge. Whether you are a data scientist seeking to sharpen your skills or a newcomer eager to explore the world of machine learning, these courses provide a pathway to expertise(Suryawanshi and Abhay Chaturvedi, 2022). In a nutshell, machine learning is an evolving discipline that can deliver what the data has to say. Robust, well-curated data undergirds the triad of supervised learning paradigms; unsupervised and reinforcement. This is machine learning's power to revolutionize. During this chapter, we will take a closer look at the applications and breakthroughs emerging between machine intelligence and cryptography.

### 4. CONVERGENCE OF CRYPTOGRAPHY AND MACHINE LEARNING

Cryptography and machine learning share an intriguing point of intersection where data-driven brain power collides with the needs for security and privacy. So let's take a closer look at how machine learning can be used with cryptography. Its complexities and pros we weigh alike. Even better, real life examples show that there is great practical value to this convergence.

#### 4.1 Challenges and Benefits of Integration

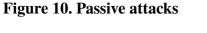
Cryptography using machine learning has many advantages and disadvantages that must be shouldered. However, machine learning can also lead to a cracking of the cryptographic code. Murder solving Even send key control: cryptanalysis which decrypts the cipher texts. But this assimilation is not without its problems. But the safety of machine learning models is especially relevant to cryptographic applications. Because inside hidden in machine-learning algorithms are vulnerabilities which the malicious actor can take advantage of once it pleases.

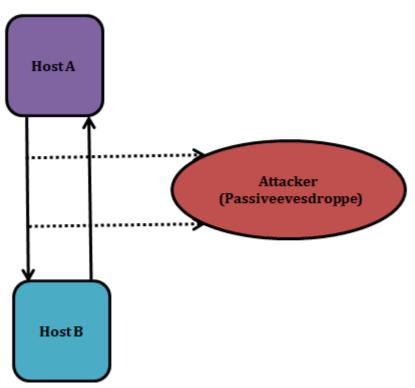
In addition, balancing the computational needs of machine learning against those of cryptography is no easy matter. Nonetheless, the hope of this convergence could bring with it better security protocols and more effective cryptographic algorithms.

### 4.2 Real-World Examples

In practice, examples of the cryptography-machine learning nexus provide real food for thought about the potency of such linkages. For instance, in cryptographic systems one uses machine learning for intrusion detection. These machine learning models analyze patterns of network traffic to detect abnormal behavior, safeguarding cryptographic protocols from attacks. Another interesting application is the use of machine learning in computer passwords. Using the power of machine learning algorithms, organizations can enhance their policies for passwords and strengthen authentication methods. They will also be able to detect cases where a thief has already hatched into an egg (indicating that your company is in serious trouble), or they can figure out whether you just had three eggs at breakfast this morning through analysis of what kind of dishes its brethren around it have.

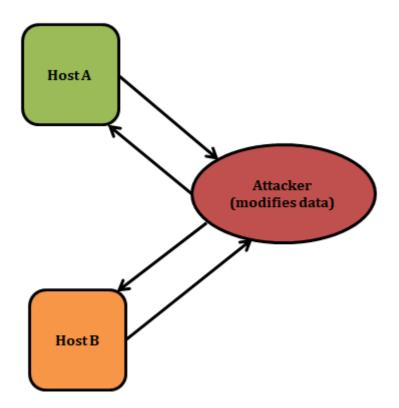
Today, information is the energy that powers just about everything companies do and nearly all aspects of human life. Therefore, keeping vital data safe from criminals 'actions and attacks is becoming an absolute necessity. The various information-related threats should be looked into. There are two primary ways to classify these assaults, depending on the activities taken by the attacker: include passive assaults (Figure 10) and active assaults (Figure 11).





Making changes to data directly represents the characteristic of active attacks. Passive assaults, on the other hand, entail gaining unauthorized access to information without changing it in any way. Passive attacks, for instance, include bugging and monitoring communications lines. These are examples of passive behaviors. They don't change the data and they don't interfere with transmission. But a passive attack is really more like data theft. Perhaps the most significant difference between data theft and ordinary stealing of tangible items lies in that when some one's personal information has been stolen, although it may have become crippled due to forgery or other means, its owner still remains its legitimate rightful holder. It means that information attacks pass unnoticed for a long time, becoming more insidious than theft. In contrast, active attacks involve changing the information in some way by running it through various processes. In contrast to passive attacks, actively modifying or destroying information is the object of these attacks. Passive and active attacks are both a threat to information. While the former can often show up as more immediate, visible consequences; it is essential that strong security measures prevent either from hurting data integrity.

#### Figure 11. Active attacks



#### 4.3 Opportunities for Innovation and Advancement

This union of cryptography and machine learning paves many paths towards innovation. A means of integrating cryptography and machine learning Leaving the old behind, many times over. Combining cryptography with machine learning yields all manner of prospects for KKLs to develop and build further. The development of post-quantum cryptographic algorithms is one area offering hope. An increasingly pressing question is whether machine learning can help devise encryption methods resistant to attack by quantum computers, as the technology for such machines develops.

Two privacy-preserving methods, secure multi-party computing and homomorphic encryption offer great potentials for machine learning. Through extending these kinds of techniques in machine learning, the

potentials for machine rearring. Through extending mese kinds of techniques in machine rearring, the ability to do calculations on encrypted data without compromising indeed sensitive information is possible. In addition, the applicability of machine learning to cryptographic key management can give rise to stronger and economical approaches for secure generation, distribution and retention of keys. In summary, the combination of cryptography and machine learning certainly has great potential to remodel our digital security and privacy. Despite these challenges, the benefits and opportunities for innovation are considerable. As we move through this chapter, we will examine particular applications as well as how machine learning is helping to improve the discipline of cryptography. This contributes in turn toward a safer and data-driven future.

### 5. MACHINE LEARNING-BASED CRYPTANALYSIS

The combination of cryptography and machine learning has enormous potential. Great potential exists for research into post-quantum cryptographic algorithms. However, given the rapid development of quantum computer technology machine learning can be used to design encryption algorithms not vulnerable to a future quantum computer.

From such a perspective, the field of machine learning-supported cryptoanalysis is one frontline in the battle between artificial intelligence and encryption. But what are the benefits and drawbacks of using machine learning in cryptanalysis? In order to give you some idea of precisely how much computerized algorithms have affected the relationship between a man and his work, we provide several case examples.

### 5.1 The Machine Learning and Cryptanalysis

Cryptanalysis, the art of cracking cryptographic codes and deciphering encrypted messages, is a cat-andmouse game between code makers and code breakers that dates back more than 40 centuries. Machine learning is a new power player in this arena. Cryptanalysis is all about pattern recognition and inference, skills which are core to machine learning algorithms.

### 5.2 Advantages of Machine Learning in Cryptanalysis

Efficiency: Machine learning algorithms can greatly speed up the process of cryptanalysis. Unlike conventional procedures that are forced to laboriously pore over the whole solution area, a computer operated by industrial machine learning can easily discover potential solutions.

Pattern Recognition: A particular strength of machine learning is drawing fine distinctions from within larger sets. This ability exists in cryptanalysis and can be used to discover chinks in the encryption armour, reconstruct clues from vulnerabilities or even predict key combinations.

Adaptability: But adversaries adapt their encryption techniques and tactics, so that machine learning models must follow suit. This adaptability is essential in the ever-changing field of cryptography.

### 5.3 Disadvantages and Challenges

Data Dependency: Thus in cryptanalysis, whether or not machine learning techniques work depends more on the state of training data. If data is insufficient, machine learning models can underperform.

computational resources. Deep learning is one of the most processing mensive types of machine

learning. This could be a stumbling block for cryptanalysts working in environments with few resources or no access to HPC.

Ethical Considerations: The use of machine learning in cryptanalysis also creates ethical questions about privacy and security. Techniques that might affect the security of encrypted information must be treated with extreme care.

#### 5.4 Real-World Breakthroughs

Already machine learning-based cryptanalysis is making remarkable progress in the field of encryption. Here are some notable examples:

#### 5.4.1 AES Key Recovery

A machine learning-based attack on the Advanced Encryption Standard (AES) was demonstrated by researchers in 2017. They could then train a neural network to search for similar patterns in ciphertext and the corresponding plain text; using this, they were able to recover AES encryption keys with speed and accuracy unprecedented there before. This breakthrough exposed AES's weakness vis-a-vis machine learning attack methods, and spurred the development of stronger security models. Shift Cipher The described cryptosystem is one of the simplest kinds of substitution cipher schemes. How it works The Caesar Cipher, frequently employed with a shift of three to create cipher text, simply substitutes each letter in the plain by another possible one. This encryption key is a "secret shift number" from 0 to 25 which the sender and recipient decide on between themselves.

#### Figure 12. Process of shift cipher

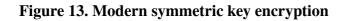
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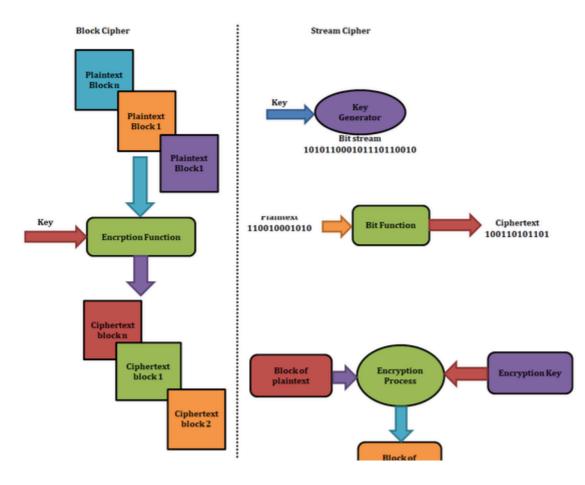
Ciphertext	Plaintext
Alnhabet	Alnhabet
Α	x
В	у
С	z
D	a
Е	b
F	c
G	d
н	e
I	f
J	g
к	h
L	i
М	j
N	k
0	1
D	

Plaintext	Ciphertext
Alphabet	Alphabet
а	К
b	D
с	G
d	F
е	N
f	S
g	L
h	v
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k	А
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Р	0	r	m	Р	14
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у	В	Y	v	у	U
z	С	z	w	z	0

Figure 12 shows the Shift Cipher method broken down: A first step in encryption is that the sender uses a sliding ruler placed under an original set of plaintext characters, moving it left by the secret shift-specified number of positions. Next, use the sliding ruler beneath to find the ciphertext letter that matches to the plaintext letter. The word "tutorial" would become "WXWRULDO" with a three-position shift, for instance. The following graphic depicts the cipher text alphabet for a 3-shift Shift Cipher. Cryptographic systems of today differ from its alphabetic predecessors in that they express digital data as sequences of binary digits (bits). To encrypt and process these binary strings, symmetric encryption schemes are employed. These schemes can be classified into two main categories based on how they handle binary strings:

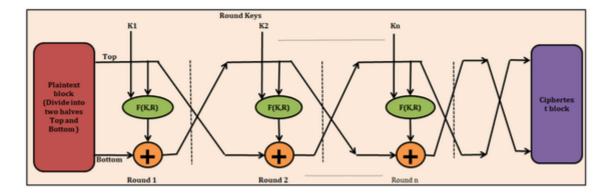




Block Ciphers: Bits or blocks of a certain size are used to encrypt and decrypt plaintext binary data in the Block Cipher algorithm. By applying a sequence of cryptographic operations on a chosen block of plaintext bits, we may convert it into a matching block of ciphertext bits. The fixed and fixed-in-prediction block size does not change. Data Encryption Standard (DES) and Advanced Encryption Standard (AES) are two popular encryption algorithms with 64-bit and 128-bit block sizes, respectively.

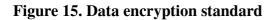
Stream Ciphers: Stream Cipher is a method that processes the plaintext bit by bit. This method requires bitby-bit execution of cryptographic operations on the plaintext in order to generate a single bit of ciphertext. Stream ciphers are ideal for encryption data streams in immediate communication since, technically speaking, they are merely a kind of block cipher with a block size of one bit.

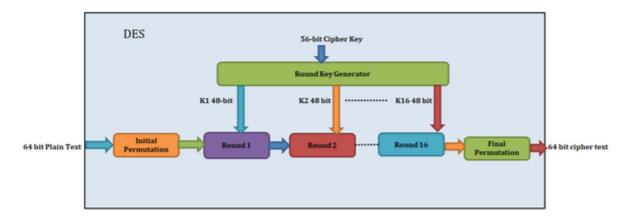
<u>Figure 13</u> provides an overview of these two main categories of modern symmetric key encryption, highlighting the essential differences in their data processing approaches.



#### Figure 14. Feistel block cipher

The Feistel Cipher, a fundamental cryptographic design model, serves as the blueprint for numerous block ciphers, with DES (Data Encryption Standard) being a notable example. The encryption and decryption processes of a cryptographic system that relies on Feistel Ciphers are executed by the same algorithm. As shown in Figure 14, the method of encryption within this framework is composed of numerous rounds, with each round including a "substitution" phase and a permutation step. The input block is split in half, L for left half and R for right half, at the beginning of each round. The right half (R) stays the same from round to round, whereas the left half (L) changes depending on R and the key used for encryption. In order to accomplish this change, we use an encrypting function 'f,' which accepts two arguments, R and the key (K), and returns f(R, K). Then, L is XORed with this output. The next step is a permutation that finishes a "round" by exchanging the changed L for the original R. The exact design of the cipher dictates the number of rounds. The last step in creating the ciphertext block is to join the R and L subblocks together once all rounds have finished. In DES and other realistic implementations, round-dependent subkeys are created from the original key instead of employing the complete decryption key in each round. While all of the subkeys are tied to the original encryption key, this guarantees that a new key is used in each cycle. Designing a Feistel Cipher poses the challenge of selecting a suitable round function 'f.' This function must possess specific properties to ensure the cipher's security, a topic that extends beyond the scope of this discussion.





Decryption in the Feistel Cipher follows a process quite similar to encryption, with a few key distinctions. The Feistel structure starts with the ciphertext block rather than the plaintext block. Moving further, the procedure is identical to encryption, as mentioned before. Importantly, decryption and encryption are quite similar; however, there is a major difference in the use of subkeys, which are used in the opposite sequence during decryption. Last but not least, the Feistel Cipher's last swap of 'L' and 'R' is a crucial phase that is the same in encryption and decryption. Without this critical step, the decryption of the generated ciphertext using the original method would fail. How many rounds a Feistel Cipher uses is dependent on how secure the system has to be. Encryption and decryption get slower as the number of rounds increases, but security is improved. Therefore, a compromise between speed and safety dictates the total number of rounds. One prominent use of the Feistel Cipher is the Data Encryption Standard (DES), a block cipher with a symmetric key developed by the National Institute of Technology and Standards (NIST). The block size of DES is 64 bits, and it uses a 16-round Feistel structure. Even though there are 64 bits in the key, DES only uses 56 bits since 8 of those bits are check bits and aren't used by the encryption process. Figure 15 provides a general overview of the structure of DES.

### 5.4.2 Elliptic Curve Cryptography (ECC) Vulnerability

There has also been uses of machine learning in finding flaws in elliptic curve cryptography, an ubiquitous approach to encryption. Through analysis of cryptographic operations on elliptic curves, machine learning models can grade the strength of curve parameters and provide pointers for more secure implementations.

### 5.4.3 Password Cracking

As a result, machine learning-based cracking tools for passwords encrypted through hashing algorithms have been getting progressively better. These tools use machine learning to generate guesses about the password, making cracking hashed passwords much faster.

### 5.5 The Transformative Impact

The application of machine learning to cryptanalysis isn't just an evolutionary shift, it is a revolutionary one. It calls into question traditional concepts of cryptographic security and forces the design of more robust encryption methods. In this chapter we will proceed to dig deeper, and consider the changing

like struggle for advantage in a high stakes game of wits which determines digital security on one hand; while another highlights how they complement each other's strengths, fortifying defense lines on the other?

### 6. MACHINE LEARNING FOR CRYPTOGRAPHIC SECURITY

In this section, we explore how machine learning can transform cryptographic security. We are focusing on its key role in attack detection and prevention, thereby strengthening the security of cryptographic protocols. We cite a number of illustrative examples to show how machine learning can enhance cryptographic security. With the increasingly global and data-based nature of our world, machine learning means that sensitive information should be in safer hands than ever before.

### 6.1 The Synergy of Machine Learning and Cryptographic Security

Cryptographic security is the wall that keeps sensitive data free from prying eyes and malevolent hands.

# CHAPTER 2

## **Future Outlook:**

Synergies Between Advanced AI and Cryptographic Research

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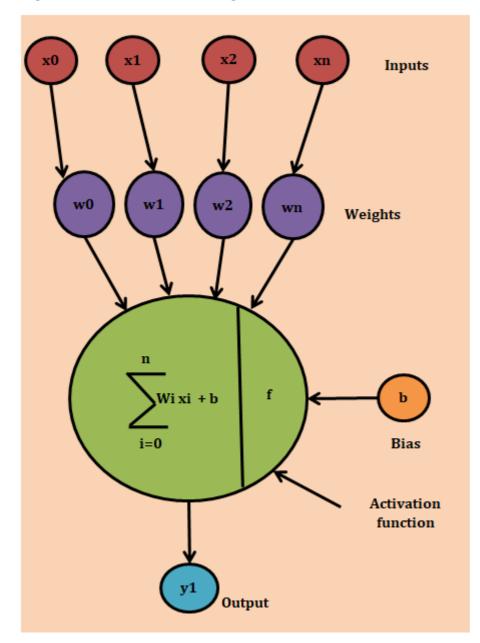
### ABSTRACT

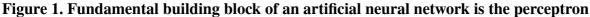
Artificial intelligence (AI) and cryptography have recently made rapid progress respectively, forming a surprising mutual relationship. With the development of AI, it is now evolving to a point where an AI can study and even design cryptography systems. Cryptographic researchers are continually bringing out new ways to protect AI infrastructures from the ever-changing nature of attack, however. So, in this area, the authors examine the future topography and consider various possible ways these two breaking fields of study could meet up. Meanwhile, quantum computing and neuromorphic technology could push advanced AI further than any computer has been able to go before. On the one hand, cryptographic methods also help keep AI models open, safe, and within legal privacy regulations even facing attack. In a nutshell, cutting-edge AI and cryptography research are together reinventing the frontier of internet security within artificial intelligence. What lies ahead? This chapter lays out the problems and prospects of this exciting intersection.

### **1. INTRODUCTION**

In the rapidly evolving field of technology, AI and cryptography are a good case for such interdisciplinary

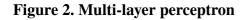
cooperation. The impact of AI and cryptography combined As well as being fascinating reading in their own right, the developments described here will have many interesting outcomes.

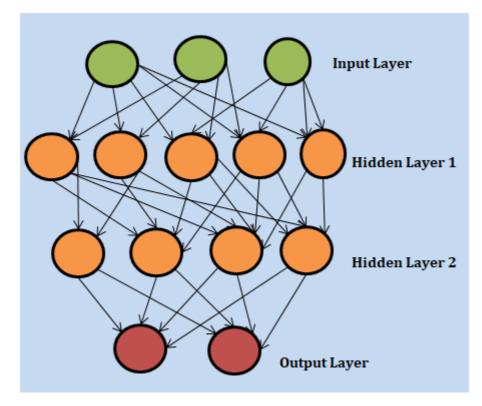




The twenty-first century has witnessed unprecedented progress in AI and cryptography, and their convergence represents a pivotal moment in technological history. Cryptography is a perfect fit for artificial intelligence because to AI's pattern recognition, intelligent decision-making, and capacity to analyze massive volumes of data. Cryptography, on the other hand, has long been the guardian of data privacy and security, ensuring that sensitive information remains shielded from prying eyes. Artificial Intelligence (AI) is a specialized field within computer science focused on creating rational agents. These agents are designed to perceive their environment, process this information internally, and then select the most suitable action from various possibilities. Improving the agent's function of objective is the goal of this decision-making procedure (N. Hussain, A. A. J. Pazhani, and A. K. N, 2023). Once the agent decides what to do, it modifies its internal model of the environment and goes to work. Some of the many kinds of agents that fall under the umbrella of artificial intelligence are learning agents, logical agents, planning agents, antagonistic search agents, and search agents. Learning agents stand out because of their data-

driven decision-making capabilities and use of machine learning methods. A set of data pairs is used to infer these activities.





At the heart of artificial intelligence (AI) lie Artificial Neural Networks (ANNs). ANNs are defined by their structure, which includes the amount of cells or perceptual neurons in each layer, the specific activation processes used by the neurons, the cost function for training, and the number and setup of densely interrelated hidden layers (Figure 1). The weights of the interconnections are adjusted during ANN training using gradient descent and backpropagation, which are based on the slope of the cost function within the weight space (as shown in Figure 2). The three most important areas of interest for artificial neural networks (ANNs) are input categorization, sequence learning, and function approximation. An important AI tool, ANNs can easily represent complex nonlinear functions thanks to their use of the nonlinear activation functions such as Sigmoid, Tanh and RELU.

### 1.1 The Convergence of AI and Cryptography

The role of AI in cryptography is broad and profound. The most notable aspect of this convergence is the advent of AI-driven encryption. Traditional encryption is based on algorithms and mathematical structures, effective but by no means adapted to today's computer environment. This aspect is handled by AI,as it can learn to adjust encryption techniques to the very purpose of protecting data (Shivashankar, and S. Mehta, 2016). But the convergence between AI and cryptography is truly a fascinating phenomenon. The two are becoming ever more intertwined, with each promoting the other in turn. The application of AI in cryptography has completely transformed how we think about data security and information protection. In fact, AI is the motor that drives cryptographic innovation to its limits.

For instance, AI sifts through data-traffic patterns to increase encryption on the fly. In this way, important information is well protected even when in transit. It can also improve key generation procedures, lowering the workload and time spont on encrypting. These AI based encryption algorithms are constantly learning.

ine workload and time spent on energypting. These Al-based energyption algorithms are constantly rearing and evolving, staying one step ahead of potential attackers. Another major area where AI and cryptography overlap is that of the targeted use known as cryptanalysis. Breaking codes is secret decoding, while discovering flaws in an encryption method is cryptanalysis. It is here in fact, where AI's analytical abilities really shine. (L. R. Knudsen and J E Mathiassen 2000) Thanks to its capability for processing huge amounts of data and identifying intricate patterns, AI can uncover shortcomings that human analysts overlook. The machine learning algorithms which are unbeatable in identifying anomalies and irregularities within data streams therefore become the cryptanalysts 'precious weapons. By using AI to drive cryptanalysis, we can predict and prevent threats. Their proactive approach to security may change forever the way we protect sensitive information.

### 1.2. Significance of Synergy

The synergy between AI and cryptography is not only a mere cooperation, but symbolizes the difference in thinking about data security and artificial intelligence. It will transform our understanding of the digital landscape. First, this synergy can usher in greater security in an increasingly interdependent world. While technology progresses, the amount of sensitive data sent over networks continues to expand exponentially (R. Beaulieu, D. Shors, J. Smith 2018). Adequate encryption and security are also now critical, from personal information to financial transactions and data on critical infrastructure. These solution-driven, AI-infused cryptographic approaches provide the flexibility to fight back against new threats.

Also, the combination of AI and cryptography will herald a new age for creativity. We thereby can imagine advanced encryption methods which not only are very secure but also efficient and flexible. AI's capability to learn and optimize encryptographic routines makes available an expanded range of solutions for developing encryption protocols, from securing IoT devices to protecting the privacy of healthcare data. (M. N. Reza and M. Islam, 2017). However, beyond security and innovation, the synergy between AI and cryptography has a profound effect of its own on artificial intelligence itself. Deep learning and neural networks are the driving force behind an ever-expanding range of applications, including everything from natural language processing to self-driving cars. But these models work with sensitive user data, raising privacy and data protection issues. Cryptography offers a solution to this problem with its experience in data encryption and privacy-protecting techniques. The partnership between AI and cryptography gives rise to privacy-preserving AI models. These models allow AI systems to make intelligent decisions, while maintaining the confidentiality and security of user data. It also makes users more confident and conforms to a stricter data privacy regime (Kumar, Pullela SVVSR & Chaturvedi Abhay 2023). In short, the overlap between AI and cryptography is not only a coming together of methods but also comeback of visions. Brings path to a safer, innovative and private digital world. In this section, we look at the highly fascinating dance between Ai and cryptography--what is that bread made with banana in? At the same time it lays a foundation for a sequel focused on interactions between these two worlds, and their potential to reshape technology or even data security.

### 2. THE EVOLVING ROLE OF AI IN CRYPTOGRAPHY

On this technological crossroad, we venture out on the mission to unwind AI's rapidly evolving capabilities-within cryptography. A new era of innovation and security In this chapter, we take you on an interesting trip to explore the development history of AI in cryptography.

### 2.1 AI-Powered Encryption

A marriage made in heaven The combination of AI and cryptography is a new frontier for information protection. This convergence centers on AI-driven encryption, a breakthrough development that may well change the paradigm of data security.

While effective, traditional encryption methods generally follow relatively fixed protocols and algorithms, making them easy targets for evolving threats. Encryption with artificial intelligence introduces a new dynamic and unfixed method to protect data. Here's how it works: AI algorithms have the capacity to learn from data patterns. Analyzing large sets of data to detect trends, and anomalies which they adapt encryption techniques in turn (Kumar R & B Ashreetha 2023). In today's quickly changing digital environment, in which new cyber threats emerge on an almost daily basis, this adaptability is especially significant.

Take for example the case of a company that has data traffic which fluctuates from day to night. Real-time adjustment of the encryption level with AI encryption. In high traffic times, stronger encryption is used; in light load periods a lighter scheme is selected. Not only does it enhance security; it also optimizes computational resources, raising overall efficiency.

Another area where AI has an impact is key generation. Cryptographic key generation is an important facet of encryption, and the complexity of this process generally reflects the strength of the encryption. By analyzing historical data and user behavior, AI can help key generation become more secure and friendly. This lessens the risk of weak keys and makes encrypted data more secure generally. In addition, AI can recognize potential holes in encryption algorithms. AI constantly watches data traffic and system haviation, looking for unusual patterns or signs of intrusion. If anomalies are detected, the encryption system can automatically change its parameters or send alarms to reduce potential risks (<u>S. Tan et al., 2021</u>). AI-driven encryption isn't just about stronger encryption, it's also about smarter and more efficient. It allows organizations to change with the threat landscape. And in an ever more interwoven world, sensitive data will be protected.

#### Figure 3. Illustration of the block and the subkey, both represented in bytes

B0	B1	B2	B3
B4	B5	B6	B7
B8	B9	B10	B11
B12	B13	B14	B15

К0	K1	К2	K3
K4	К5	K6	K7
K8	К9	K10	K11
K12	K13	K14	K15

created in 1999 by Daemen and Rijmen, the Rijndael algorithm or Advanced Encryption Standard (AES) is a symmetric block cipher. Built on this kind of encryption technology, it superseded the Data Encryption Standard (DES) in 2001 when the United States National Institute of Standards and Technology, or NIST, officially accepted it. AES has a constant 128-bit block size and supports key sizes of 128, 192 or 256 bits. Its flexibility is another major strength (P. H. Kumar and T. Samanta, 2022). The number of rounds used in AES encryption depends on key sizes; a 128-bit key uses 10, a 192-bit key uses 12 and a 256-bit key usese s. AES begins the decryption and encryption phases, as detailed in Figure 3. The two main factors here are a key K and a 128-bit block B (a so-called state). The key may be of length 128, 192 or even 256 bits. A special feature of AES is the use of state arrays during encryption and decryption. In these procedures, the blocks (with components 0 to 15) are arranged into matrices of four rows and four columns. Bytes correspond with squares, or if you will terms like square by square.. These are called state arrays, and are important to the operation of the AES algorithm.

#### 2.2. Cryptanalysis and AI

Cryptanalysis, today's name for the art of breaking encryption codes, has long been a game of cat and mouse between attackers and defenders. But with the incorporation of artificial intelligence (AI), this game is evolving into a whole new ball-game. In essence, cryptanalysis is the analysis of encrypted data to determine the hidden message or key (<u>P. Gope, O. Millwood and B. Sikdar, 2022</u>). It takes a detailed knowledge of encryption algorithms and keen perception of weaknesses. In terms of history, cryptanalysts have used the human brain and calculating machines to crack codes. Now AI is changing the game.

One reason for the potential revolution in cryptanalysis is AI's exceptional ability to analyze, speed and adaptability. Here's how AI is reshaping the landscape of cryptanalysis:

Rapid Analysis: This enormous amount of data can be processed by these AI algorithms in a flash. They are particularly good at detecting patterns and oddities within encrypted messages or data streams. With this ability, AI can rapidly find any weak points or gaps in encryption systems. Pattern Recognition: Traditionally, cryptanalysts use human intuition and experience to detect patterns in encrypted data. However, AI can automate this process (A.Singla, N. Sharma 2022). With machine learning algorithms, one can find the subtlest patterns often overlooked by human analysts. This affords a more complete picture of encrypted data.

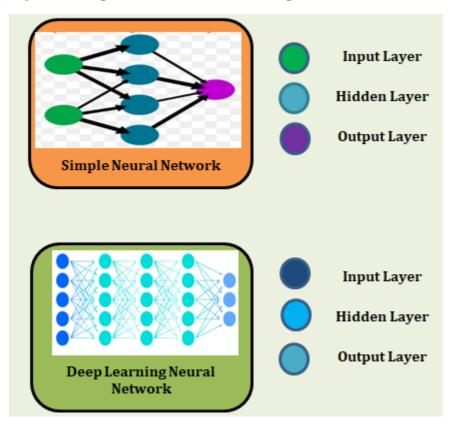
Attack Automation: Cryptographic attacks can be launched automatically by AI. It can, for instance, perform brute-force attacks by trying all possible keys systematically; or it could use more refined techniques such as differential cryptanalysis. These processes are automated with the use of AI, which greatly speeds up the cryptanalysis.

Adaptation to New Algorithms: However, as encryption algorithms are developed to be more secure, cryptanalysts must break ever more complex codes. But human limitations are not a problem for AI. It can quickly adapt to new encryption techniques and devise novel ways of attacking.

Proactive Threat Detection: AI's ability to monitor in real time makes it possible for threats to be detected preemptively. It can analyse network traffic and encrypted communications in a continuous manner to

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detect suspicious activities or possible intrusions. This early warning system improves cybersecurity and enables organizations to respond quickly to threats.

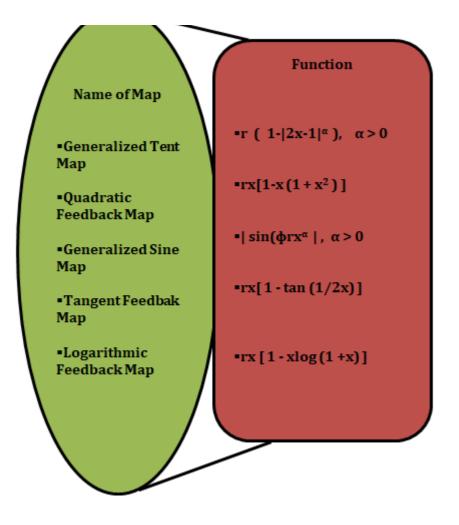




A more modern method of artificial intelligence is based on a concept called Deep Learning. Artificial Neural Networks (ANNs) with a high data throughput are used to handle raw, original data in Deep Learning, essentially replacing conventional signal processing approaches(G. Karatas, O. Demir and O. K. Sahingoz, 2019). The contrast between a traditional ANN with a single hidden layer, sometimes known as a "shallow" ANN, and a deep network with three hidden layers is seen in Figure 4. The dramatic rise in processing power and efficiency is the primary motivator for the widespread use of deep learning. An example of such a network is the one used by Google's Inception-V3 model; it has 49 levels and more than 20 million connections. But, in order to calculate the numerous weights needed by these deep networks, a large quantity of training data is essential for deep learning to be successful. Luckily, the required training data is now more easily accessible online because to the combination of rising processing power and the exponential expansion of the World Wide Web of Things (IoT). Now that we live in the Age of Big Data Society, when both large datasets and the computing power to process them are readily available, deep ANNs are a reality(A. Sharma, K. S and M. R. Arun, 2022). Industry behemoths like Google and Facebook, together with national security agencies, are the primary drivers of the increase in computer power that has allowed deep learning to evolve. The recent trend of supercomputers being consolidated into single graphic processing unit (GPUs) has enabled deep learning and high-resolution gaming possible in a much smaller form factor, and applications such as facial recognition and tracing and tracking have emerged as key issues.

#### Figure 5. Illustrations of chaotic maps used for stream cipher generation





Additional examples of such ciphers can be found in the functions or maps provided in Figure 5. These functions define the structure of the function  $x_{k+1} = f(x_k), x_k \in \{0, 1\}$ . In order to build stream ciphers, these particular functions take a starting key x0 from the interval (0, 1) and optimize their output by changing the value of r to produce a chaotic number-stream. Next the output is processed to produce a stream with uniform distribution quality (H G Damodara Reddy & K Raghavendra, 2022). These stream ciphers are intended to raise the security and randomness of generated encryption keys and data streams.

To sum up, the pairing of AI and cryptanalysis is changing the face of data security. AI-aided cryptanalysis is not only more effective and easier it operating, but also preemptive in countering threats (<u>A. Musa and A. Mahmood, 2021</u>). At the same time, it makes attackers' skills even more potent, but also means that defenders can stay one step in front in this continuing fight over data security. The development of this function stresses the ongoing need for innovation and vigilance in an ever-changing world of cryptography.

### **3. STRENGTHENING AI AGAINST EMERGING THREATS**

In today's rapidly changing world of technology, artificial intelligence (AI) is a game-changer. But with this rapid progress also come several kinds of emerging threats. To address such challenges, it's important to fortify AI against possible weaknesses. This chapter delves into two key aspects of this process: quantum computing and the interplay between neuromorphic technology and AI security.

### 3.1 Quantum Computing Challenges

New developments in AI foresee the arrival of quantum computing, which promises both opportunities and threats. The more quantum computing threatens AI, the more it threatens its own security. Among the most

basic problems is cryptography. Traditional encryption methods that use complex mathematical problems which would take a classical computer an impractical amount of time to solve, suffer from the brute force attack used by quantum computers(Kumaraswamy & Gupta, 2023). Also, quantum computers may be able to quickly solve problems such as integer factorization and discrete logarithms, which lie at the root of many encryption algorithms. In response, researchers are currently working on post-quantum cryptography. This field studies encryption methods resistant to quantum attacks. In this endeavor, AI plays an important role in creating and optimizing quantum-resistant cryptographic techniques. Algorithms designed to analyze the vulnerabilities introduced by quantum computing can help formulate encryption schemes that are effective even in a quantum age.

And quantum computing is also a threat to data privacy. Because AI models handle masses of sensitive information, quantum computing could crack the encryption they rely on. As a result, AI systems should be developed with quantum-proof methods to protect the security of user data in a Quantum World (K.D.V., Gite, Pratik, Sivakumar 2023). Quantum computing also affects AI itself. QML is a new field which uses quantum computing to improve AI algorithms. This presents tremendous potential for AI, but it also brings new security risks. It is particularly necessary to ensure the integrity and security of AI models in a quantum computing environment.

#### 3.2. Neuromorphic Technology and Al Security

Based on the architecture of the human brain, neuromorphic technology is remodeling the area of AI. It holds the prospect of more agile, versatile, and capable AI systems. On the other hand, as AI becomes increasingly intertwined with neuromorphic technology, it introduces new security challenges. The way in which neuromorphic systems process information is one of the biggest problems. (S. Zhu and Y. Han, 2021) In contrast with traditional AI models, which require explicit instructions, neuromorphic systems learn and adjust themselves through synaptic connections in the same way as human brains. This offers advantages in terms of cognitive capacities, but is also a source of potential weakness. For example, adversarial attacks on neuromorphic AI systems can twist the subtleties of synaptic learning to change the behavior of artificial intelligence models. Such attacks can have severe repercussions, from incorrectly classifying data to a serious compromise of decision-making processes. Thus securing neuromorphic AI against adversarial attacks is an urgent priority. Improving AI's perceptual capabilities is another aspect of neuromorphic technology. In terms of low power consumption and high-speed processing, sensors based on biological vision systems are decidedly advantageous. Yet now that such sensors have been manufactured, they become vulnerable to new channels of attack. In particular, sensor-level attacks threaten the integrity of sensory data. To address these security challenges, research is going on toward developing solid defense systems for neuromorphic AIsystems (P. Pavankumar, N. K. Darwante 2022). AI is necessary in this process. It also tries to locate the weaknesses and design strong learning algorithms capable of fighting existing weakness, as well designing security protocols particularly for neuromorphic technology. Finally, to strengthen AI against such emerged threats is a complex process. Rise of the quantum computer and interactions between artificial intelligence and neuromorphic technology both demand active defenses in order to protect the stability, security (safety) if AI systems- Suryawanshi& Abhay Chaturvedi2021. In this chapter, we have considered the difficulties associated with overcoming these challenges and explained why AI can be an important way to do so. An age of AI capable of taking up the challenges that lie ahead on the horizon is now ready to begin.

### 4. COLLABORATIVE INNOVATIONS IN CRYPTOGRAPHIC PROTOCOLS

In addition, the combination of artificial intelligence (AI) and cryptography will make systems more secure not only about stealing information but also integration inventions in crypto-cryptographic protocols. In this chapter we examine how the development of AI-based protocols and new encryption techniques are redrawing the data protection map.

### 4.1 Al-Driven Protocol Development

Data security includes cryptographic protocols. Traditional protocols use mathematical principles and fixed algorithms to protect sensitive information. However, with the advent of AI. Protocol development has entered a new era of mobility and elasticity. Designing, designing cryptographic schemes-AI develops protocols driven by machine learning algorithms. In these rapidly changing digital surroundings, more resilient protocols can adapt in real time to threats and the methods of attack. In particular, the core advantage of intelligent AI-driven protocol development is that it allows you to analyze and respond to new threats as they occur. Due to artificial intelligence systems, all transfers of data across networks can be overseen and analyzed. Anomalies or flaws in security will thereby come clearly into view. If the threat is detected, the protocol can adjust dynamically its parameters or encryption methods in an attempt to lower risks. Further, AI can help design encryption protocols suitable for particular applications. For example, when dealing with Internet of Things (IoT) devices that have limited computational resources available to them, suitable lightweight cryptographic protocols need to be developed. AI can optimize encryption schemes to strike a balance between security and efficiency, ensuring that IoT devices remain secure without compromising performance.

# Figure 6. Schematic diagram illustrating the process of stream cipher generation with an elliptic curve as the basis

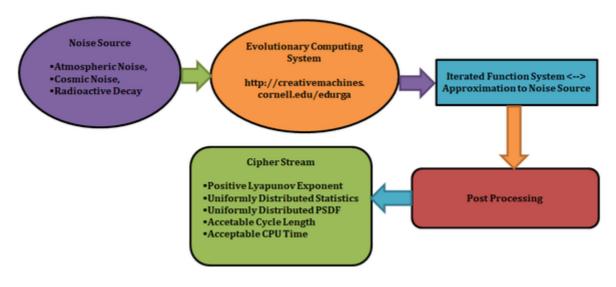
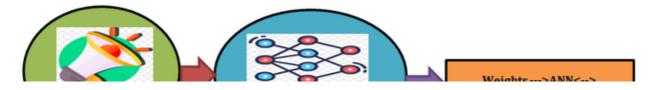
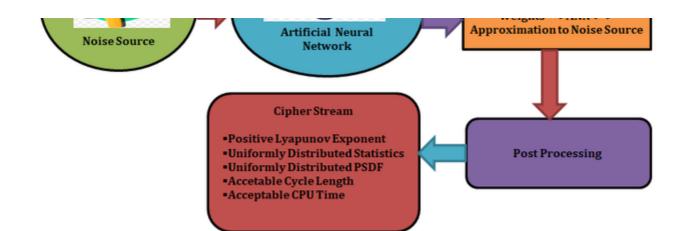


Figure 7. Overview of the methods employed in generating a stream cipher with an artificial neural network (ANN), presented schematically





The fundamental motivation for using EC and ANNs to produce stream encryption algorithms is the need to design or modify example maps, as shown in Figure 5, to suit the unique demands of cryptographic applications. EC offers the advantage of automatically initiating the evolutionary process using real-world noise, allowing for the creation of customized stream ciphers that are compatible with cryptographic applications. Contrarily, ANNs have their uses, but when it comes to building PRNGs based on iterated nonlinear functions, they aren't as versatile as they may be. The use of evolutionary algorithms, which use a stochastic search process based on populations and designed to simulate natural selection, is essential for this goal. Due to their capacity to discover optimum solutions for complicated and dynamic problems within tolerable processing timescales, evolutionary algorithms have attracted attention from different sectors. In 2013, the use of Eureqa and other evolutionary computation algorithms was first investigated for potential cryptographic applications. Using this method, nonlinear functions may be repeatedly developed to characterize complicated input signals, which are often seen in chaotic system experimental data. No nonlinear function can, in theory, provide an evolutionary model that accurately captures really random (delta uncorrelated) noise. Natural noise, on the other hand, has the ability to "trick" a system into repeatedly trying to mimic the noise, which might make it work as a PRNG. To determine its appropriateness, it is subjected to a battery of tests, as shown in Figure 6 (where ticks show successful test results), which include checking if the cipher stream is uniformly distributed, calculating the Power Spectral Distribution Function (PSDF), and more. On the other hand, a design for creating a stream cipher using an ANN is shown in Figure 7. It is clear from comparing the two methods that the ANN architecture and weights are similar to an evolving function generated by EC. Both scenarios include testing against Figure 6 and 7, as well as the updated PRNG evaluations provided by the Cryptographic Algorithm Validation Program (CAVP) by the National Institute of Standardization (NIST) the Computer Security Resource Center. Any cryptographic algorithm must pass these NIST tests, which are the gold standard for evaluating a cypher's cryptographic strength.

In the field of post-quantum cryptography, another strength is AI-driven protocol development. In the era of quantum computing, AI will possibly assist in developing encryption protocols that are immune to quantum attacks. New mathematical methods and quantum-resistant algorithmic approaches may be generated by AI. Furthermore, ascribing AI-based protocol development to usability of encryption techniques should be noted. Traditional cryptographic protocols must in most cases go through a very complex key exchange procedure, or require manual adjustment. This is one area where AI can help. It makes these procedures simpler and easier for the user to implement, while reducing risk of compromise.

#### 4.2 Advancements in Encryption Schemes

Cryptography is an important area of joint innovation. The key to data protection is encryption. With this technology, the most ruthless enemy can no longer steal sensitive information. Encryption algorithms are changing, thanks to the application of AI. And encryption algorithms based on artificial intelligence not only keep up with changes in data security requirements, but also switch the level of encrypted to a certain extent according to even real-time traffic. This flexibility not only strengthens security, it weakens computational efficiency. Progress with artificial intelligence contributes to key generation, a significant element of encryption. AI will study past data and user behavioral trends, then can produce encryption keys that are both secure but also easy to use. Thus it adds a degree of protection against poor keys, and improves overall data security. AI also plays an important role in the security of encryption keys. Potential Threats or Unauthorized AccessMonitoring and protecting encryption keys are important aspects of data protection. An intelligent system can help here as well. Development has also extended into areas including safe multi-party computing and homomorphic encryption. Critical in many applications, including safe data sharing and cloud computing, these methods allow calculations on encrypted information without a need to first decrypt. Private quantum-resistant encryption is another frontier of development. For fear that quantum computing will eat away at the underpinnings of encryption, researchers are looking for encryptions resistant to attack by a quantum computer. Faster development and optimization of quantum-resistant encryption methods are made possible by the computational speed of AI. At last the combined forces of AI and cryptography have given us an exponential rate like leaping on a flame. Now we are watching as new combinations of encryption protocols begin to change our concepts about data security completely. Advanced AI and evolutionary encryption facilitates protocol development in response to the changing threat environment. These innovations are routes for maintaining the privacy and security of data in an online world. This chapter looks at how AI is changing cryptography and data security.

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### 5. PRIVACY-PRESERVING AI

When data can be both treasure and damaging, even to the private life of individuals--just at this time comes about such an idea as personal artificial intelligence. This chapter explores two key facets of this paradigm: zero-knowledge proof systems and locked machine learning.

#### 5.1 Zero-Knowledge Proof Systems

The key to balancing privacy and AI-enabling data information is finding just that point of balance. This is a major breakthrough in zero-knowledge proof systems. The method of zero-knowledge proofs is a cryptological technique that allows the prover to tell if the verifier knows anything without ever getting it out. This idea has a profound influence on privacy-preserving AI. So let's say someone wants to use an AIgenerated service without giving away any privacy. It is accomplished through zero knowledge proof systems. So a user can show he meets certain criteria without having to reveal underlying figures. For example, if a user needs to prove that they are over 18 for age verification reasons without revealing their full date of birth (DOB). Besides the obvious example of authentication and identity verification, zeroknowledge proof systems have found application in numerous other fields. Users can log in with no personal information disclosed. As a result, both online security and privacy are improved. Most importantly, zero-knowledge proofs are used to protect privacy in AI calculations. In addition, security sensitive data transmission can be proven correct without disclosing any private information through use of sensitive data transmission can be proven correct without discrosing any private information through use of zero-knowledge proofs. This can be particularly true in an environment of collaborative AI, where there are many parties providing data and don't show their cards to let others see what they have received. Another application of DeFi and blockchain is also important. In addition, the use of proof-of-knowledge systems provides greater privacy for transactions and smart contracts. Financial transactions are private but they're precise and believable. With the spread of AI into diverse industrial domains, and as technologies connected with privacy-preserving artificial intelligence are becoming more and more mature, this zero knowledge proof system provides a firm foundation for such systems. In the digital age of information exchange, they offer a means for users to transparently and fairly share data--and protect their dignity.

### 5.2. Encrypted Machine Learning

Encrypted machine learning, which allows organizations to safely enjoy all the benefits offered by a powerful new and rapidly developing technology while keeping secure boundaries around sensitive data, forms one of the cutting edges on privacy-preserving AI. Stealing information Put simply, most machine learning models have to run using an open door- all raw crackable and unprotected data must be fed into the model for training or prediction. Nevertheless, this method is not without privacy risks. For example, in the case of bank records or medical records. Cloud-based encrypted machine learning thus offers a way for companies to avoid these dangers by performing calculations on the raw materials. One of the main encryption methods in machine learning is homomorphic cryptography; another is secure multi-party computing. Therefore, homomorphic encryption means that the AI models can process encrypted data without first decrypting it. Thus, from the start of machine learning to its completion private data is secure. Take the case of a healthcare provider. They could use encrypted machine learning to train a predictive model on patient data, without ever seeing the patients 'actual raw medical records. SMPC ensures that privacy protection moves onto the shared platforms of machine learning. SMPC allows many parties to jointly train a machine learning model with different data sources, while keeping each party 'data private from the others. This is particularly crucial in sensitive areas like finance, where many different institutions depend on each other to set up prediction models while protecting their own proprietary data. This ensures data security in machine learning, and AI can also learn with decentralized sources of training data on users 'devices. This is a method often used in programs such as personalized recommendations and mobile keyboards. By insisting that sensitive data remain encrypted throughout the life cycle of an AI model, organizations can use encryption-based machine learning to satisfy stringent laws such as GDPR and HIPAA governing what may be done with patient health information. Service users can have access to the AI-driven services and insights, but still retain control over their own personal information. In the end, privacy-preserving AI is not an empty dream but a reality ushered in by zero-knowledge proof systems and encrypted machine learning. These new innovations give organizations and users the power to utilize AI without having to divulge their most prized data. However, as the digital universe evolves, these technologies will still stay at the cutting edge of artificial intelligence and data security. We introduce their importance and novelty in helping it build trusting, innovative privacy-preserving AI.

### AUTONOMOUS CRYPTOGRAPHIC SYSTEMS

That is why the future lies in autonomous cryptographic systems. Systems designed to drive back the frontiers of data protection are experiments that marry artificial intelligence (AI) with breakthroughs in cryptography. AI Hunts Down Cryptographic Flaws This chapter discusses how artificial intelligence can

be used to find cryptographic weakness.

### 6.1 Al's Role in Detecting Flaws

A fundamental aspect of data security is the strength of cryptographic systems. As cryptographic technology has become more and more complex and powerful, so too have the tools that malicious actors use to seek out flaws in existing encryption systems. With such a changeable scene, there is no choice but to be more active in identifying and solving these problems-AI has been the pioneer. The ability of AI to handle big data and analyze complex patterns has made it a formidable weapon in the cryptanalyst's arsenal. Its ability to analyze is naturally of great value in identifying flaws in cryptographic systems.

The biggest strength of AI is its ability to spot flaws, through security audits. Cryptographic protocols and encryption algorithms are constantly probed for weaknesses by AI systems both on-line as well. The method narrows the time window for attackers to find flaws. AI can design various attack scenarios and search for vulnerable points. For example, it can use brute force or frequency analysis to attack cryptographic systems. Or else it may resort to the more sophisticated differential cryptanalysis. If AI observes an anomaly or a weakness, it can sound the alarm to alert the security people and allow them action immediately. The use of AI in flaw detection is based on machine learning models identifying fine distinctions and variations in the cryptographic data. These patterns may indicate an impending threat or a weakness in the cryptography that should be patched up. With AI-assisted flaw detection, an organization is able to counter potential attackers and protect data.

# Figure 8. Samples showcasing both ordered and disordered characteristics in connection with compact binary sequences

Example Binary String	Description	Reason
11111111	Perfectly ordered	All 1's
0000000	Perfectly ordered	All 0's
40404404		

# CHAPTER 3

## Artificial Intelligence-Supported Bio-Cryptography Protection

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### ABSTRACT

Cryptography is a technological term to protect a secret message or information. The practices of converting the normal text into unreadable form are called encryption. It is possible through key management. Artificial intelligence is a technology that supports all domain in decision making. Bio-inspired cryptography is bio-cryptography that can identify the human unique features and covert as a key and incorporate in the encryption process through artificial intelligence multiple bio-cryptographic keys that can be linked into a single key module with artificial intelligence decision-making support in the decryption part. Through decision-making support, exact and accurate authentication can be made possible.

### **1. INTRODUCTION**

Cryptography is normally is done as a practice in the form of converting normal text into encrypted text the through key. Key may be symmetric and asymmetric, In the process of cryptography key is involved in converting the data into inaccessible format with the support of key. In the bio cryptography similar process can be considered .Initially bio cryptography should be analysed to know the knowledge about the bio cryptography. Cryptographic ideology which involves the human unique features in identifying and authenticating a user for provisioning the service is can be coined as bio cryptography (<u>Alroobaea et al.</u>, 2022).

Organizations needs of security in a better manner the multiple types of authentication can be provided based on the various aspects of credentials, those data can be duplicated in one or in another form, so that such data for security should be replaced with the data or credentials that are not matched or duplicated for authentication trials. As the cyber criminals act in precise manner to match the security data with the fake data to satisfy the authentication, bio inspired cryptographic system is recommended for data protection. The told authenticating system works with unique features of human. It can be considered for authentication purpose for providing ultimate security for human data.

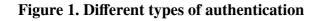
Authentication in many ways can be considered for security of data or for accessing a device. Authentication is based on the users the authentication can be varied for the company staff and authentication can be different for the end users. Different level of authentication can be considered for people to people based on the knowledge level. Those security should be in a standardize manner assuring total security for the device or a service.

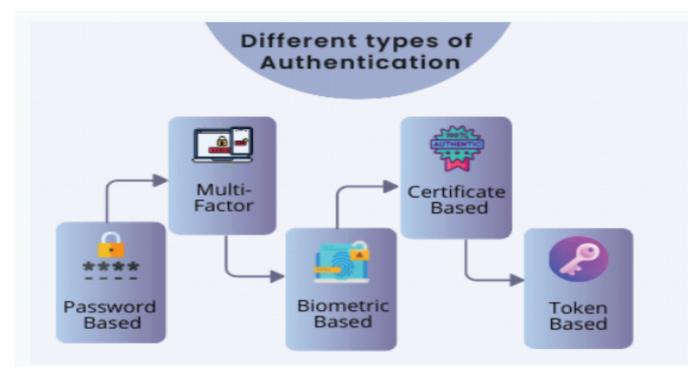
To understand in the better manner end users cannot use multiple or two level authentication as technical or educated person does. But similar level security should be provided to their earning and belongings. For this reason Bio cryptography can provide a multi or similar protection. In this book chapter multiple unique human features are matched and mapped in a single verification term. That will provide them an authentication proven system.

Authentication model can considered in two ways they are

- 1. Based on the security model
- 2. Based on the User level of usage

Let's take a closer look at the many sorts of authentication techniques available:





### 2. LITERATURE REVIEW

A literature review has been done on various biometrics factor for authenticating a user, based on users' own identical property that the user possesses to provide the security to the user and user data.

Through <u>table 1</u>, the following error correction ideology are studied, and show cased for the user as for the understanding from the <u>table 1</u>, keystroke-based bio-metric security and voice recognition, error correction is made through discretization it was referred from <u>Monrose et al. (1999)</u>. Signature identification ideology is understood from <u>Hao and Chan (2002)</u>. In this ideology, signature velocity forward features and backward features are understood in the form of graphical representation here error correction is made averaged. Facial movement and face recognition error are identified by RS code it was referred from <u>Chen and Chandran (2007</u>). Iris identification and authentication as referred from <u>Hao et al. (2006</u>) here the learned element is iris image being processed gabot wavelet. Fingerprint identification or detection user

authentication is refereed through <u>Uludag et al. (2005)</u> here error correction is being identified as quantization. Considering the palm print biometrics, it was referred through <u>Kumar and Kumar (2008)</u> here the error correction through RS code and it has unique and stable feature such as principal lines. Head is considered for the authenticating a person neural network-based error correction has been considered it was referred through O'Connell-Rodwell (2007).

Table 1. Biometric features for authentication

Biometrics	Identifying Features	Error Correction	Ref.
Keystroke	Duration, latency: a computer user's typing patterns consist of durations for each letter typed and latencies between keystrokes	Discretization	Monrose et al., 1999
Voice	Text-dependent or text-independent speaker utterance units	Discretization	Monrose et al., 2001
Signature	Dynamic signature features, such as pen-down time, max forward $Vx$ (Velocity in x direction), max backward $Vy$ (velocity in y direction), time when the last peak of $Vx$ or $Vy$ occurs, pressure, height-to- width ratio, and so on.	Averaging	Hao et al., 2002
Face	Facial features: positions, sizes, Angles, etc	RS code	Chen & Chandran, 2007
Iris	Digital representation of iris image processed with Gabor wavelet	RS code Hadamard	Hao et al., 2006
Fingerprint	Minutiae points: ridge ending and ridge bifurcation	Quantization	Uludag et al., 2005
Palmprint	Unique and stable features such as principal lines, wrinkles, minutiae, delta points, area/size of palm	RS code	Kumar & Kumar, 2008
Head	Acoustic signals modulated by physiological structures of human head	Neural-network	Rodwell et al., 2007
Mouse	Types of mouse actions, such as mouse-move, drag- and-drop, point-and-click, and silence; traveled distance (in pixels); elapsed time (in seconds); and movement direction	Neural-network	Nazar et al., 2008

### **3. SECURITY MODELS IN PRACTICES**

#### 3.1 Based on the Security Model

Model based security is based on the level of the security the user prefers the user can single level of security, two level security or multi-level security. The complexity of the security based on the value of the data or the application that to be used. For better understanding if the security of the mere data single or two levels security authentication is preferred. If the securing credentials like property documents or any investing banking bonds or while transferring money a multi-level security can be considered (miniOrange, n.d.).

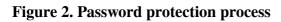
#### 3.2. Based on the User Level Usage

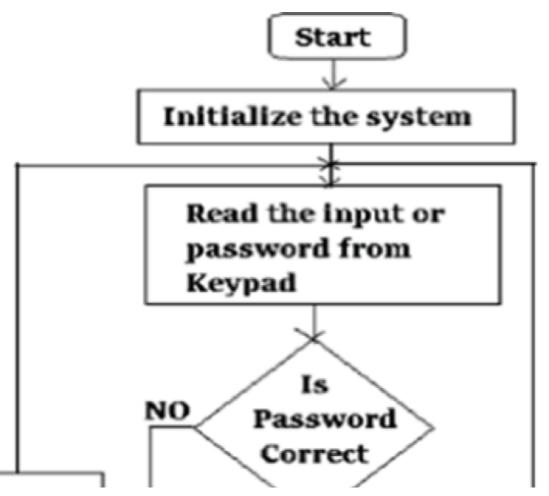
This way of security authentication is based on the user level of security. Users very much aware of the technology and other authentication complexities they prefer the multi-level of authentication for their applications. Coming to the layman normal person, who doesn't have much knowledge about the authentication procedures and complexities mostly prefer for the single level security authentication and two-level authentication.

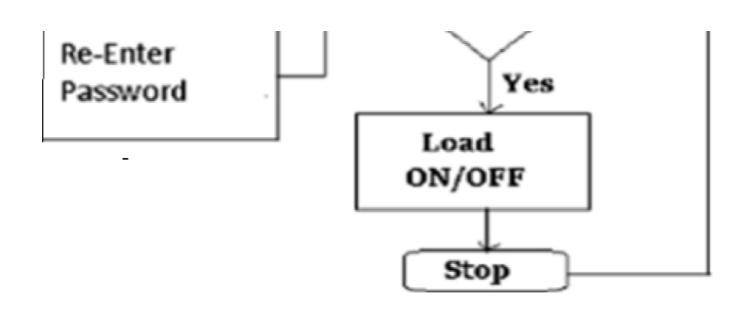
Before discussing about combination of the authentication aspects different types of authentication methodologies are elaborated for the better understanding.

#### 3.2.1. Protection Through Passwords

Most prominent method of protection is provided through password protection, The most commonly used login authentication system is through password protection, on the regular daily based login system is password authentication system. To utilize the online services to enter the using system all required to passwords, while using password-based authentication system the user is providing his username and password as self-authenticating him. Mostly password will be informed of remembered by the user. The password types will be numeric, alphabetical, or alpha numeric symbolic. Mostly the password will be the combination of all the these. Password length will be varied product to product the password length will be minimum four character for the mobile phones length will be eight characters for mail or other services protection. The password is matched with the previous stored set of integers in the data base, if it matches the service access is enabled or otherwise it is disabled.



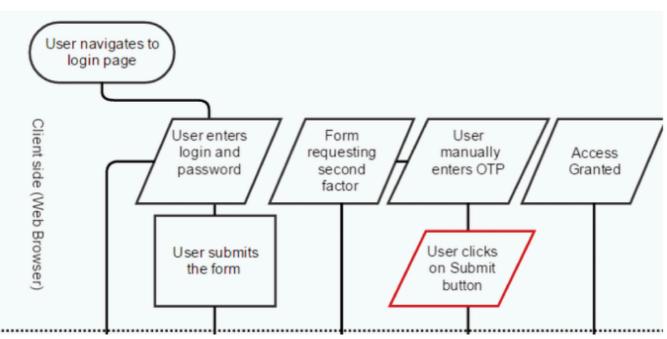


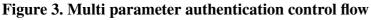


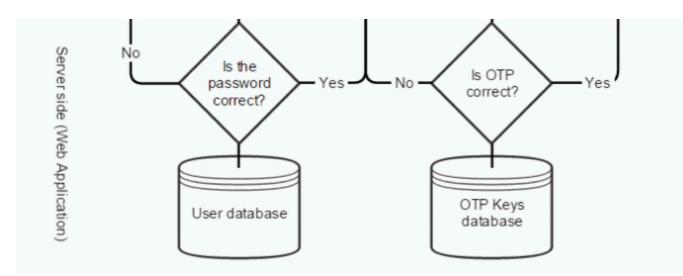
The password protection can be easy challenged and able to break by the cyber criminals. For the best password protection, password should often change at regular intervals, If the use of same password prolongs there is chances of attack of cybercriminals by that operation similar to phishing and data breeches is possible. Due to the foretold drawback's user requires furthermore protection over the password protection for their working applications. Organizations using the password protection in their systems, looking for better protection now for their systems for protecting their data credentials.

### 3.2.2. Authentication With Multiple Parameters

Authentication with multiple parameters is a verification method in which a user should pass multiple parameters conditions. To gain the access of the services multiple parameter kind of factors must be proven by the user. With the support of password protection and other multi-level of other security aspect also considered here. User should prove his authentication. It may be answering his personal questions, which he only may know. A single time password will be generated and provided to the user through his mail or mobile number, based on the above said parameters the user will try to gain the service with their Username and Password.





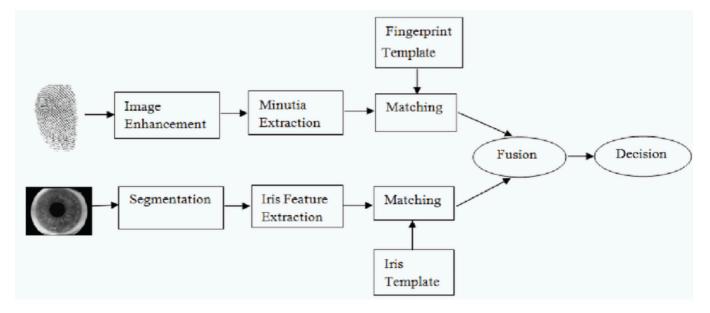


The user may choose any of the methodology to prove his authentication on any of muti-factor methodologies, it may be single time password or with replying to the personal questions or through clicking the time stamp link that has been sent to your mail as a part of authentication. This security aspect can be considered as most trusted as multiple parameters are involved in the authentication procedures. Comparing to the password protection system is somewhat it is secured. Most organisation follows multi parameter authentication system. In day-to-day examples we can look after this systems in bank transaction application.

#### 3.2.3. Authentication Through Biometric

Every user has unique features that others don't possess. The users possess unique features in the following aspects in human body, thumb, retinas, palms, voice, facial reorganisation, finger impression. These features collectively grouped under a attribute aspect and a security model is developed biometric oriented authentication. These physicals can be verified individually or collectively matched with the previous data and authentication provided. These aspects are already in the usage in market for attendance marking and device accessing and in biometric money transaction cards.





Entity compared characteristics similarly fractioning nations value for and value identification

Entry corporeal characteristics similarly ingerprints, pains, reunas, voice, race, and voice identification being used in biometric authentication. Biometric authentication has been performed by upcoming steps: formerly, the physical characteristics of individuals are saved in a database. Individuals' corporeal features are checked against the data contained in the database whenever a user wants to access any device or physically enter any premises (Organization, School, Colleges, and Workplace). Biometric user verification ideological technique is used worldwide by almost everywhere its applications are from providing attendance to confirming the citizenship of a nation. It has been employed in all sectors where security is an essential aspect. This user-friendly security aspect has multiple modesamong them some methods are analyzed as follows.

### 3.2.1 Fingerprint Impression

To work with fingerprint security access over the security enables access. The fingerprint impression initially taken and stored in the database, while proving the authentication it is been checked with the previously stored record if the fingerprint matches with the previous record the service is provided or otherwise the service is denied. Fingerprint is a unique feature in all humans which will differ from person to person; even twins differ with their finger impression. Even in some advanced authentication system even a minute finer impression lines called as papillary ridges, furrows, loops, whorls, and arches., are recorded in some advanced Fingerprint authentication systems, As the biometric is useful to the user and it has high accuracy comparable to other authentication devices. This Biometric system considering it a real time example, it is common to see nowadays the system is utilized in user mobiles where any of the finger impression is stored as a key and it is matched with the Biometric' similarly user is identifies and security is provided In self-proving applications like, attendance to citizenship of the users.

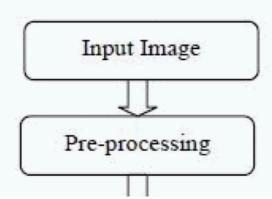
### 3.2.2 Securing Through Retina and Iris

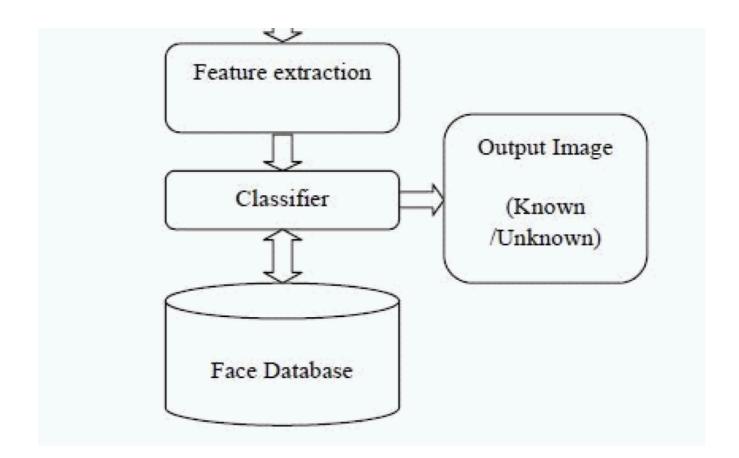
In this mode of biometric system, scanners scan the retina and the iris through a strong light. This light impinged into the human eyes and the retina and iris get scanned and the pattern of retina and iris is get stored in the database. This pattern is compared when the user attempts to access the product or the service.

#### 3.2.3 Biometric Security Through Facial

Human face is used as the security aspect for locking the device in facial authentication, multiple angles and multiple aspects of the face is captured and stored in database.

#### Figure 5. Biometric authentication methodology through facial recognize



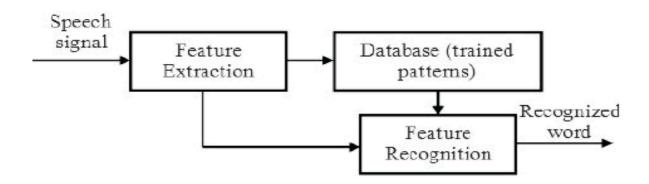


User attempts to use the device or application. The face features are compared with previous stored data and the access or denial is provided to the user.

### 3.2.4 Biometric Voice Recognition

Humans voice tone will differ person to person. Initially the voice tone is stored in a data base with various angles with a various modulations. A secret code is trained and stored in the database with the modulations.

#### Figure 6. Biometric authentication methodology through voice

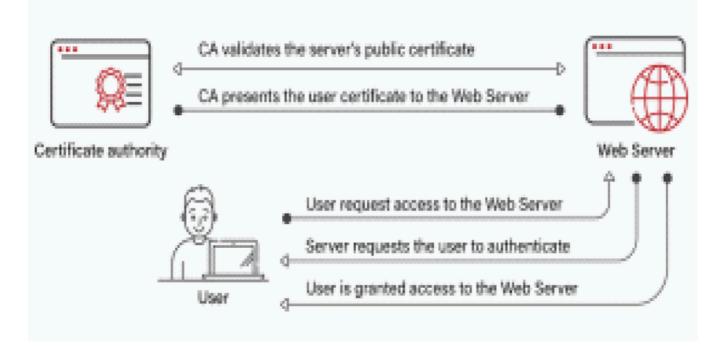


recognised. So, while the users access the device with the code with his or voice it accepts and allows user to access. So, if the code or voice is not acceptable form the access id denied.

#### 3.2.5 Certificate-Based Authentication

This authentication involves only software not on other human features it is different compared to bio metric based. In this authentication certificate is generated based on the request of the user users should prove their identity, It may be by their username or password or through the challenging questions. The user should answer the secret question and sign with public key and private key. Based on this a one-time accessible certificate is provided. The certificate will be provided based on valid username and password.

#### Figure 7. Certificate-based authentication

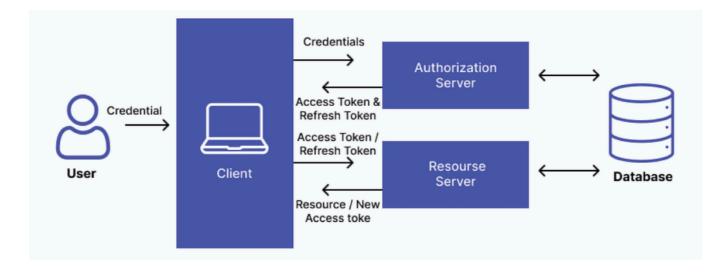


This certificate is valid for a single session and with the particular time interval. These modes of authenticating provide better security without any external hardware tool. The digital signature is linked with the certificate to strengthen the security. In this authentication method the Certificate-based authentication identifies people, servers, workstations, and devices by using an electronic digital identity. In our daily lives, a digital certificate functions similarly to a driver's licence or a passport. A certificate is made up of a user's digital identity, which contains a public key and a certification authority's digital signature. This certificate verifies that the public key and the person who issued the certificate are both the same person. When a user attempts to log in to a server, they must first present their digital certificate. The server checks the digital certificate's identity and credibility by confirming that the user has a correctly associated private key with the certificate using cryptography.

### 3.2.6 Token-Based Authentication

In this system of Token-Based Authentication the users are allowed to enter their identifications once at a time and to receive a link to encrypted string in return of exchange. You will be allowed to access the service. There will no requirements re input your credentials latter. This digital link token will ensure the gained access of the service. This authentication system are mostly use use-cases, similar to Restful APIs that are accessed by many frameworks and clients.

#### Figure 8. Token-based authentication



Authentication through token based consists of authentication tokens that will be provided through server, Token based authentication performs in the following manner, and authentication is performed in four stages as initially as Demand, Confirmation, Tokens and Determination.

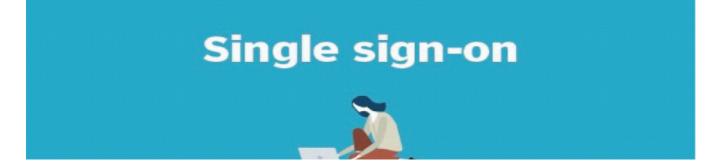
In Demand, the user client demands for acceptance to protection to asset interestingly. With the support of username and user credentials the demand for the link is generated. In the verification process after proving the client's certificates the user confirms his presence in using the service, and then the tokens are exchanged between clients and server. After exchanging of authentication tokens, the access is granted to the user to access the service.

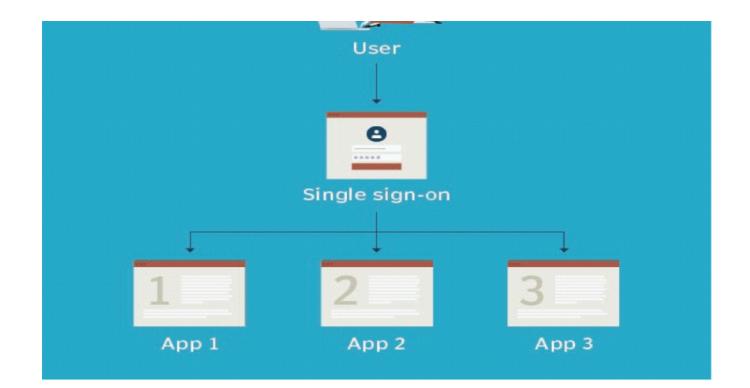
Considering the pros and cons of the method of token-based authentication it is having good effectiveness, adaptability and security. In the cons part secret key can be compromised and the authenticate period of access has been comparatively will provide the chance attackers to access over it.

### 3.2.7 One-Time Sign-On Authentication (SSO)

In the method of One Time –sign on it is similar to username and password system. In this initially created when the user the creates the account to get any of the service, he /she provided with secret single sign on key through that entire service are provided, for example a Gmail account is created through that username password entire Google service can be obtained. As the Google is providing multiple service mail services to money transaction, here they can make a single sign on to access entire services. This sign on can considered double sided sword at one end you can experience a smooth access of services through a single sign on, on another end your username and password exposed multiple times and chances to pave way for the security breach.

#### Figure 9. One-time sign on





The user should remember his username and password only. The user has to create the username and password, if they forget their username and password, they can contact the admin and proving the identity and authentication they can re-sign in their account and start access their services.

### 3.2.8 Dual Factor Authentication

In the dual factor authentication user is to pass to two different authentication sign in procedures to prove their identity as the proper user, there is chances of attack if the eaves dropper attempt to access the account when it comes to dual authentication mode there is s chances, the attempter will be locked any one of the point.



#### Figure 10. Dual factor authentication

In this method even though user login with his username and his password an OTP is generated and it is forwarded to his mobile or to his email the user should type the message to prove that he is the right person accessing his own account or a challenge for proving his identity is triggered to the user while the time of login Most of the multiple service providers like money apps banking apps utilize dual factor authentication system for protecting their device and their account

#### 3.2.9 Adaptive Authentication

In this authentication methodology multiple factors like users device IP, Location data, device data and access time of the device are considered while authentication approval it is apart from two factor authentication it can be considered as multi factor authentication .In this authentication methodology the user are enabled enter the username and password, while entering the information his device ip address, device address and time of device access in taken into consideration matching all the factors verifying the user is accessing from prominent area or device the approval is provided based on the request.

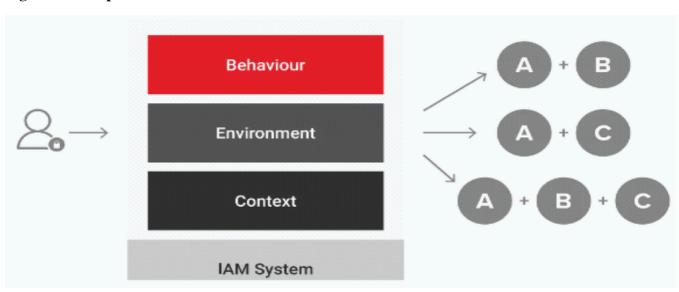
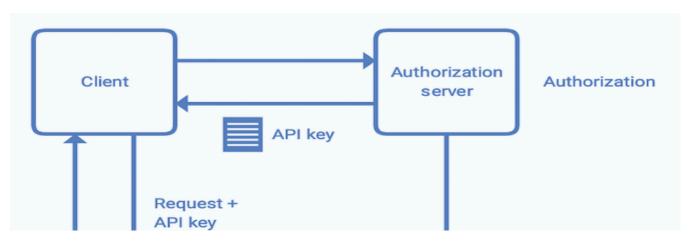


Figure 11. Adaptive authentication

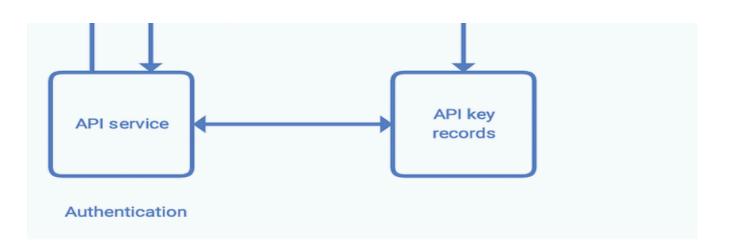
You could have experienced this authentication, while using Gmail services, when you use the Google in different or new device initially apart from your old device it provides a approval call or message to the old device you mostly use, After getting authenticated from the user only it allows to access the service. Through this security authentication methodology user genuineness is proved.

#### 3.2.10 API Authentication

Application programming Interfaces (API's) are a important links which allows the applications to communicate and provide services and to exchange required authentication before imitating the access of any applications, the targeted application really requires the authentication of the client .



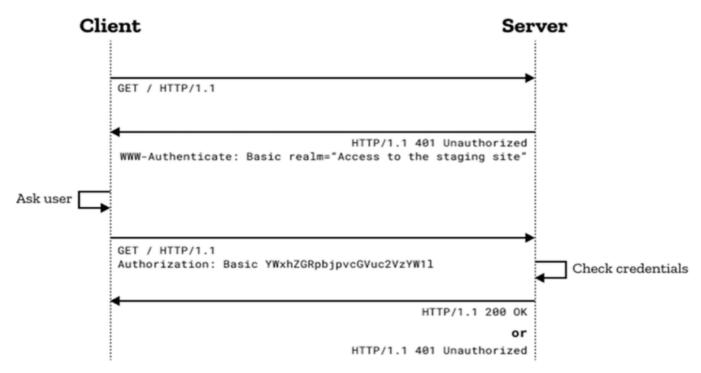
#### Figure 12. API authentication



Individual applications have their application security gate ways in that each end user will be proving their authentication through APIs before accessing the application it is one security aspect. There are many API authentications are in use in that HTTP Basic, Auth, API keys and OAuth are most popular one and most in use.

#### 3.2.11 HTTP Basic Authentication

HTTP Basic Authentication provides the framework for authenticating a user in this form of security it provided in the way that server challenges the user with username and password. While user tries to access of the service, he or she wants to prove his authentication.



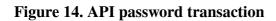
#### Figure 13. HTTP authentication

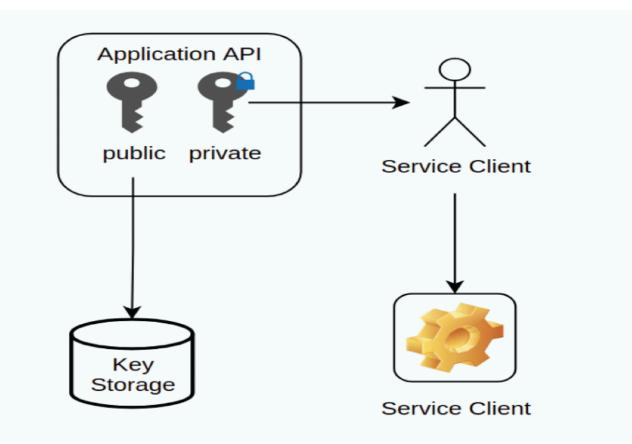
It is an authenticity through user's username and password, because it believes in HTTP header itself. This approach does not require cookies, session IDs or login page.

#### 3.2.12 API Passwords

API key is an unique solution for the web service queries it identifies the user request based on the source,

when the request arises from the user a secret key is generated a key token is enabled and provided to the user. The user should use this key while using it first time through registration. This key token is made pair with the API. If the user attempts user the service subsequent times, previously generated token similar link will be activated, and verification is made, and service is provided.





Simply saying an ID is created based on the user credentials; this id is used entry all service access to better understanding UPI money transactions works under this concept of API password security (<u>Anees et al., 2022</u>).

### 4. MACHINE LEARNING AND CRYPTOGRAPHY

Still, they will have a series of input/ affair dyads for the unknown function f, if the cryptanalyst possesses a set of matching plaintext/ cipher text bits. Exercising a learning algorithm that can conclude f from this data collection can serve as a precious cryptanalytic tool. Also, a chosen- cipher text attack subventions the cryptanalyst the capability to query the unknown function f at any given point. Thus, a learning algorithm that can infer f through queries can prove to be an effective cryptanalytic tool. It's worth noting that a description of learn ability that allows for" approximate" learning is well- suited for this problem. However, they will be suitable to decipher 99 of the plaintext, if the cryptanalyst can learn an approximation of f that aligns with f 99 of the time. Let us first consider a known plaintext attack. Sound cryptographic design principles mandate that f should have an equal liability of outputting 0 or 1. This generally implies that the contents of the shift register can be viewed as a aimlessly named illustration from  $\{0, 1\}$  n, drawn according to the invariant distribution. (We emphasize that this supposition is what makes our statements academic; thorough analysis or trial is necessary to validate the reasonableness of this supposition in each proposed direction.) There live colourful learning- proposition results that assume exemplifications are drawn from  $\{0, 1\}$ " grounded on the invariant distribution. While this supposition may feel unrealistic and restrictive in utmost learning operations, it aligns impeccably with a cryptographic script. What assignments can be deduced from cryptography?

A deep learning model cannot be trained with only one source of training data in some applications. As a result, many data owners want the set up to study both their data from all the form. In order to attain the target, they can use one cloud and let the model in the cloud learn from their data. The cloud largest data storage possesses a huge amount of different data sources. To protect the data of the users cloud storage security should be ensured for that a homomorphic form of encryption is recommended.

Participating translated training data aimed two tricks (i.e. introductory gambit and improved gambit) to save sequestration for druggies who asked deep learning and cloud combine each other to ensure the data security. It is understood that the outcomes of the form are direct to achieve to be obliged, but there have chances to disclose. Initially, introductory formulation of gambit and the cloud are grounded to community-key completely involved in encryption of homomorphic.

#### The Multi-Level Complexity

There are two types of collaborative deep learning: sharing encrypted training data and sharing encrypted gradients.

Even though cryptography is protecting the data transaction among the users the security aspect should be upgraded with upcoming technology machine learning ideology will support in this aspect. Some of the machine learning algorithms will be supporting in this supporting for providing better security. In this section machine learning algorithms is discussed.

Encryption programs are not necessarily used on machines model learning, and this decade, machine learning must be in the field of encryption Here Machine learning recognition can be divided into two types(1) non-adversarial adaptive machine learning and (2)Resistance to adversarial machine learning. A non-adversarial machine learning scripting used neural network models Create an encryption scheme and it improves on the previous ones by using a hybrid approach and establishing nonlinear properties of neural network models Encryption and decryption scheme.

#### 4.1. Non-Adversarial Adaptive Machine Learning

The secret key for this program is network parameters (weights and biases) or mixed with some random numbers. The situation is like, if the network is exposed, the solution is not secure. Building a scheme based on symmetric encryption and decryption based on genetic algorithms and DNA software. It consists based on `the key output section and an encryption and decryption section part. First, use the general algorithm for doing the lock Generation, the process of mutation and transmission and choice. The main candidate for the double string is to initialize, then change to delay Get the number of candidates by randomly changing some bits of each candidate the forum. After that, there is a transition to increase Get as many candidate obtained from this result is best fitness functionality is maintained. This process continues this continues until the random value reaches the threshold. Then the encryption scheme can be

achieved by: DNA computing. Convert a plaintext bit string Create a DNA series by assigning 00 to A (adenine) and 01 to A (adenine). C (cytosine), 10 to G (guanine), 11 to T (thymine). This DNA strand is processed by transcription and translation. Processed and converted to a binary string. This string is performed using an exclusive OR (XOR). An operator using generated keys. Decryption this process is the reverse of the encryption process.

#### 4.2 Resistance to Adversarial Machine Learning

Currently, researchers are focusing on neural cryptography, an encryption and decryption algorithm. You can learn to increase privacy there are enemies in this program. and proposed methods based on deep learning Provides privacy for communications with adversarial neural networks Cryptography. A simple example is Alice, Bob and Eve. Alice and Bob use asymmetric encryption to communicate. They want privacy. Eve refused Communicate with the desire to get a clear text from the communication Encrypted message. For example, it can be seen in the picture. 3. Alice asymmetric constructs the cipher text C from the plaintext P Lock K and send it to Bob. At the same time, Eve also agreed Text C. Bob receives the cipher text C, and tests it Use the K key to decode the C text to save the P text and Print the returned ten text P Bob. Even so, Eve tried Returns the text P and produces the plain text P Eve. Note that Bob has an advantage over Eve because he has the K key, But Eve did not do that (<u>Basu et al., 2019</u>).

#### 5. BIOMETRICS AUTHENTICATION AND MACHINE LEARNING

In terms of safety, enhancing accuracy and performance of biometric authentication structures can't usually be completed with human programming. Artificial intelligence and device getting to know can assist us make our structures greater cosy as well as resourceful. This technique can be understood into two aspects for consideration into domains, Corporal as well as manners.

#### 5.1 Corporal Bio-Metric Technique

Corporal bio-metrics comprises of the whole thing so as to be got previously talked about in proposed research outcome. Those are idea from a individual features similarly unique i.e. (face or fingerprints, DNA, and iris). These features ought to transform as facts, these facts data are taken as input for the analysing grouping the features and decision making through AI gadget that can be analyzed by technology. In a comparison the opposition to a record for substantiation functions.

At one instance in which Artificial Intelligence and Machine Learning proves to be handy is with recognising the human face. Worked significantly to amplify fact elucidation, Artificial Intelligence be capable of assist craft human face recognition with the support of computer systems multiple feature of single face based image are compared and the actual face is indentified to provide authentication.

#### 5.2 Behavioural Bio-Metric Practice

The maximum appealing developments with the intention of AI create feasible is actions bio-metric generation. It makes use of specific actions traits of ways they interact with the arena, matters that the consumer won't even recognise approximately themselves. The defending factor against the cavernous faux swindle tries. A number of famous human feature authentication techniques are analyzed in this section:

- 1110403 11101000

- Key-stroke activity
- Touch-screen pushes length, region, and force
- Cell tool activity

Through lab research outcome Bio-metrics and information sample are self reliant. The University of Madrid advanced invented *BeCAPTCHA*, an anomaly revealing technique which makes use of human activity based bio-metrics. The technique is achievable that human activity based bio-metrics may be used without any technical support, getting rid of the want for stressful check of person's human act based CAPTCHA demanding situations made to perform. In this technology the users are challenged by clicking a set of pictures of similar images for proving users are not robots .User must prove him that he or she is not robot.

Importantly, behavioural biometrics can help in ensuring safety in the course of a whole consultation. For instance, the person validates himself as well as following it he goes away from system for a instance, if someone takes the control over the system. The user uses the same system, based on the activity the unauthorised access can be detected based on behaviour. For stopping the access systems restricts them by providing self proving questions. By this security in ensured to the users system.

#### Working Procedure of Multi-Model Bio-Metric Authentication

Bio-metric has its own advantages and disadvantages. The layer based security as well as multi –model verification is recommended to improve accuracy and effectiveness of bio-metric verification, it's essential to layer security with multimodal biometric recognition answers.

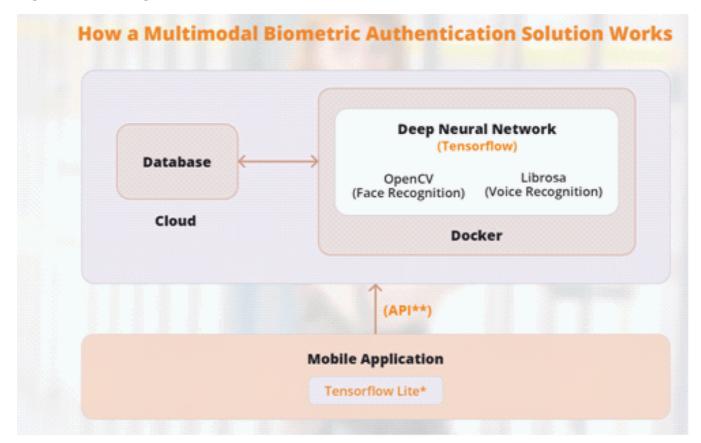


Figure 15. Working of biometric authentication multi model

Mobile networks possessed neural networks are tough increase and implement. Neural networks can be integrated into applications the usage of Tensor Flow Lite, but there are various boundaries. Training your neural network models with the Tensor Flow library can help. You may need to thoughtfully layout the structure of the software in advance and placed those necessities into attention.

If a local application isn't viable, this technique may be offloaded to the cloud to procedure the statistics like relaxation API. However, this will incur extra networking sources and require an internet connection.

There is an Nvidia Docker which could simplify the deployment of the device, whilst a carrier company (such as AWS) can provide an uninterrupted communication channel, computing electricity for neural networks, and a convenient interface for scaling your gadget.

#### **Case 1: Facial Identification**

The user creates a photograph-imprint that's stored at the tool the use of a digicam. This biometric imprint is transformed and normalized the usage of the OpenCV library.

The face is diagnosed the use of a photograph, and every of the sixty four landmarks detected by way of OpenCV are highlighted. A biometric verification landmark consists of such things as a distance from the bridge of the nose to the attention and other facial features.

Those landmarks and a cutout image of the face are transferred to a deep neural community, that's trained using the TensorFlow library.

An eDNA characteristic vector is formed after neural network processing is finished. The function vector collects the biometric traits of a selected man or woman. The duration of the vector is typically 2048 bits. The lengths depend upon the DNN architecture.

In the course of verification, eDNA is issued and in comparison with the anchor file that become formed earlier. Opposite engineering is not possible due to the fact there is no get admission to to the vector. The biometric machine will periodically replace this anchor record to healthy an person's converting seems.

#### **Case 2: Voice-Over Authentication**

The consumer gives a voice sample through a microphone that gets processed with the aid of the Librosa library. The library reads the audio, transforms and converts it, and then transmits biometrics to the neural network (DNN).

An eDNA function vector (2048 bits) is fashioned, which takes into consideration such biometrics as timbre, intonation, tempo, pitch, and different traits that the neural network changed into trained to reply to.

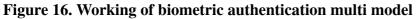
Case take a look at: AI-based totally Biometric Authentication solution

By means of combining a microservice-based architecture, WebRTC, and gadget getting to know powered biometric reputation, we evolved a unmarried signal-on (SSO) biometric authentication solution for a US-based company. Utilizing voice and facial recognition, we developed an organization verification as-a-service (EVaas) answer that makes use of the technology and developments mentioned in advance.

Case Analysis: AI-based totally Biometric Authentication Analysis

By way of combining a microservice-primarily based structure, WebRTC, and gadget studying powered biometric recognition, we developed a single signal-on (SSO) biometric authentication solution for a US-based totally company. Using voice and facial recognition, we developed an organisation verification as-a-carrier (EVaas) solution that uses the technologies and trends mentioned in advance.





This product proved that biometric authentication structures may be tremendously customizable and clean to apply, powering a completely simple consumer interface from behind the scenes. In addition, this case was able to combine with existing systems through API.

Securing systems isn't a count number to take lightly. While touchy statistics is on the road like covered health records or institutional facts is on the line, it's miles extremely vital to take the measures important to save you statistics breaches. Failure to maintain an excessive level of security surrounding this information can bring about fraud which could value groups tens or even hundreds of tens of millions.

Biometric authentication and verification technology, in particular the ones powered by using synthetic intelligence aren't smooth and frequently require specialists inside the discipline to correctly combine them with present systems. If you are thinking about your alternatives for enforcing new safety features into your device to your business or on your customers, we encourage you to attain out to our ML team to have a communiqué about your need.

Workspace security may be a fiddly money drain, specially for corporations that cope with touchy information, or run multiple offices with heaps of employees. Digital keys are one in every of the standard options for the way safety structures may be automated, but in truth there are lots of downsides like misplaced, forgotten, or faked keys (<u>Rivest, 1991</u>).

Biometrics has proven strong options to the traditional safety features, considering the fact that they

represent a concept of "what-you-are" authentication. This indicates, someone can use their unique characteristics like fingerprint, iris, voice, or face to show they have got get right of entry to a positive area. The use of biometrics as a way to authenticate ensures the important thing can't be lost, forgotten, or fabricated. So these days we'll talk about our enjoy with aspect biometrics, that is the combination of area gadgets, AI, and biometrics to put in force AI protection tracking structures for places of work.

#### **5.3 Edging Biometrics**

First matters first, what is edging AI? In a traditional architecture of artificial intelligence, a not unusual exercise is to install models and statistics in the cloud, cut loose the working tool or hardware sensor. These forces us to maintain the cloud server in a proper nation, hold a strong internet connection, and pay for the cloud carrier. If the garage seems inaccessible in case a web connection is misplaced, the complete AI utility will become useless. The best brilliant pitfall is that as all of the processing needs to be accomplished on the tool in a short amount of time, and the hardware components need to be effective sufficient and up to date for this function.

For obligations like biometric authentication with face or voice recognition, rapid response and reliability of the security machine are vital. On account that we need to make certain seamless person enjoy in addition to proper safety, counting on edge devices gives those advantages.

Biometric records like worker faces and voices appears to be cozy sufficient, due to the fact they constitute particular styles that may be diagnosed by neural networks. Additionally, this type of information is easier to acquire, as maximum corporations have already got pix of their personnel in their CRM or ERP. This way you can additionally avoid any privacy worries through accumulating, say, fingerprint samples of your people.

Combined with area, we can create a flexible AI safety dig cam device for workspace front. So allows discuss the implementation of this sort of system primarily based on our very own experience of automating workplace entrance tactics with the assist of part biometrics.

A comparative analysis is been processed between various bio-metric ideology those ideas are shared in the table, here characteristic features of each bio metric devices are analyzed with multiple factors and best of the biometric approach is identified.

Characteristics	Fingerprints	Hand Geometry	Retina	Iris	Face	Signature	Voice
Easy of Use	high	high	Low	Medium	Medium	High	High
Error Incidence	Dryness, dirt, age	Hand injury, age	Glasses	Lighting	Lighting, age, glasses, hair	Changing signature	Noise, colds
Accuracy	High	High	Very high	Very high	High	High	High

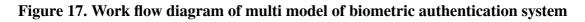
Table 2. Characteristics features of biometric technologies

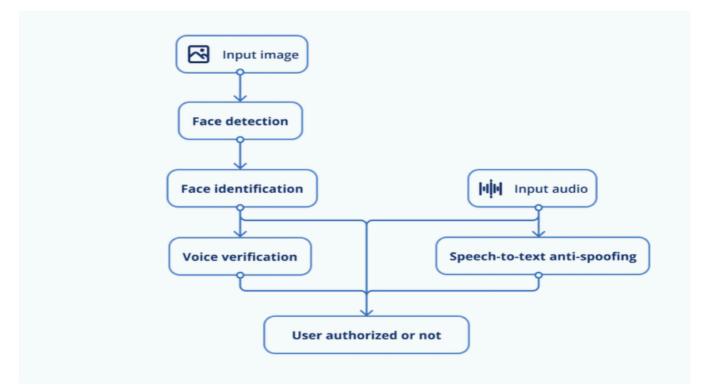
Long Term Stability         High         Medium         high         high         Medium         Medium         Medium	User Acceptance	Medium	Medium	Medium	Medium	Medium	High	high
		High	Medium	high	high	Medium	Medium	Medium

In this table comparison is made between the various characters of bio metric technology and bio metric factors each ideology its own advantages and disadvantages. So the combination of multiple bio-metric techniques will make security system strong for the usage.

#### 5.4 Al-Based Place of Business Surveillance Machine Design

The main concept in the back of the challenge becomes to authenticate personnel on the workplace entrance with only a look inside the camera. The laptop vision model is capable of discover a person's face, compare it with the formerly obtained picture and release the door. Voice verification turned into brought as an extra step to avoid tricking the system in any manner. The complete pipeline includes 4 models that bring distinct obligations from face detection to speech recognition.





All of those steps are carried out thru a lone facet device that serves as a video/audio enter sensor, in addition to a controller for sending instructions to fasten/release doors. As an part device, NVIDIA Jetson Xavier has been selected. The primary reasons in the back of this choice were the presence of GPU reminiscence (that's important for accelerating inference for deep learning initiatives) and the supply of Jetpack – SDK from NVIDIA, which permits coding on devices in Python3. Therefore, there is no strict need to convert the DS version to another layout and almost all the codebase may be tailored to the device with the aid of DS engineers, so no rewriting from one programming language to some other is required (<u>Vasilchenko, 2024</u>).

#### 5.5 Challenges of Bio Cryptography

As AI supported bio cryptographic has many positive parts there are some challenges to still to meet out also to be discussed in three aspects there is threat to authentication of Bio cryptography.

**Identity Spoofing:** With the support of same AI technology a Spoof of personality can created with many AI tools .This spoof can take place in image based bio metrics, With support of AI techniques mitigated identity of finger print, iris, face, voice and palm can be created in fake. Fake photos can be replaced to fake photo to prove the authentication. This spoof mirage blinds the human, by that they can't able to differentiate between real and replica. Solution for this challenge should sort in near future.

**Deep fakes:** Deep fakes is the new threat was reported currently that is artificially created personalities are created as moving images that is videos .This fake videos make false proof and damages their personal image. Deep fakes combines morphed image and voice of the person and created as a moving image .That is portrayed as a real image, Human eye cant able differentiate between real and fake . This is really big challenges to be provided solution.

**Legal and Civil challenges**: There is huge need of rules and regulation for protecting the identity of human credentials. The personal details can be revealed with some compromises in the real world today. To protect the details proper laws should be framed accordingly and punishment also should be strong for the defaulters .This also can be considered as a challenges to be sorted out (Incode, 2022).

### 6. CONCLUSION AND FUTURE STUDY

Bio cryptography and authentication based on the bio cryptography is future of protecting humans credentials, as there is no replacement for the human individuality this mode of protection may provide better security. With support of Artificial intelligence supported algorithms will map the multiple human aspect make a relative cryptographic cipher lock of encryption which will be stronger, and the decryption key would be the personal identity will make the better bound of security to the data.

This may happen in near future within a half a decade the biometric based authentication can be act as a key for all kind of banking transactions. For all kind of locking aspects and can used for security locking, medical record locking, for travelling and can make your data safe by using biometric keys. In future for all kind of daily activities will be act as an essential feature (<u>Mthunzi et al., 2019</u>).

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security

## **CHAPTER 4**

## An Adaptive Cryptography Using OpenAI API:

Dynamic Key Management Using Self Learning AI

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#### ABSTRACT

Security functions which are present now, such as the SHA series of hash functions, other brute force prevention protocols, and much more, are keeping our cyber fields safe from any script-kiddies and professional hackers. But the recent study shows that penetration tools are optimised in numerous ways, enabling the hackers to take in a big advantage over our key logging and brute forcing prevention tactics, allowing them to make a clean hit over the fragile databases. Many of the existing domains now are optimised with the added benefit of artificial intelligence support. Specifically, the OpenAI API market has grown plentiful of their uses, and the password hash automation now has a time to get upgraded.

#### **1. INTRODUCTION**

The Idea is to create a self-learning and bug withstanding AI. A tremendous data is fed and worst case history of bugs and hackers are collected, using the trustworthy OpenAI's API. Their algorithm is used to train and get the response of a threat immediately instead of a 24hr monitoring of status of the medium. The AI will replace few tedious tasks, making sure to give a complete log of an error or a breach. It locks the important databases and other codes from getting spread, into completely removing any bugs if the bugs which are in active attacking module that has previously encountered by some other instance of this same AI. Moreover, this AI can also be used to shift few keys in the current encryption status, creating new keys over the user location, as well as the domain location systems. This is the future of the great vault of security and privacy.

OpenAI Models are easier to retrieve and train, due to its increasing popularity and their dataset training methods. The dynamic key encryption requires predictive hash generation as well as key storage by AI. The AI model that we are about to train and analyse is the slight variation of OpenAI's API, specifically GPT-3 language model for NLP. First is the analysis phase, in which the data is collected from previously faulty dataset of cryptographic environments, security incidents and its respective cyber protection, the ways of bypassing the history of cyber malfunctions and data breaches, anomalies in existing system, and all the other defects within a system, and finds preventive measures. This form of data collection is especially useful when providing and feeding it to an OpenAI API connected Cryptography machine. It finds the error, if the symptoms of the attack are related to any previous known attack strategy, or if it encounters a new kind of attack, it must store the attack pattern in its database, and must adapt to the upcoming attack by another form of defence, dynamic key management.

Dynamic key Management ensures that the key of certain hash or information gets randomised multiple times in our systems, servers as well as host systems. Dynamic key Management AI also must be responsible for storage, delivery and generating new forms of key hash, either by random generation, or by seeded noise generation. When using a seeded noise generated key, it is a good practice to choose the seed of randomised noise with another randomizer with a unique seed, which ensures that even the seed of the current key gets blown; the decisive algorithm changes the seed of the randomizer responsible for changing seeds of key randomizer. And thus, a triple layer key protection as well as Dynamic key handling is done by OpenAI tool. This Layered Security in any form of media is fool proof and easily operable to an admin as well. Thus the next security encryption comes in the format of protection of this AI from a hack-insider or key logger for API of AI.

Together with all these qualities, we are going to create an AI capable of detection of all forms of intrusion

#### 2. BACKGROUND

Cybersecurity is the most required field of division in this modern era, which solely runs on the digital media for data transfer and usage. In this day and age, thieves may not require your wallet to get your identification, but may readily steal it from the systems you are trusting. This unfolds the term "cyber-crime". Traditional security measures face challenges due to evolving hacking techniques, including the use of script bots and AIs (Pearce et al., 2023), rendering manual penetration avoidance and security measures less effective.

Notably, the advent of AI has introduced new complexities, such as spear-phishing using GPT (Khan et al., 2021), overpowering some network-based intrusion detection systems (Gala et al., 2023). Researchers like Joshi et al. (2022), explored automatic penetration methods like SQL Injections, influencing our project's scope. Gallus et al. (2023) investigated how Generative Neural Networks, akin to DALL-E and ChatGPT, can serve as web application penetration testing tools, leveraging Large Language Models (LLM) and Generative Neural Networks.

Research by Li and Oprea (2016), on breach detection using security logs in an enterprise, inspired our AI-IDS to detect breaches through security logs. Memos and Psannis (2020) highlighted the use of AI in honeypots for botnet detection, a crucial component in countering Distributed Denial of Service (DDoS) attacks. Prasad et al. (2023), emphasized the role of GPT models in the cybersecurity domain, guiding our

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project's direction.

Srinivasan and Deepalakshmi (2021) contributed insights into AI-driven malware detection, <u>González, et al. (2023)</u>, explored anomaly detection using Generative AI, influencing our use of AI for detecting anomalies. <u>Kandhro, et al. (2023)</u>, delved into real-time detection of malicious intrusions and attacks, guiding our understanding of attacker identification.

<u>Cova et al. (2010)</u>, identified the anomalies and analysed the JavaScript code. <u>Bailey et al. (2007)</u>, proposed a classification technique and analysed the behaviour of malware.

Song et al. (2008) provided a binary analysis on BitBlaze project. <u>Kruegel et al. (2005)</u> demonstrates to detect the cyber stealth attacks. Zhang et al. (2010) discussed about the challenging threats in Web applications.

<u>Bilge et al, (2011)</u>, analyses the DNS queries to detect the domains that involves in malicious activities. <u>Grier et al. (2012)</u> investigated the exploit as a service model by analysing the malicious URLs. Canali et al. (2011), explored the vulnerabilities in the firmware images.

These resources and researchers lay the foundation for the Antibody AI project, reflecting the collective wisdom and advancements in the field of cybersecurity. Their work informs our efforts to develop a robust defense mechanism against emerging cyber threats.

#### **3. PROCESSING WAYS**

The Creation of this revolutionary AI comes with a 4-part training and programming method, each of the processes used for some component of the bigger AI, The Antibody so we speak. The process of creation of this AI is dependent on following factors such as,

- Breach Detection using SUND factors
- Historical breach data Detection and Comparison of current breach with previous attempts.
- Security Protocols and Attack Alerting Systems
- Lockdown Protocols and Aftermath Response Mechanisms

#### 3.1 Breach Detection Using "SUND" Factors

"SUND" stands for the four main components as the raw inputs for this protection system, (i.e.) Software Logs, User-Entry Logs, Network Logs, and Database Logs. The Breach Detection system embedded within this AI serves as the primary line of defence, playing a vital role in protecting systems against potential threats posed by key loggers and other malicious entities attempting a brute force attack. The Antibody AI is equipped with a vast array of inputs strategically designed to monitor and ensure the security of systems. These inputs are easily configured to track various parameters, both internal and external to the organization, thereby providing a secure surveillance approach. The primary objective of the Antibody AI is to swiftly identify any irregularities or signs of a breach within the system from the usage of given inputs, enabling proactive measures to be taken promptly. By constantly monitoring the safety of the systems and employing a sophisticated set of inputs, the Antibody AI enhances the overall resilience of the cybersecurity framework, ensuring a robust defence against emerging threats. The Inputs required by the AI for Breach Monitoring are,

- Software Logs
- User Entry Logs
- Network Traffic Logs
- Database Logs

Additionally, the Antibody AI needs another Parameter to use, which is the IRS access (Intrusion Response System Access).

Each of those inputs is used separately in accordance with the AI's own dataset of "History of Breaches" set. The AI is trained with the History of Breaches Dataset, and when the AI detects a Breach environment using the above given inputs and proceeds to further steps. Input Samples acquired from the logs shown in Table 1.1

Table 1. Input samples acquired from the Logs

Data No.	IP Address	Network Ping of the IP	Software Code Usage of the IP	Sql Injection Detection
1	192.168.0.71	345ms	72% memory	False
2	192.168.0.72	228ms	68% memory	True
3	192.168.0.73	315ms	45% memory	False
4	192.168.0.74	12ms	30% memory	False

#### 3.1.1 Software Log Usage

The AI here uses software logs as a part of any in-app code maliciously runs any key logging or if an outsider/hacker tries Reverse-Brute forcing through some employee's old password. Such cases are still prevailing as a go-to means of penetrating through the security of the company. The AI supposedly detects any form of malicious activity present within an application

- if any Brute forcing is occurring from within the application
- if the application uses any malware code to steal user data
- if the application has any unnecessary backdoors

Whenever there is a malicious code using an innocent part of any software as a host, it is bound to leave its traces within the software, and those traces will definitely be collected via the log. Some of the software code usage is cleared as it may be of over-usage of a singular function, ranging from small memory and ram usages to collectively using a large part of the software. Sometimes, the malicious code doesn't keep track of how much ram it has consumed, while the AI scours through the software checking for valid signatures for the software and the software's memory usage validity. The first defence is to note the usage down and report it to authorities whilst writing the ip of the memory usage. When specific threshold is

reached and once the AI detects it, it causes its protocols to activate, decreases secure points and detects the malware to be sent to IRS (Intrusion Response System). Sample Software code log shown in Figure 1.

#### Figure 1. Software code log

Name /	Description	Status
Microsoft Exchange Active Directory Topology	Provides AD topology information to Exchange services. If this service is stopped, most Exchange services ar	Started
Microsoft Exchange EdgeSync	The Exchange EdgeSync Service.	Started
Microsoft Exchange File Distribution	Microsoft Exchange File Distribution Service.	Started
Microsoft Exchange Hygiene Update	The Exchange Hygiene Update Service.	Started
Microsoft Exchange IMAP4	Provides Internet Message Access Protocol (IMAP4) Services to clients. If this service is stopped, clients are u	
Microsoft Exchange Information Store	Manages the Microsoft Exchange Information Store. This includes mailbox stores and public folder stores. If t	Started
Microsoft Exchange Mail Submission Service	Submits messages from the Mailbox server to the Hub Transport servers.	Started
Microsoft Exchange Mailbox Assistants	Performs background processing of mailboxes in the Exchange store.	Started
Microsoft Exchange Monitoring	Allows applications to call the Exchange diagnostic cmdlets.	
Microsoft Exchange POP3	Provides Post Office Protocol version 3 (POP3) Services to clients. If this service is stopped, clients are unable	
Microsoft Exchange Replication Service	The Exchange Replication Service provides replication functionality used by Local Continuous Replication and	Started
Microsoft Exchange Search Indexer	Drives indexing of mailbox content, which improves the performance of content search.	Started
Microsoft Exchange Service Host	Provides a host for several Microsoft Exchange services	Started
Microsoft Exchange System Attendant	Provides monitoring, maintenance, and Active Directory lookup services, for example, monitoring of services	Started
Microsoft Exchange Transport	The Microsoft Exchange Transport Edge Service.	Started
Microsoft Exchange Transport Log Search	Provides remote search capability for Microsoft Exchange Transport log files.	Started

#### 3.1.2 User Entry Log Usage

User Entry and Exit logs are stored as a part of code in the biometrics attendance section. The AI checks for stray IPs and checkout times to detect any strange activity of any of the IP Addresses within the network, proceeding to alert the Division responsible to handle, also triggering the lockdown protocol series-1 (refer lockdown protocols section) with the instance of the suspicious IP, thus protecting others from the network. If an Optimised Brute Force Algorithm user uses botnets or zombies to enter, a number of trials and entries can be detected and the lockdown protocol series-2(refer lockdown protocols section) is triggered to protect the users. Other users are safe to work since their work is forcefully and temporarily moved to a virtual working environment until the attacker is contained or the botnets are removed by safety measures. User Sign in log shown in Figure 2.

**NOTE:** Antibody AI here is not the entire IDPS and IRS package, it is just the cryptography securer and breach detector IDS, so depending on the IRS in the systems and the cyber division of respective company uses, the time of stay in virtual work environment for other users is depended.

#### Figure 2. User sign-in log

Login Hist	tory				0
User Name	Email Address	Last Login Date/Ti	IP Address	Browser	Successful?
admin	informzqa@gmail.c	3/6/2019 11:53 AM	194.44.234.160	Mozilla/5.0 (Window	~
admin	informzqa@gmail.c	3/6/2019 11:54 AM	194.44.234.160	Mozilla/5.0 (Window	~
admin	informzqa@gmail.c	3/6/2019 11:54 AM	194.44.234.160	Mozilla/5.0 (Window	~
admin	informzqa@gmail.c	3/6/2019 11:54 AM	194.44.234.160	Mozilla/5.0 (Window	~
admin	informzqa@gmail.c	3/6/2019 11:54 AM	194.44.234.160	Mozilla/5.0 (Window	~
admin	informzqa@gmail.c	3/6/2019 11:55 AM	194.44.234.160	Mozilla/5.0 (Window	~
admin	informzqa@gmail.c	3/6/2019 11:55 AM	194.44.234.160	Mozilla/5.0 (Window	~
admin	informzqa@gmail.c	3/6/2019 11:56 AM	194.44.234.160	Mozilla/5.0 (Window	~
a staat a	1-4	7/0/2010 11 50 AM	10/ // 07/ 100	M	

#### 3.1.3 Network Traffic Log Usage

Network Traffic Logs are monitored along with other inputs in any case there is a halt in any of the systems within the network. The halt in the network may denote an additional traffic that may be caused due to brute forcing algorithms using botnets or zombie PCs to enter the mainframe. If that is a halt due to any other hindrances, the reason is noted and sent to the Response mechanism as well as the network admin, cyber security admin present within the campus to alert them of any malicious activities. If the network's jam is nothing but a ping resends, or a slow network, the AI's precision detects those and reports to corresponding staff members and measures are taken to prevent slow network traffic by any other means.

This Network log usage is directly translated into the base value of the "Secure points", allowing the AI to detect breaches shown in Figure 3.

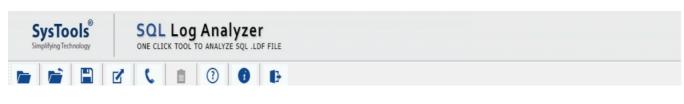
#### Figure 3. Network traffic log

214.1.211.251 [15/Apr/2011:09:40:17 -0700] "GET /global.asa HTTP/1.0" 404 315 "-" "-" 214.1.211.251 [15/Apr/2011:09:40:17 -0700] "GET /~root HTTP/1.0" 404 310 "-" "-"
214.1.211.251 [15/Apr/2011:09:40:18 -0700] "GET /~apache HTTP/1.0" 404 312 "-" "-"
219.167.17.173 [17/Apr/2011:17:55:40 -0700] "POST /sony/mmr HTTP/1.1" 200 130 "-" "PS
218.41.54.67 [17/Apr/2011:18:20:18 -0700] "POST /sony/mmr HTTP/1.1" 200 130 "-" "PS3A
10.132.93.114 [18/Apr/2011:11:05:39 -0700] "POST /sony/mmr HTTP/1.1" 200 61 "-" "Ledi
10.132.93.114 [18/Apr/2011:11:07:07 -0700] "POST /sony/mmr HTTP/1.1" 200 61 "-" "Ledi
10.132.93.114 [18/Apr/2011:11:13:52 -0700] "POST /sony/mmr HTTP/1.1" 200 61 "-" "Ledi
218.41.54.67 [20/Apr/2011:17:42:37 -0700] "POST /sony/mmr HTTP/1.1" 200 100 "-" "PS3A
60.34.131.229 [20/Apr/2011:18:22:32 -0700] "POST /sony/mmr HTTP/1.1" 200 100 "-" "PS3
202.213.251.245 [21/Apr/2011:21:16:45 -0700] "POST /sony/mmr HTTP/1.1" 200 100 "-" "F
202.213.251.245 [21/Apr/2011:21:24:43 -0700] "POST /sony/mmr HTTP/1.1" 200 100 "-" "F
178.202.110.92 [22/Apr/2011:18:59:05 -0700] "GET / HTTP/1.1" 200 315 "-" "Mozilla/5.0
178.202.110.92 [22/Apr/2011:18:59:05 -0700] "GET /favicon.ico HTTP/1.1" 404 333 "-" "
178.202.110.92 [22/Apr/2011:18:59:05 -0700] "GET /favicon.ico HTTP/1.1" 404 333 "-" "
178.202.110.92 [22/Apr/2011:18:59:07 -0700] "GET /access-navigator-media HTTP/1.1" 20
178.202.110.92 [22/Apr/2011:19:05:00 -0700] "GET /admin/cdr/counter.txt HTTP/1.1" 404
178.202.110.92 [22/Apr/2011:19:05:41 -0700] "GET //help/readme.nsf?OpenAbout HTTP/1.1
178.202.110.92 [22/Apr/2011:19:05:54 -0700] "GET /catinfo?A HTTP/1.1" 404 329 "-" "Mc
178.202.110.92 [22/Apr/2011:19:06:08 -0700] "GET /errors-navigator-media HTTP/1.1" 20
178.202.110.92 [22/Apr/2011:19:27:04 -0700] "GET / HTTP/1.1" 200 315 "-" "Mozilla/5.0

#### 3.1.4 Database Log Usage

The AI uses the Logs and Errors from Database in any of the client computers to check if any botnet is trying to enter into the system using the SQL injection method, it checks for error logs occurred at a specific time to check if any zombies are trying to penetrate into the Database. To make the prevention easy and the zombies unharmed, the AI detects this as an "anomaly" tag, and returns to the Cyber Security division in the company and leaves the zombies trouble alone. The Database is also vulnerable to some script-kiddies using SQL Injection tags within the Text Fields, so the AI monitors the inputs from the Text Fields to checks for these vulnerable Tags, and if it is detected, it is forwarded to Cyber Division of the company; also the Security protocol for this specific problem is triggered. The Database logs are shown in Figure 4.

#### Figure 4. Database log (SQL)



dbo.Appsetting(5)       INSERT       sa       2020-04-23 00:11:28       Fin InvoicePolicyContract       user_transaction       Insert into [dbo].[Fin_InvoicePolicyContract         dbo.Career(1)       UPDATE       sa       2020-04-23 00:04:49       Fin_InvoicePolicyContract       user_transaction       Update [dbo].[Fin_InvoicePolicyContract         dbo.Career(1)       UPDATE       sa       2020-04-23 00:02:30       Fin_InvoicePolicyContract       user_transaction       Insert into [dbo].[Fin_InvoicePolicyContract         dbo.Fin_BankCompanyA       DELETE       sa       2020-04-13 00:02:30       Fin_InvoicePolicyContract       user_transaction       Insert into [dbo].[Fin_InvoicePolicyContract         dbo.Fin_InvoicePolicyContract       UBELTE       sa       2020-04-11 13:07:12       Fin_InvoicePolicyContract       user_transaction       Insert into [dbo].[Fin_InvoicePolicyContract         dbo.Fin_ReceivedPaid(2;       OINSERT       sa       2020-04-11 13:07:12       Fin_InvoicePolicyContract       user_transaction       Insert into [dbo].[Fin_InvoicePolicyContract         dbo.Fin_ReceivedPaid(3;       OINSERT       sa       2020-02-26 02:07:50       Fin_InvoicePolicyContract       user_transaction       Insert into [dbo].[Fin_InvoicePolicyContract         dbo.Fin_ReceivedPaidAts       OINSERT       sa       2020-02-26 02:05:35       Fin_InvoicePolicyContract       user_transaction	Tadavom (SQL Server 2017 Transa	ction L	ogin Name	e T	Table Name	Transaction Name	Query
Image: Second		ETE S	a	2020-04-23 01:02:16	Fin_InvoicePolicyContract	DELETE	Delete from [dbo].[Fin_InvoicePol
dbo.Career(1)       Image: UPDATE       sa       2020-04-23 00:04:49       Fin_InvoicePolicyContract       user_transaction       Update [dbo].[fin_InvoicePolicyContract         dbo.Exceptions(24)       ImsERT       sa       2020-04-23 00:02:30       Fin_InvoicePolicyContract       user_transaction       Insert into [dbo].[Fin_InvoicePolicyContract         dbo.Fin_BankCompanyA       ImsERT       sa       2020-04-23 00:02:30       Fin_InvoicePolicyContract       User_transaction       Insert into [dbo].[Fin_InvoicePolicyContract         dbo.Fin_InvoicePolicyContract       User_transaction       Insert into [dbo].[Fin_InvoicePolicyContract       User_transaction       Insert into [dbo].[Fin_InvoicePolicyContract         dbo.Fin_ReceivedPaid(3)       ImsERT       sa       2020-02-26 02:07:50       Fin_InvoicePolicyContract       user_transaction       Insert into [dbo].[Fin_InvoicePolicyContract         dbo.Fin_ReceivedPaid(3)       ImsERT       sa       2020-02-26 02:07:50       Fin_InvoicePolicyContract       user_transaction       Insert into [dbo].[Fin_InvoicePolicyContract         dbo.Fin_ReceivedPaid(3)       ImsERT       sa       2020-02-26 02:07:50       Fin_InvoicePolicyContract       user_transaction       Insert into [dbo].[Fin_InvoicePolicyContract         dbo.Fin_ReceivedPaid(4)       ImsERT       sa       2020-02-26 02:07:50       Fin_InvoicePolicyContract       user_transaction		ERT si	a	2020-04-23 00:11:28	Fin_InvoicePolicyContract	user_transaction	Insert into [dbo].[Fin_InvoicePolic
Image: Second		DATE S	a	2020-04-23 00:04:49	Fin_InvoicePolicyContract	user_transaction	Update [dbo].[Fin_InvoicePolicyC
Image: Contract of the sector of the sect	dbo.Exceptions(24)		a	2020-04-23 00:02:30	Fin_InvoicePolicyContract	user_transaction	Insert into [dbo].[Fin_InvoicePolic
Image: Construction       Image: Construction<	dbo.Fin BankCompanyA	ETE si	a	2020-04-21 13:59:00	Fin_InvoicePolicyContract	DELETE	Delete from [dbo].[Fin_InvoicePo
Bob.Fin_ReceivedPaid(3 INSERT sa 2020-02-26 02:07:50 Fin_InvoicePolicyContract user_transaction Insert into [dbo].[Fin_InvoicePolicyContract user_transaction Insert into [dbo].[Fin_		ERT si	a	2020-04-15 09:52:07	Fin_InvoicePolicyContract	user_transaction	Insert into [dbo].[Fin_InvoicePolic
Image: Construction       Image: Construction<	dbo.Fin_InvoicePolicyCo	ERT si	a	2020-04-14 13:07:12	Fin_InvoicePolicyContract	user_transaction	Insert into [dbo].[Fin_InvoicePolic
Image: Construction of the section	dbo.Fin_ReceivedPaid(3: 😡 INSE	ERT si	a	2020-02-26 02:07:50	Fin_InvoicePolicyContract	user_transaction	Insert into [dbo].[Fin_InvoicePolic
Image: Construction of the section	- dbo.Fin_ReceivedPaid_C 😳 INSE	ERT si	a	2020-02-26 02:05:35	Fin_InvoicePolicyContract	user_transaction	Insert into [dbo].[Fin_InvoicePolic
dbo.Fin_ReceivedPaidSt:       INSERT       sa       2020-02-16 22:49:36       Fin_InvoicePolicyContract       user_transaction       Insert into [dbo],[fin_InvoicePolicyContract         dbo.Fin_Settlement(25)       INSERT       sa       2020-02-16 16:06:36       Fin_InvoicePolicyContract       user_transaction       Insert into [dbo],[Fin_InvoicePolicyContract         dbo.InsurancePolicyCont       INSERT       sa       2020-02-16 15:07:56       Fin_InvoicePolicyContract       user_transaction       Insert into [dbo],[Fin_InvoicePolicyContract         dbo.InsurancePolicyCont       UNSERT       sa       2020-02-16 15:07:56       Fin_InvoicePolicyContract       user_transaction       Insert into [dbo],[Fin_InvoicePolicyContract         dbo.Marketer(2)       WPATE       sa       2020-02-16 15:06:36       Fin_InvoicePolicyContract       user_transaction       Update [dbo],[Fin_InvoicePolicyContract         WPATE       sa       2020-02-16 15:06:36       Fin_InvoicePolicyContract       user_transaction       Update [dbo],[Fin_InvoicePolicyContract         WPATE       sa       2020-02-16 15:06:36       Fin_InvoicePolicyContract       user_transaction       Update [dbo],[Fin_InvoicePolicyContract	dbo.Fin_ReceivedPaidMa 🕴 😳 INSE	ERT si	a	2020-02-26 01:09:51	Fin_InvoicePolicyContract	user_transaction	Insert into [dbo].[Fin_InvoicePolic
Instring       Sa       2020-02-10 22:49:50       Instring       Instring       Instring       Instring         Instring <td< td=""><td></td><td>ERT si</td><td>a</td><td>2020-02-23 11:36:02</td><td>Fin_InvoicePolicyContract</td><td>user_transaction</td><td>Insert into [dbo].[Fin_InvoicePolic</td></td<>		ERT si	a	2020-02-23 11:36:02	Fin_InvoicePolicyContract	user_transaction	Insert into [dbo].[Fin_InvoicePolic
dbo.InsurancePolicyContract user_transaction Update (dbo).[fin_InvoicePolicyContract user_transaction U		ERT Si	a	2020-02-16 22:49:36	Fin_InvoicePolicyContract	user_transaction	Insert into [dbo].[Fin_InvoicePolic
ConsumancePolicyContract user_transaction Update (doi).[fin_invoicePolicyContract user_transactin Update (doi).[fin_invoicePolicyContract user_transactin Update		ERT SI	a	2020-02-16 16:06:36	Fin_InvoicePolicyContract	user_transaction	Insert into [dbo].[Fin_InvoicePolic
dbo.Marketer(2)     dbv.Marketer(2)     d	V 1050	ERT si	a	2020-02-16 15:07:56	Fin_InvoicePolicyContract	user_transaction	Insert into [dbo].[Fin_InvoicePolic
2020-02-16 14:56:16 Fin InvoicePolicyContract Liser transaction Insert into Idbol I Fin InvoicePolicyContract		ATE si	a	2020-02-16 15:06:36	Fin_InvoicePolicyContract	user_transaction	Update [dbo].[Fin_InvoicePolicyC
		ERT SI	a	2020-02-16 14:56:16	Fin_InvoicePolicyContract	user_transaction	Insert into [dbo].[Fin_InvoicePolic
	dbo.MarketerContractsP						

#### 3.1.5 IRS Access

Like the previous note explains, This AI is not the Response System, but merely a detection and protection system. It needs access to the IRS system each network uses, in order to alert the systems as well as to Respond or counter-attack any threat which the systems withstand. In any case if there is a detection of intrusion, either by brute force, SQL injection, botnets or zombies, then the AI must activate the IRS situated within the company systems and alerts the other users that a situation is going on. The Final input that is required for this detection AI and key-changing AI is the access to the response system that can be used for the correct response to the intrusion.

# **3.2 Historical Breach Data Detection and Comparison of Current Breach With Previous Attempts**

The Antibody AI uses the inputs given here not as separated as the raw numbers provided, but as a part of bigger inputs to detect what we call now as the "secure points" for a system at that specific time. The AI is fed these secure points through another AI we create called the "Lymph AI". This Lymph AI is just a simple CNN based AI which collects the logs and its respective factors to create the "secure points" that we spoke of. The Lymph AI is trained with a dataset of Breaches which occurred in the past and their respective logs in the specific formula given in the table 1.2.

Table 2. Example dataset of previous breaches and output of lymph AI producing the secure points for the data received and calculating the breach percentage of the data

Data No.	IP Address	Network Ping of the IP	Software Code Usage of the IP	Sql Injection Detection	Similar Tries of Other Users	Secure Points	Potential Breach Percentage
1	192.168.0.71	345ms	72% memory	False	192.168.0.75	20	89%
2	192.168.0.72	228ms	68%	True	192.168.0.76	10	92%

			шешогу				
3	192.168.0.73	315ms	45% memory	False	NIL	64	25%
4	192.168.0.74	12ms	30% memory	False	NIL	85	8%
5	192.168.0.75	562ms	81% memory	False	192.168.0.71	14	90%
6	192.168.0.76	645ms	84% memory	True	192.168.0.72	8	96%

This Historical data can be used to train the Lymph AI, and to collect the Data from Antibody AI and check for breaches. These occur in the intervals of 30 seconds for each system, and reports of this are submitted to respective divisions as a log file. These log files generated from the antibody AI can also be used to train the Lymph AI making the entire AI, self-learning automata. When the current data collected has a lower "Secure points", the Antibody AI detects the Secure points and respective counter measures are taken regarding the breach.

The Table has a few important parameters which can be listed as follows:

- IP address
- Network ping of the IP
- Software code usage of the IP
- SQL injection detection
- Similar tries of other users
- Secure points
- Potential breach percentage

First the IP address is received to detect and pinpoint the malicious PC which has been affected/which is behind the breach. If the IP is detected, after the countermeasures, the IP and its respective machine are cleared throughout the process.

Network Ping of the IP is used to detect any High traffic in the system, and that specific system has a higher rate of doing a Brute force process, or has malware which affected the IP. The Protocol which gets triggered after detecting this is the Lockdown Protocol series-1. Other IPs within the network are secured using this. Wireshark is used to detect network traffic within an IP, by ping round-trip shown in Figure 5.

## Figure 5. Wireshark is used here to detect network traffic within an IP, by ping round-trip from its usage

📕 icmp				Expression	+
Time	Source	Destination	Protocol	Length Info	*
13 5.283140872	192.168.10.2	192.168.10.1	DNS	86 Standard query 0xaed3 PT-	_
14 5.305722927	192.168.10.1	192.168.10.2	DNS	155 Standard query response	
15 6.008624479	192.168.10.2	216.58.208.78	ICMP	98 Echo (ping) request id=	
16 6.283138449	216.58.208.78	192.168.10.2	ICMP	98 Echo (ping) reply id=	
17 7 000036364	192 168 10 2	216 58 208 78	TCMP	98 Echo (ning) request id=	

4					•	
22 9.283654701	216.58.208.78	192.168.10.2	ICMP	98 Echo (ping) reply	id=	٣
21 9.009257567	192.168.10.2	216.58.208.78	ICMP	98 Echo (ping) request	id=	
20 8.283274657	216.58.208.78	192.168.10.2	ICMP	98 Echo (ping) reply	id=	
19 8.008974436	192.168.10.2	216.58.208.78	ICMP	98 Echo (ping) request	id=	
18 7.282982259	216.58.208.78	192.168.10.2	ICMP	98 Echo (ping) reply	id=	
			2010	oo Lono (pang) request		1000

Code Usage of that specific IP is used to detect if any Virus or Trojan are active within that specific system. Even the keylogging can be detected once the data is sent. The aftermath of the IRS triggers the Antibody AI to Reinstall the Software in that specific IP. This protection is not specifically useful for the "secure points" but in the off-chance of this monitoring another stray IP leads to Prevention of a bigger threat, rather than to keep this useless. :HTOP used to detect Memory usage within an IP, by remote access from admin is shown in Figure 6.

Figure 6. HTOP is used here to detect Memory usage within an IP, by remote access from admin
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	enlightened : I	htop – I	Konso	le									×
File I	dit View	Bookm	arks	Settings	s Help								
2			3	3464/79	3.4%] 4.6%] 9.5%] 3.9%] 76MB] 51MB]	L		era	age: 1	I.00 O	76 kthr .64 0.47	; 2 runn	
PID	USER	PRI	NI	VIRT	RES	VIRT	SHR	S	CPU%	MEM%	TIME+	Command	
5049	enlighten	20	0	1952M	537M	1952M	501M	S	1.3	6.7	6:54.06		
	enlighten	20	0	1952M	537M	1952M	501M	S	0.0	6.7	3:06.15		
	enlighten	20		1952M	537M	1952M	501M	S	0.7	6.7	0:08.58		***
5091	enlighten	20		1952M	537M	1952M		S	0.7	6.7	0:21.57		10.000
	enlighten	20		1952M	537M	1952M	501M		0.0	6.7	0:05.45		1.1.1.1.1
	enlighten			1952M	537M	1952M	501M	S	0.0	6.7	0:01.72		1000
	enlighten	20	0	1952M	537M	1952M	501M		0.0	6.7	0:00.36		
	enlighten			1952M	537M	1952M		S	0.0	6.7	0:00.28		
	enlighten	20	0	1952M	537M	1952M		S	0.0	6.7	0:00.00		
5088	enlighten	20		1952M	537M	1952M	501M		0.0	6.7	0:00.00		
	enlighten			1952M	537M	1952M		S	0.0	6.7	0:00.84		1000
	enlighten	_	-	1952M		1952M	501M		0.0	6.7	0:00.00		
F1Help	<b>F2</b> Setup	BSe	arcr	1 <mark>-4</mark> -11t	erF51r	ee F	SortBy	/F/	NICe	- <mark>F8</mark> N1	.ce + <mark>F9</mark> Ki.	ll <mark>F10</mark> Q	-
×	е	enlighte	ned :	htop									

SQL Injection detection is the easy and crucial part of this intrusion detection. It checks for a few keywords which are often used in SQL Injection by the user, or the temporary PCs by tools such as SQLMap, etc. If there is an input from some IP which looks like an SQL Injection, it switches the SQL Injection Flag to True, instantly decreasing the Secure points. This is by far the easiest but essential parameter for the AI, allowing easier breach detection as well as to locate the individual responsible easily.

Some of the Parameter for detection are as follows:

■ " OR 1=1 OR ""="

- ' OR (EXISTS)
- ' OR uname LIKE '%
- ' OR userid LIKE '%
- ' OR username LIKE '%
- ' UNION ALL SELECT
- ' UNION SELECT
- '
- ∎"
- " OR '1' EQUALS '1'
- 'WHERE ALL
- ' OR 1=1 –
- ∎'--

These are few tags that are used for SQL injections. Usually people trying SQL injections use a script or a bot to continuously try out all these parameters just so that one of them could crack the text box and penetrate the system.

"Similar Tries of other Users" parameter is used to detect if some other users' parameters match up with the current user's parameter. If it does, then there might be more than one system overruling all the protocols, or more than one system is affected by same malware or virus. This case is prominent in many huge companies' breaches, so it is better to be safe than sorry.

Secure points are points which are given after the analyzation of lymph AI. It is the result of evaluation of all the data obtained by Lymph AI as the dataset. This Secure point is used to evaluate if the system is safe or if it is prone to be infiltrated in the real-time. To make an added evaluation, the "secure points" are also accompanied by the added parameter, "potential breach percentage" and "trigger flag". The potential breach percentage detects the amount of vulnerability or the possibility of the IP or system being breached currently, and the trigger flag is used to switch on the IRS and safety measures included with the Antibody AI. Table 1.3 shows the data received at that moment's notice, and detecting the breach. As you can see, the systems which are responsible for the breach along with the status which triggered the flag are stored.

Table 3. Current dataset of system and output of lymph AI producing the secure points for the data received and triggering the flag to call in IRS within the division

Data No.	IP Address	Network Ping of the IP	Software Code Usage of the IP	SQL Injection Detection	Secure Points	Potential Breach Percentage	Trigger Flag
1	192.168.0.71	345ms	72% memory	False	20	89%	True
2	192.168.0.72	228ms	68% memory	True	10	92%	True

#### **3.3 Security Protocols and Attack Alerting Systems**

Once the Antibody AI has initiated the trigger, the Security protocols are activated and the alert systems are notified. The Security protocols have a specific set of ordered workflow, each of it are as:

• Security Protocol SP (1) - Backup of log and initiation of alert – the current logs responsible for the trigger is backed up into a folder named "black box", in case if any event causes the entire system to crash, this black box is stored in an external cloud device and can be taken after the problem is solved, to find the cause of the problem.

• Security Protocol SP (2) – Triggering the IRS and Lockdown protocols – Using the IRS present in the systems, the AI starts the response operations while simultaneously shifting the non-infected users to the virtual work environment as a safety procedure, whilst showing the following error in the screen of the non-infected users.

# "ATTENTION: Antibody AI has detected a potential Breach level: \_\_\_\_\_. To proceed safely, we have moved your files to a remote Virtual Work Environment. Apologies for the inconvenience." Alert message shown in Figure 7.

With the rising levels of potential breach each varying over the results of secure points as follows,

#### o Delta Level:

The breach is not severe, but just annoying. Maybe the result of,

- Minor SQL Injection trials
- Password logins using any scripts or bots.
- Continuous failed attempts in a lower time-frame

And the preventive measures include, calling Lockdown Protocol Series – 1 with the malicious IP as its parameter.

#### o Gamma Level:

Breach conditions are satisfied for more than one machine, but threat level is minimal. Possible results include,

- Weak botnets or zombies trying SQL Injection.
- Same Malicious code running in multiple devices.
- Rogue employee remote accessing and using some form of hacking technique.

And the preventive measures include, calling Lockdown Protocol Series – 1 with the malicious IP(s) as its parameter.

#### o Beta Level:

Threat level is high and many Systems are affected. Possibly,

- Near-Successful breach attempts in any of the systems.
- Network Lags and spikes.
- Severe amount of attempts from many IPs

And the preventive measures include, calling Lockdown Protocol Series -2 with the malicious IP(s) as its parameters.

#### o Alpha Level:

Severe Breaching indicated. Some Scenarios include,

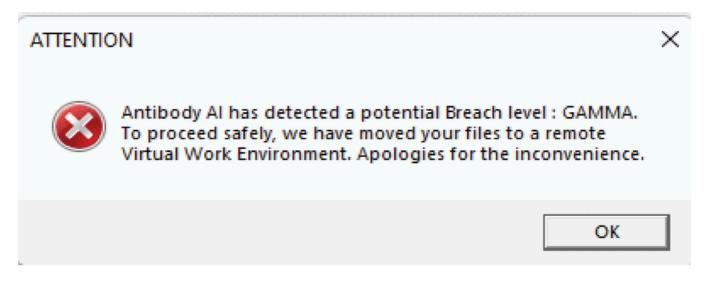
■ File Damages.

- IP Hoarding.
- Illegal Access already entering.
- Successful Breach Attempts.

And the preventive measures include, calling Lockdown Protocol Series – 2 with the malicious IP(s) as its parameters.

Phase 2 of this security system relies on the IRS present in the System, or the Network. After the SPs (Security Protocols) are initiated, The Attack Alerting Systems call out for the IRS present in the Systems. These IRS proceed to respond to the imminent attack, whilst the Antibody AI goes to its main duties it has been designed to perform, Cryptography.

#### Figure 7. Alert message of the AI when there is a "GAMMA" level of intrusion threat happening



#### 3.4 Lockdown Protocols and Aftermath Response Mechanisms

#### 3.4.1 Lockdown Protocols

Lockdown protocols present in this AI are used to safely eliminate the other unaffected IPs or Systems within the network. These protocols are triggered by the security protocol 2 (SP2). Lockdown protocols take IP addresses as parameters, to safely lockdown and quarantine the unaffected from the affected. Antibody AI Has 2 Lockdown Protocols (LPs for Short),

• Lockdown Protocol Series 1 (LPS-1) – This protocol is used whenever One or Two specific systems within a given network is affected/malicious. This lockdown protocol gets called with the affected IP Addresses as its parameters and it locks down the affected IPs to protect the unaffected or safe systems.

• Lockdown Protocol Series 2 (LPS-2) – This protocol is used whenever there is more than one system in the network is malicious or tagged. In this case, the protocol LPS 2 is called with the malicious/affected systems' IP Addresses, but it locks down other innocent systems away from these Tagged and affected systems to ensure a spread or clearance from the affected to unaffected systems is not possible, thus protecting the other systems in the network.

When the Lockdown procedures are engaged, the Systems will automatically shift to its respective Virtual Work Environment. Then it proceeds to aftermath of the Breach.

#### 3.4.2 Aftermath Response Mechanisms

After the intrusion is over and the IRS has done its job, Aftermath Response Mechanism is activated. This is the Cryptography part of the AI and the main motive of this project. Several layered steps are present in this ARM (Aftermath Response Mechanism) such as,

#### • Aftermath Response Mechanism – Sector 1

o Layer 1 – The Antibody AI searches for any malicious code within the systems after the IRS has responded.

o **Layer 2** – The Antibody AI restores all the files which are evacuated to the Virtual Working Environment.

o Layer 3 – The AI Again Searches for any malicious code or any Breach signs after restoring the Files to their respective systems

o **Layer 4** – The Antibody AI uses Lymph AI and the Black Box backup of the last breach, and trains the Lymph AI of another Threat to watch out, thereby Self-learning.

o **Layer 5** – The AI Proceeds to provide the IRS log and Lymph AI log to the Cyber Division of its respective workplace.

o Layer 6 – The Users are removed from their lockdown status and are back to work.

o Layer 7 – The AI performs one last search throughout the systems as the user works to check if the piece of code is present or not.

After Sector 1 has been successfully executed without any mishap, the whole network goes to maintenance for a fixed time period and the Response Mechanism moves to the most crucial step, Sector 2.

#### • Aftermath Response Mechanism – Sector 2

o **Layer 1** – The Antibody AI proceeds to backup all of the passwords and collective information of the Database to a random location within the database, to ensure that nobody can find out its location, not even the admins.

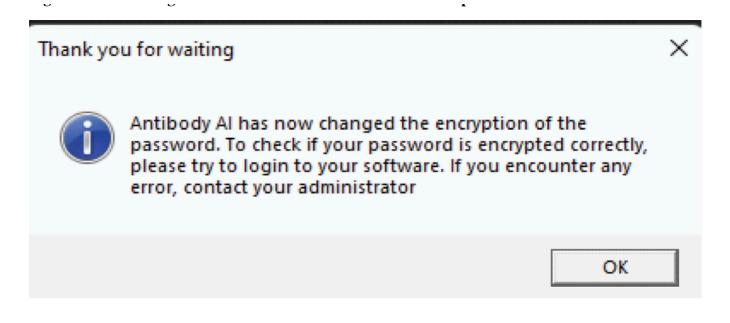
o **Layer 2 –** The AI strips the old Encryption function key and proceeds to generate a new, Random key.

o **Layer 3** – This new key will now be used to encrypt all the passwords stored within the random location, and stored to respective users' password field.

o **Layer 4** – Final step is to make the users login again to check for any encryption errors, and if there are errors, the backup passwords within the random folder specified can be used to enter and change.

After the Sector 2 of this Aftermath Response Mechanism is loaded, then the whole network returns back to normal with a new encryption, changed from breach to breach and undetectable, even by the admins. Then the maintenance period ends with an information alert, **"THANK YOU FOR WAITING: Antibody AI has now changed the encryption of the password. To check if your password is encrypted correctly, please try to login to your software. If you encounter any error, contact your administrator,"** shown in Figure 8, and the Antibody AI completes its work. And thus is the workflow of this Antibody AI along with its other parts such as the Lymph AI and protocols in action.

#### Figure 8. Info message of the AI when the ARM Sector 2 is completed



#### 4. SYSTEM ARCHITECTURE

Figure 9. System architecture of antibody AI and all the components present within it

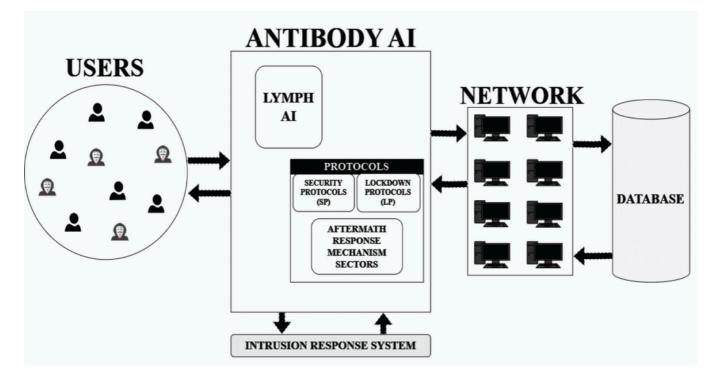


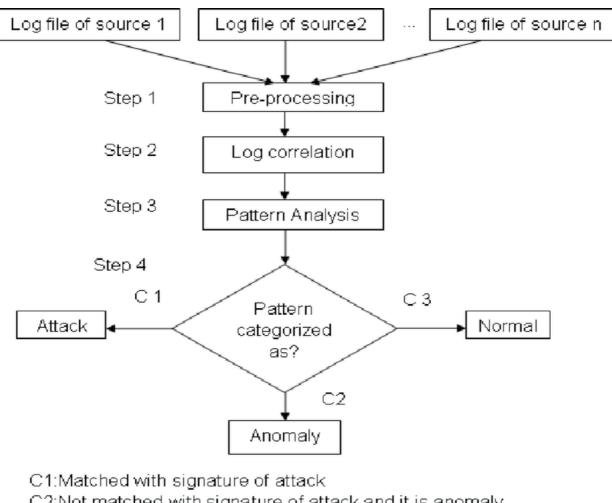
Figure 9 shows the architecture of the Antibody AI. The users section is of the users who use the software or the network, and it consists of few legit users and a few hacker's/key loggers. The network section depicts the network in which the users' systems are used and the Database denotes the storage location of all the crucial data. The Antibody AI consists of

Lymph AIProtocols

#### 4.1. Lymph Al

This part of the Antibody AI is responsible for collective training with the datasets and generation of

"secure points" which are used by Antibody AI and its protocols. Since the Antibody AI is an LLM based/ Generative AI based Neural Network, it must take few easy inputs in order to act accurately. For the sole reason, the Antibody AI comes with an embedded smaller AI model specifically used for converting the raw data (i.e.) the Logs and other inputs from the user, directly to processed output points. These points determine if the system is safe or there is a breach on-going. These outputs from the lymph AI is then sent to the main AI, the Antibody AI, which uses these points in accordance with its own processing methods to convert the points into a usable format to detect if the System is breached. Figure 10 shows the Working of Lymph AI and returning the output





C1:Matched with signature of attack C2:Not matched with signature of attack and it is anomaly C3: Not matched with signature of attack and not an anomaly

#### 4.2. Protocols

Protocols play a major part of this Antibody AI. To do a process this huge, the AI cam sometimes get randomized and gets mixed up while trying to protect or contain a breach. To avoid it as well as to label the processes for the users, this AI has few protocols to secure and protect the network as well as itself.

Some of the Protocols that are previously explained are as follows:

- Security Protocol (SP) These protocols are triggered once the AI identifies breach possibility
- Lockdown Protocol (LP) These protocols are triggered once the IRS has received its trigger

message and used to protect other users within the same network.

● Aftermath Response Mechanism Sector (ARMS) - These protocols are triggered once the IRS has completed its work on the intruders or the hackers. This is the Main algorithm which is responsible for Dynamic key change of the Antibody AI.

#### **5. PARAMETERS INFLUENCING ACCURACY**

This project consists of Two AIs with different parameters and different workflows, so each of the AI has a few notable parameter precedence and influence over their accuracy. These are categorized as follows,

#### 5.1. Parameters Influencing Lymph AI

Lymph AI is the first layer of this total Antibody AI. Every Input we directly give to the Antibody AI, (i.e.) the SUND inputs that we give to the Antibody AI, gets into the Lymph AI first. The Lymph AI accepts these inputs in order to create an output through selective formula method and dataset training method. The output that is given by the Lymph AI is called the "secure points". These secure points are given out to the Antibody AI. The Antibody AI only accepts the inputs which are from Lymph AI. These secure points are in turn converted into different forms of parameters that are used by the Antibody AI for different purposes. Those parameters are PBP or potential breach percentage and Trigger flag. The input parameters influencing Lymph AI are,

#### 5.1.1. IP Address

IP Address of the computer scanned determines the System we are trying to access. It is like the Id of the computer and we are using it to denote which computer we are deriving the data of. This is the Primary key of the Lymph AI and is not used in the formula for calculation of "Secure points", yet still important for the AI to detect any intrusions.

If by any chance there is a detection of any kind of intrusion, the lymph AI sends the Secure points along with the IP of the affected PCs or the IP which is the reason. These IPs are used as parameters for Lockdown protocols, thereby providing the case of use for the protocols.

#### 5.1.2. Network Ping of the IP

Network ping of the IP or Network ping of the System is used to find the Network traffic, a crucial data to analyse about brute force attempts or botnet attempts. This important part of the parameter is set to the unit millisecond. This parameter is used prominently within the Lymph AI as the base detection detects this parameter first.

This is the base value parameter for the Secure points of the Lymph AI. This when set to high (i.e.) Network ping becoming high, decreases the Secure points vastly, but it doesn't decrease the value higher than 20 points.

#### 5.1.3. Software Code Usage of the IP

The Software code usage of the system can detect if any malicious code runs within the software. The main way to detect that is by using the memory consumption of the selected application or the OS. By

remote logging into the OS, we can detect the memory and RAM usage of the selected IP. If it crosses a certain threshold, then we come to a conclusion that the software is either running malicious code (i.e. automated keygen or bruteforce codes) or the software is hanged. This parameter has the least priority within the Lymph AI.

#### 5.1.4. SQL Injection Detection

The Detection of SQL injection is the simplest, and the most important parameter that we require for the Lymph AI. It detects if any SQL Injection based tags are put within any text fields, and it immediately switches from its default value (False) to True, and drastically lowering "Secure points", since we know that it is a possible intrusion once any tag is detected from the user. But when the SQL injection is detected via a simple textbox input searching or a simple validation script flag, then we will know that a system is trying to penetrate and is trying to get to the database of the System.

This SQL Injection detection has a massive Secure Point Drop value (i.e.) when the Lymph AI gets the input of SQL Injection as true, then the Secure points which are outputted from the Lymph AI is Dropped in value heavily, but the Alert level for this is systemically low.

#### 5.2. Parameters Influencing Antibody AI

Antibody AI has a few parameters, but not its own. specifically, these parameters are the parameters which are outputs of Lymph AI. These parameters are generally what are used to trigger a few protocols and IRS. These Parameters are therefore as follows:

#### 5.2.1. Secure Points

The main output of Lymph AI is the generation of secure points, which subsequently serve as the foundation for deriving two additional parameters. These parameters play an important role whenever a breach occurs or an attempt is made within the networked systems. The secure points, crucial in creation of another layer of security infrastructure, are carefully composed into defined limits. These limits, in turn, give rise to two new parameters that are used in the functionality of Antibody AI. By establishing a systematic relationship between the secure points and these derived parameters, the overall security architecture is reinforced, providing a robust defence mechanism against breaches and unauthorized attempts within the network. The Secure points are also used in simplification of AI inputs for the GPT AI (i.e.) the Antibody AI, allowing the Antibody AI to just detect the upcoming inputs we are about to describe, scanning them and then decide if the system is in a breach condition, instead of feeding all the raw parameters to the Antibody AI.

#### 5.2.2. Potential Breaching Percentage

Since the breach detection is still not adapted to plain numeric points for Detecting if the breach is occurred, the secure points are processed into a percentage type variable, specifically the "Potential Breaching Percentage". This parameter can be used by the admins and users to verify the possibility of an intrusion occurring in real-time. Potential Breach Percentage is just a semi-raw parameter which is optimized then, but mainly is used to show the users and Cyber intellects about the crucial breach they have observed.

This Percentage value is used to determine the level of Threat the breach is and is used to determine the

## **CHAPTER 5**

## Optimized Deep Learning-Based Intrusion Detection Using WOA With LightGBM

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#### ABSTRACT

Machine learning is a powerful tool in both cryptosystem and cryptanalysis. Intrusion detection is a significant part of cyber defence plans where improvements are needed to deal with the challenges such as detection of false alarms, everyday new threats, and enhancing performance and accuracy. In this chapter, an optimized deep learning model is proposed to detect intrusion using whale optimization algorithm (WOA) with light gradient boosting machine (LightGBM) algorithm. To increase the performance of the model, the collected network data from the KDD dataset are pre-processed with feature selection and dimensionality reduction methods. The WOA-LightGBM algorithm processes the pre-processed data for training. The outcomes of these experiments are compared with the performance of benchmarking algorithms to prove that this intrusion detection model provides better performance and accuracy. The proposed model detects the intrusion with high accuracy in short period of time.

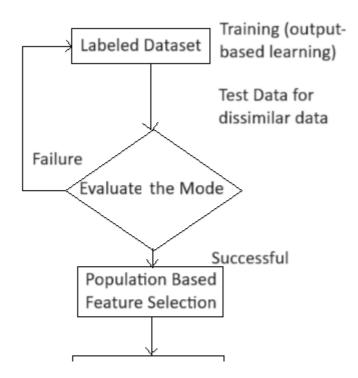
#### **1 INTRODUCTION**

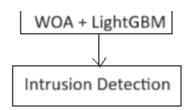
Cryptography is the art of generating secure systems for secret data through encryption and decryption techniques (Yan et al., 2022). Machine learning (ML) Techniques are used to automates the analytical model facilitating continuous learning even for big data inputs. This is the major reason for the application of ML in cryptosystems (CS). Moreover, ML and CS both process a huge amount of data with large search spaces. Private Key generation in cryptography is supported through reinforcement learning ML techniques (Yan et al., 2022). From the steganography perspective, ML classification methods, Bayesian classification, AdaBoost and Support Vector Machine (SVM) are popularly used to perform classification of encrypted traffic and conversion of objects to steganography (Yan et al., 2022). The intrusion is an effort for influence upon the security mechanism and its regulations. This intrusion detection structure is in the direction to watch the network traffic and discover unfamiliar behaviour that may causes a harm (Ahmad and Mirvaziri, 2019). The techniques of detection are used to spot the attributes of data sets that they appear to be homogeneous and typical but these are rare (Sahil et al., 2020). These unusual cases are also referred as outliers, variations, exceptions, divergences, peculiarities, etc. (Sahil et al., 2020).

2019). Here, a cryptosystem is viewed as a communication network in which individual node's dynamic behaviour is fully or partially observed by another node. Changes in system behaviour might be directly change the system's domestic communication structure by including or removing or altering i.e., strengthening or weakening of existing communication channels. Thus, the changes in the communication structure may replicate changes in the operating conditions of the system, and the detection of these modifications is a key component for intrusion detection (Hanieh et al., 2019). The familiar Whale Optimization Algorithm (WOA) is a novel meta-heuristic population-based optimization algorithms used for clustering which cohorts the hunting behaviour of humpback whales. In WOA, each whale represents a potential solution to the optimization problem, and the whales move through the search space to find the optimal solution.

The ML model such as XGBoost has been utilized in the networks or IoT (Internet of Things) intrusion detection. Zhang and Hamori (Zhang and Hamori, 2020) and Deng et al. (Deng et al., 2021) utilized intense gradient boosting (XGBoost) as an investigational model for prediction, and the outputs shown that the XGBoost model was capable to attain the exactness value of 86%. In (Cheng and Shi, 2021) Yan Song et al. proposed an intrusion detection model that is mostly based on the XGBoost, and uses the WOA to discover the suitable constraints for this. Though if accuracy rate is not bad, the drawback of the XGBoost model is found to traverse the dataset even during the node dividing process which tremendously increases the computational load (Xiang et al., 2022) and has particular boundaries in selecting or optimizing model parameters (Huang et al., 2021 and Chaofei et al., 2020). Light Gradient Boosting Machine (LightGBM) is an enhanced version of the gradient boosting decision tree model using an exclusive leaf-wise developing idea based on the huge depth limit, which may decrease many issues and obtain the improved accuracy while using the equivalent amount of splits (Xiang et al., 2022). Therefore, the detection methods become more efficient and more precise when WOA optimized parameters are combined with LightGBM model, which is planned in this article to enhance the correctness of intrusion detection. The architectural diagram of the proposed model is shown in the Figure 1.

#### Figure 1. WOA with LightGBM intrusion detection model





#### **2 LITERATURE REVIEW**

In (<u>Yan et al., 2022</u>), Amir Anees et al. made a review on a number of latest works on proceed in various features of ML applications in cryptosystems and cryptanalysis. Developing anomaly detection models directly on data has a huge fault rate with respect to exactness and detection (<u>Sahil et al., 2020</u>). The dimensionality of datasets can be considerably condensed using feature selection methods. Deep learning approach, Restricted Boltzmann Machine is highly effective in extracting high-level features and creating clusters at various scale levels (<u>Sahil et al., 2020</u>).

Hongjiao Wu et. al. in (2024) presented an improvised network attack detection method using Naïve Bayes with feature weight value based on the context. Zhao et al. (Zhao et al., 2021) described ID archetypal using deep ANN (Artificial Nural Network) for larger dataset. In (Maheswari and Arunesh 2020), authors provided a model with fusion of KNN (K-nearest neighbor) and network traffic. Privacy preserving model maintain security of the data and effectively predicts intrusion even in limited resources (Mourad et al., 2020) and Rahman et al., 2020). Deep learning with LSTM (long short-term memory) and GRU (gated recurrent unit) contexts is more effective in model classification of some attacks (Gautam et al., 2022).

Unbalanced training data distribution is one of the challenges which is tackled by the author using onesided gradient oversampling with binded mutually exclusive features (<u>Cong et al., 2022</u>). Tu et al. (<u>Chung-</u> <u>Jui et al., 2007</u>) proposed a model to extract features with crossbreeding of PSO and SVM where classification is carried out using PSO fitness function.

Kamel et al. (<u>Rongfeng et al., 2020</u>) introduced in the article about, machine learning systems and honeypots, and based on these skills, prepared a smart agent for the avoidance and forecast of cyber attacks. Zheng et al. (<u>Muhammed et al., 2011</u>) studied pioneer statistical features for differentiating benign and malicious traffic for training the clustering model. In (<u>Chen et al., 2021</u>), the authors demonstrated the feasibility of deep learning-based cryptanalysis by attacking lightweight block ciphers such as basic Data Encryption Standard, Simon, and Speck. The outputs proven, that deep learning-based cryptanalysis can effectively recover key bits when the key space is limited to 64 ASCII characters.

The swarm intelligence is utilized for mining the internal communication topology of dynamic systems (Chen et al., 2016). The authors of (Ahmad and Mirvaziri, 2019) applied a swarm intelligence-based algorithm with finding data extracted from Gaussian and non-Gaussian distributions. The WOA is a new meta-heuristic optimization algorithm that replicates the hunting mechanism of humpback whales by simulating the bubble net feeding and attack mechanisms of humpback whales (Wang and Wang, 2021, Sharma and Singh, 2020, Alsabti et al., 1998). In the article (Xiang et al., 2022), the authors proposed a collective predicting model of hog futures prices is developed by using WOA-LightGBM and CEEMDAN that represents, the weakness of single machine learning models in terms of predicting correctness and model stability

In (Cheng and Shi, 2021), XGBoost is an excellent machine learning algorithm with notable execution speediness along with exactness and is widely used in classification problems. In the article (Nadiya et al., 2020), the authors were explained the shortfalls of currently available intrusion detection systems and evaluate the functionality of LightGBM-AE-based intrusion detection categorization types.

#### **3 MATERIALS AND METHODS**

In this section various methods and materials used in the proposed archetypal is discussed in detail.

#### 3.1 Whale Optimization Algorithm

This algorithm is a replicated form of the humpback whales' cleverness hunting behaviour which is called as "bubble-net". The whales create bubbles in a circular path encircling their feed and then make a spiral shape like '9' around the prey (<u>Mohamed et al., 2022</u>). In similar pattern, a force system is necessary to identify intruders and to create a seamless security system for the protection of network. The WOA-based system efficiently detects and protects the network. However, WOA simply lead to local optimization, therefore this paper combines LightGBM with WOA to improve the success rate of Intrusion detecting (<u>Mohamed et al., 2022</u>).

The WOA algorithm is a population based meta-heuristic optimization algorithm that resembles the hunt behaviour of humpback whales by producing bubble net like a circle or number '9' (<u>Xiang et al., 2022</u>). There main components of WOA are,

- Prey search encircling
- Roundup spiral search and random search
- Net bubble attack

In this approach, the whale (candidate solution) hunts by changing its moving direction, vector, in a single/multidimensional space which balance the exploration phases effectively.

In WOA, the whales one by one begins from an accidental spot, and then, each entity whale enhances its place according to the appropriate individual whale spot found following every iteration or arbitrarily found individual whale (Xiang et al., 2022). Table 1 consist of terminologies used in this paper.

#### Table 1. Terminology

Notation	Description						
К	closest whale to the prey						
$\chi^{^{\wedge}}(\tau)$	Optimal position of the whale at current time $\tau$						
$\varkappa_{rand}(\tau)$	Random position of the whale at iteration number $\tau$						

$\kappa_1$ and $\kappa_2$	Kandom numbers where $0 < R1$ , $R2 < 1$
Å.	Convergence factor (linearly decrease from 2 to 0)
$\tau_{max}$	Global maximum number of iterations
$\alpha$ and $\beta$	Coefficient matrices
q	Constant parameter (determine the spiral shape)
ζ	Homogeneously distributed random number, where -1 <z<1< td=""></z<1<>

#### 3.2 Prey Search

Each and every whale shares the knowledge regarding the location and prey group quantity with other whales or closest whale  $\varkappa$  to that prey to obtain optimal solution.

All whales move closer to the whale, which is presently near to the prey's position,  $\varkappa^{(\tau)}$ . This contracts the circumference of the encirclement. This concept can be mathematically represented as below,

 $\varkappa(\tau+1) = \varkappa^{^{\wedge}}(\tau) - \left[\alpha . (|\beta . \varkappa^{^{\wedge}}(\tau) - \varkappa(\tau)|)\right](1)$ 

where,

 $\alpha = (2A' \cdot R_1) - A'$ 

 $e = 2R_2$ 

 $\dot{A} = 2 - (2 \tau / \tau_{max})$ 

#### 3.3 Spiral Search

In this searching, the whale targets prey in spiral ascending format which can be represented as follows,

 $\varkappa(\tau+1) = \varkappa^{\wedge}(\tau) - [|\beta \cdot \varkappa^{\wedge}(\tau) - \varkappa(\tau)| \cdot e^{\Box Z} \cos(2\Pi Z] (2)$ 

Whale decreases the search space as it approaches its prey in a rotational search. Assuming the probability of rotational search as 0.5, we get from (1) and (2),

$$\begin{aligned} & \varkappa^{\hat{}}(\vec{A}) - \underbrace{\mathbb{I}}_{2} \cdot \underbrace{\mathbb{I}}_{2}^{\hat{}} \cdot \varkappa^{\hat{}}(\vec{A}) - \varkappa(\vec{A}) \underbrace{\mathbb{I}}_{2}^{\hat{}} when \ p < 0.5 \\ & \varkappa(\tau+1) = \underbrace{\mathbb{I}}_{2}^{\hat{}} \cdot \varkappa^{\hat{}} - \varkappa(\vec{A}) \cdot e^{\mathbf{A} \mathbf{A}} \cos(2\Box \mathbf{A}) \\ & \text{when } p \ge 0.5 \end{aligned} \tag{3}$$

#### 3.4 Random Search

This search algorithm enhances the global search ability of the whale by increasing the search range. Therefore,

If  $|\alpha| \ge 1$ , then Random Search and

If  $|\alpha| < 1$ , then Spiral Search.

This expression is represented as,

 $\varkappa(\tau+1) = \varkappa_{rand}(\tau) - [\alpha . (\text{le} . \varkappa_{rand}(\tau) - \varkappa(\tau)])] (4)$ 

## 3.5 LightGBM

LightGBM is a machine learning algorithm that can be used for intrusion detection, which is the task of identifying malicious or unauthorized activities on a network. LightGBM is a fast and efficient enactment of gradient boosting, which is a technique that combines numerous feeble learners (such as decision trees) into a sturdy learner that can make precise predictions (Tian 2022)

Some of the advantages of using LightGBM for intrusion detection are:

- It can handle large-scale and high-dimensional data efficiently, as it uses a histogram-based algorithm that reduces the number of split points and memory usage.
- It can deal with imbalanced data, which is common in intrusion detection, as it uses a sampling technique called Gradient-based One Side Sampling that retains the most informative instances and discards the less informative ones.
- It can automatically handle missing values and categorical features, which can reduce the need for data preprocessing and feature engineering.

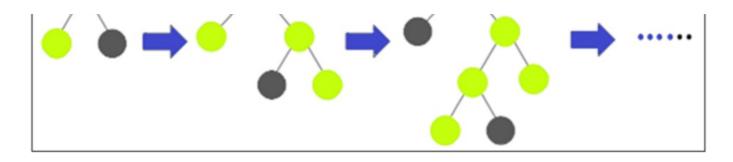
• It can perform feature selection, which can improve the model performance and interpretability, as it uses a regularization technique called Exclusive Feature Bundling that groups together mutually exclusive features and reduces the number of features.

The LightGBM is a decision based gradient boosting model which enables parallel learning (Xiang et al., 2022). Fast training rate and minimum memory usage are the advantages of LightGBM compared to XGBoost model (Machado 2003). Let M represent a set of data with input values  $x = \{x1,..,xn\}$  and prediction label  $y=\{y1,..,yn\}$ . Let f(x) represent model function and L(y, f(x)) is the losing function. Then, according to gradient boost approach, gij, where j is the iteration number, we get

$$g_{ij} = - \frac{\partial L(y_i, F(x_i))}{\partial F(x_i)} g_{(x)=F_{j_i,i}(x)}$$
(5)

The LightGBM tears the tree leaf-wise as contrasting to other boosting algorithms that develop tree levelwise as shown in Figure 2. Since the leaf is predetermined, the leaf-wise algorithm has a minimal loss compared to the level-wise algorithm. Furthermore, histogram-based procedures in LightGBM enables transferring continuous attribute values from bucket to discrete bins (Ping et al., 2007, Liang et al., 2019, Devan and Khare 2020). This increased speedup training and decrease memory usage. LightGBM uses graph deduction to speed up training. Based upon this, we may converse histograms only for one leaf, and get its neighbor's histograms by deduction as fine. Thus, in LightGBM depth limit is exploited to obtain highest prediction accuracy without overfitting problem.

#### Figure 2. LightGBM leaf-wise tree growth



## 4. DATASET AND METHODOLOGY

Narrow search is the limitations of popular grid search method and also difficult in finding optimal parameters (Cheng and Shi, 2021). Python provides toolkits to optimize parameters using WOA, learning rate, sampling rate and identifying depth of a tree (Zhou et al., 2018, Wu 2024). The dataset, NSL-KDD, is the benchmark dataset of the proposed model. The KDD dataset is utilized for experiments and this model reduces the frequency of false detection. This dataset is a boosted modification of the 'KDDCup99' dataset with a larger set of features that afford the basis for the accuracy of archetypal in sensing and prevention intrusions (Theepa 2023). The dataset is free off redundant and repeated data which enables instant use of dataset in the training and testing stages (Nadiya et al., 2020). Training data includes around 126,000 data and 4 types of threats classes such as

- Gathering information regarding networks and identifying security control system avoidance techniques.
- Causing the machine network to slow down or stop service access.
- Illegal system accessing through remote packets spoofing.
- Finding system vulnerability and using it as a normal handler.

Initially preprocess the dataset and then normalize dataset by applying MIN-MAX normalization technique. One-hot-encoding method converts symbolic features into numerical values which can be further processed for feature selection from 41 existing features. The highest accuracy of 99.2% is obtained when number of features is reduced to 21 using LightGBM algorithm (Nadiya et al., 2020). Several features along with threshold values for feature selection and their respective accuracy percentages are shown in Table 2.

S. No	Number of Features	Threshold Value	Accuracy
1	1	0.154	88.55%
2	2	0.087	92.88%
3	3	0.061	94.17%
4	4	0.049	95.05%
5	6	0.048	98.40%

Table 2. Features with threshold and accuracy values (Nadiya et al., 2020)

.34% .37%
.37%
.55%
.67%
.72%
.69%
.06%
.07%
.20%
.18%
.13%
.10%
.07%
.07%
.10%
.10%
.12%

## 4.1 Whale Optimization Algorithm With LightGBM Methodology

Assign the LightGBM parameters such as learning rate, number of boosted trees to fit, Maximum number of tree depth and tree leaves. These parameters define the position vector for every whale. The WOA finds the global optimal point through iterative search which is the ending parameter of the LightGBM model (Cheng and Shi, 2021 and <u>Xiang et al., 2022</u>).

This paper proposes WOA optimization parameters with LightGBM algorithm. Python toolkit LightGBM optimizes the parameters with fast learning rate and maximum depth limit of the decision tree without overfitting (Xiang et al., 2022).

The following steps involved in the proposed method are as follows:

Step 1: Preprocess the data set with normalization and perform the dimensionality reduction.

Step 2: Initialize the WOA and set whales in a 3-D space with population density, number of iterations

Step 3: Set Upper and lower limits of LightGBM and generate initial whale population within the search space boundary.

Step 4: Calculate fitness of each whale location based on LightGBM model where the strength task is

opted as the Mean Square Error (MSE) task.

Step 5: Identify the location of whale according to the following three components prey search, spiral and random search within predetermined boundary.

Step 6: Find the best whale location of the current whale by sorting all extracted fitness values. This best location is considered as the current global optimal location.

Step 7: Using equations (1) to (5) update the whale position.

Step 8: Repeat steps 2 to 4 to produce iterative optimization until the maximum number of iterations is reached. Thus, the best parameter and absolute whale position is obtained.

Step 9: The finest parameters and WOA search results are integrated with the LightGBM model to find the best intrusion detection model once trained.

## **5. EXPERIMENTS AND RESULTS**

The experimental environment consists of a windows 10 operating system, Python 3.6, PyTorch 1.3 and system with 64 GB of memory. For the given dataset, the experiment result of 10-fold CV is concise as shown in <u>Table 3</u>. The WOA with LightGBM model detects intrusion effectively compare to all other models, WOA with SVM, WOA with XGBoost and GirdSearch with XGBoost. The proposed model WOA with LightGBM algorithm results in high accuracy which is depicted in the <u>Table 3</u>.

While comparing the accurateness of our projected type and general machine learning algorithms XGBoost -78.61 LightGBM – 89.82 as shown in <u>Table 3</u>.

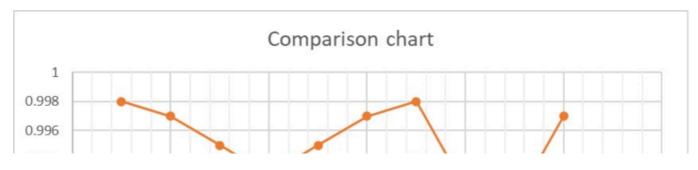
Table 5. Model	with specificity,	sensuivuy,	ana accuracy

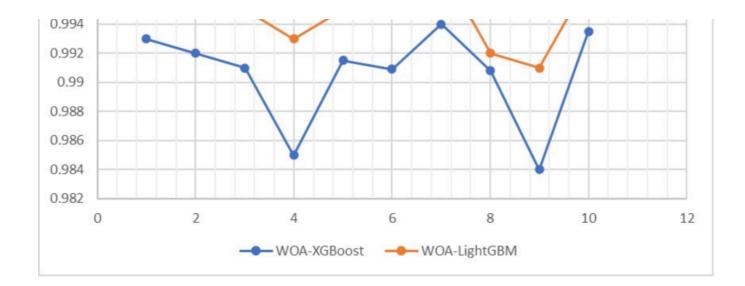
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Model	Specificity	Sensitivity	Accuracy
GridSearch with XGBoost	0.981	0.907	0.981
WOA with SVM	0.998	0.906	0.980
WOA with XGBoost	0.996	0.955	0.991
WOA with LightGBM	0.999	0.976	0.998

On average WOA- LightGBM model is more than 10 times faster than WOA-XGBoost as shown in Figure 3.







#### 4.2 Performance Evaluation for Intrusion Detection

The system of measurement used to evaluate the performance of a binary classification model are Precision, Recall, and F1-score. These systems of measurement are valuable in gauging the performance of an archetypal when the classes are imbalanced. Measure the effectiveness of intrusion detection using a confusion matrix (see below) (Zhao et al., 2021) to deduct normal or abnormal (intrusion) with the subsequent notations,

TP - true positive

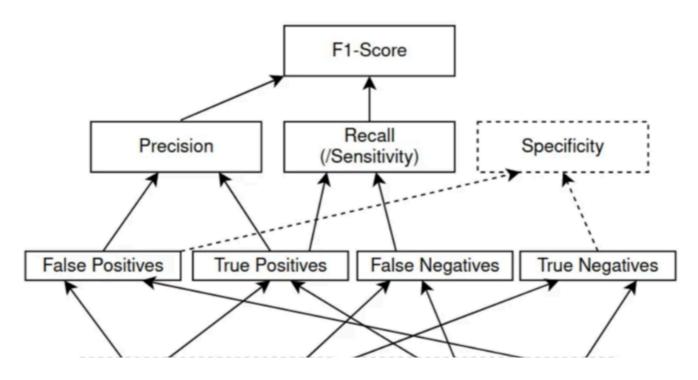
FP - false positive

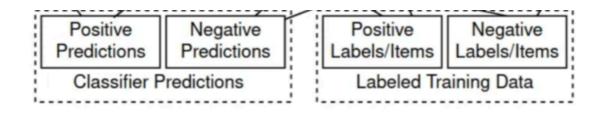
TN - true negative, and

#### FN - false negative

$Actual \setminus Predict$	Norm	Abnorm
Norm	TP	FN
Abnorm	FP	TN

#### Figure 4. Relationship of precision, recall, and specificity with TP, FP, FN, and TN





<u>Figure 4</u>. depicts how system of measurements are related to the notations TP, FP, FN and TN diagrammatically. The most intuitive classification metric is accuracy percentage which measures correctly predicated attack to the total number of attacks in the network.

$$Accuracy = \frac{(TP + TN)}{(TP + TN + FN + FP)}$$
(6)

When the dataset is imbalanced Precision and recall measures performance better than accuracy. Precision measures the accuracy of true positives to the total number of positive predictions.

$$Precision = \frac{TP}{(TP + FP)}$$
(7)

The ability of the model in identify the ratio of true positives to the total number of actual positive occurences.

$$\text{Recall} = \frac{\text{TP}}{(\text{TP} + \text{FN})}$$
(8)

The F1-score harmonic mean of precision and recall. Thus, from eq. (6), (7) and (8), we get,

F1-score = 2 × 
$$\frac{(\text{precision} \cdot \text{ recall})}{(\text{precision} + \text{ recall})}$$
 (9)

The proposed model results in high accuracy of 90.96%, high precision of 92.87% that indicates our proposed model predicts a positive class correctly. Recall percentage of our proposed model is 95.11% which indicates that the model identifies most of the positive instances successfully. The F1-score is 93.98%, to find a balance between FP and FN.

## 6. CONCLUSION

Though XGBoost classification is popularly used in ML algorithm, the adjustment of trainer parameters is required to improve the performance and obtaining optimal parameters is a challenging task (Cheng and Shi, 2021). To overcome these problems, we use LightGBM method for intrusion detection instead of XGBoost. We apply LightGBM model for feature selection based on the importance of the feature score (Nadiya et al., 2020). A threshold value is set to identify whether a data is normal or attacked. From the experimental result we get 91% classification accuracy compared to the benchmark, XGBoost, model. Thus, the proposed model, WOA with, LightGBM, produces better accuracy and faster intrusion detection models.

## 6.1 Future Enhancement

Cybersecurity deals with the high-dimensional data as attack datasets are too large to handle. Hence, the

advanced technique of learning comes into picture to deal with high-dimensional data. The advanced learning techniques such as deep learning methods and latest artificial intelligence techniques can provide better solutions for cyberattack detection problem by efficiently covering the classification as well as feature selection problems.

## ABBREVIATIONS

## CHAPTER 6

## A Survey of Machine Learning and Cryptography Algorithms

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## ABSTRACT

The intersection of machine learning and encryption has emerged as a key area in technology. A model shift in technology and data security has brought the combination of machine learning and encryption. In order to provide insight on the underlying algorithms and techniques, this survey was taken between the domains. It presents an overview of machine learning and cryptography algorithms. A wide variety of algorithms are examined in the field of machine learning. This survey also clarifies the interaction between machine learning and cryptography, demonstrating how these two fields work together to produce privacy-preserving ML, secure authentication, anomaly detection, and other benefits. A new era of data privacy and security has methods like secure multi-party computation (SMPC) and homomorphic encryption, which allow calculations on encrypted data. An updated overview of machine learning techniques used in cryptography is presented in this survey. The report offers recommendations for future study initiatives and summarizes the work.

## INTRODUCTION

The integration of machine learning (ML) with encryption has caused an unprecedented shift in the field of modern technology, opening up previously unheard-of opportunities in the areas of security, privacy, and data-driven insights. Combining the strong principles of cryptography with machine learning's capacity to identify patterns and extract useful information from large datasets creates a potent coalition against new threats and difficulties. The domains of privacy preservation, secure model training, and better adversarial resilience are undergoing significant changes due to this mutually beneficial interaction (Biggio et al., 2023).

In almost every industry conceivable, the modern community has incredible access to cutting-edge hardware and software at a pace that is unparalleled. On the other hand, this has given rise to an entirely new set of security and privacy risks. As a result, it is imperative to address the security and privacy aspects of various cyberthreats, which are growing dramatically faster than before due to undiscovered

malware. More than 10 billion of the world's people, according to a special report, depend upon mobile phones or other smart devices for banking, shopping, financing, healthcare, blockchain applications, social media posts, and professional information and updates.

Therefore, there is a good probability that data will be hacked or disclosed when downloading apps on smart devices. In addition, malicious software can be activated via faulty system processes, unapproved network entry, and the collection of private data. Numerous anti-virus programmes, intrusion detection systems, defenders, and the most recent firewalls with security updates are available to address these problems.

Enormous search areas and handling enormous amounts of data are two commonalities between encryption and machine learning (ML). While machine learning (ML) has long been used in cryptography, given the daily cohort of over 5 quintillion bytes of data, ML approaches are now more pertinent than ever. In order to continuously learn from and adapt to the massive quantity of data being provided as input, machine learning (ML) typically automates the creation of analytical models. The relationship between the input and output data produced by cryptosystems can be shown using machine learning techniques.

To generate the private cryptographic key, machine learning techniques like boosting and mutual learning can be applied. Classification techniques like naive Bayesian, Artificial Neural Networks, support vector machine, and AdaBoost can be applied to categorise objects and encrypted traffic into steganograms that are utilised in steganography. In addition to being used in cryptography, the art of building safe systems for encrypting and decrypting private information, machine learning techniques can also be used in cryptanalysis, the art of cracking cryptosystems to carry out specific side-channel attacks.

The ways in which cryptography and machine learning differ and are similar show how one discipline can affect another. Cryptography and machine learning have attracted a lot of interest. Generally speaking, cryptanalysis and machine learning share more similarities than does machine learning and cryptography. This is because their shared goal searching across expansive search spaces is the reason for this. The goal of a cryptanalyst is to decipher the correct key, but the goal of machine learning is to select an appropriate answer from a wide range of potential answers. Over the past few years, applications of various machine learning approaches have drawn increasing attention.

There are other study fields as well, such as machine learning modification to mislead its application to incorrect classification, quantum machine learning, and privacy preservation. The fields of machine learning and cryptography mutual research are not new. The computational complexity of machine learning and its computational components were covered by Kearns in his dissertation. This research cleared the path for further machine learning and cryptography research. Machine learning has several uses in the field of information and network security, in addition to cryptography and cryptanalysis.

## LITERATURE SURVEY

In the paper entitled "Distributed Outsourced Privacy-Preserving Gradient Descent Methods among Multiple Parties," Z. <u>Tan et al. (2021)</u> provided two novel techniques for the outsourced privacy-preserving gradient descent method over data that is divided vertically or horizontally across several parties

In the paper entitled "Fusion of Machine Learning and Privacy Preserving for Secure Facial Expression

Recognition," A. Ullah et al. (2021) offered a unique framework and a reliable, efficient method for recognising facial expressions in an unrestricted setting; it also assisted in the classification of facial photos in the client/server model while maintaining anonymity.

Sayed Ali et al. (2021), in "Machine Learning Technologies for Secure Vehicular Communication in Internet of Vehicles: Recent Advances and Applications," facilitated the Internet of Vehicles, has carried out a thorough review using analytical modelling for offloading mobile edge-computing decisions based on deep reinforcement learning and machine learning techniques.

"Multicriteria Decision and Machine Learning Algorithms for Component Security Evaluation: Library-Based Overview" (J. Zhang et al., 2020) presented reusing components is advised to save time, effort, and resources because they are already built; hence, a new system was developed using these reusable components.

In the paper titled "Android Malware Detection Based on a Hybrid Deep Learning Model," T. Lu et al. (2020) suggested a hybrid deep learning model that combines a gate recurrent unit and a deep belief network as the basis for an algorithm for detecting Android viruses.

"A Smart Agent Design for Cyber Security Based on Honeypot and Machine Learning" (N. E. Kamel et al., 2020) introduced honeypot systems and machine learning, using these technologies, created a smart agent for predicting and preventing cyberattacks.

"Spam Detection Approach for Secure Mobile Message Communication Using Machine Learning Algorithms" (L. G. Jun et al., 2020) suggested using machine learning to detect spam in order to get precise detection. This method classified spam and ham messages from mobile device communications using machine learning classifiers like logistic regression, K-nearest neighbour, and decision trees.

Vyas et al. (2015) provide an overview of supervised machine learning techniques for email spam filtering. The Naïve Bayes method outperforms all other techniques examined in terms of speed and reasonable precision.

## **RESEARCH GAP**

• Improvements in these cryptographic algorithms' capacity and effectiveness can be the main focus of research for machine learning that protects privacy (Chen et al., 2018). It is very important to develop multi-key homomorphic encryption systems that are both practical and efficient. To investigate the novel methods for incorporating differential privacy into machine learning models without losing their usefulness is challenging one.

• In order to make multi-key homomorphic encryption more feasible for contexts with limited resources, research might concentrate on creating more effective schemes, refining already-existing schemes, and investigating hardware-accelerated alternatives.

• The majority of applications allow the encoding strategy for a considerable amount of time, which aids the analyst in concentrating on this approach till acknowledging the key or creating a method for knowing the key each time it is modified.

## **PROPOSED METHOD**

Detecting anomalies in data involves finding unusual or unexpected behaviour. By examining network data and spotting trends that point to a possible attack, machine learning algorithms can be used to spot possible security lapses or assaults. This may lessen the possibility of illegal access to encrypted data. In collaborative data analysis, several parties work together on sensitive data to analyse and draw conclusions without sharing any of their personal information.

The suggested proposed method uses secure multi-party compute approaches to overcome privacy issues (Chen et al., 2022) related to collaborative data analysis. The significance of privacy-preserving data analysis and the requirement for safe collaboration frameworks are discussed. With the ability to manipulate encrypted data directly and the property that altering the ciphertext directly yields the same outcome as altering the plaintext first and then encrypting it, Multi-key homomorphic encryption is used for encryption, in scenarios involving outsourced computing. When using Multi-key homomorphic encryption, each side encrypts its personal information. A third party performs the computations directly on the encrypted data, and the resultant output is also encrypted. The third party, who carries out the computation without decrypting the secret data, receives the encrypted data from each party.

## **CONTRIBUTION TO THE RESEARCH**

By utilising a multi-key homomorphic encryption proxy to re-encrypt the provided data, a safe multi-party computing system based on encrypted data is implemented. Proxy re-encryption allows the data owner to go offline after encrypting the uploaded data and eliminates the need for them to remain online during safe multi-party computing. This solves the issue where the multi-key homomorphic encryption technique cannot be decrypted individually when the result is acquired.
A neural network-based secure multi-party computing strategy is suggested, which combines multi-key fully homomorphic encryption agents with re-encryption.

• This secure multi-party computing solution satisfies all requirements (Hunag et al., 2021).

## MACHINE LEARNING AND ITS APPLICATIONS

Algorithms are used in machine learning classification approaches to group data into preset classes or labels. These methods can be used in a variety of cryptography applications to address privacy and security issues. The following are a few machine learning classification methods with applications in cryptography:

## **Algorithms for Supervised Learning**

In the domain of cybersecurity, supervised learning algorithms are employed for tasks like spam filtering, virus detection, and intrusion detection. For example, by learning patterns of typical network behaviour and recognising abnormalities, Support Vector Machines (SVMs) can be utilised for intrusion detection. Random Forest algorithms work well for categorising malware according to characteristics taken from its behaviour or code.

#### **Neural Networks**

Neural networks are used for cryptography tasks such as cryptographic key generation, intrusion detection, and encrypted data analysis. For example, deep learning for anomaly detection uses a neural network to

learn typical network traffic patterns and spot anomalies that might be signs of security risks. Secure cryptographic key generation is one cryptographic procedure that neural cryptography can improve.

## **Decision Trees**

In cybersecurity, decision trees are used to categorise network traffic and differentiate between benign and malevolent activity. For example, network packets can be classified as benign or malicious using Decision Trees for Intrusion Detection, which can be trained to identify information such as port numbers, source and destination IP addresses, etc.

#### **Ensemble Learning**

The general accuracy and resilience of classification models used in cryptography can be increased by employing ensemble learning techniques like bagging and boosting. AdaBoost, for instance, can be used to enhance the efficacy of weak classifiers in tasks such as malware detection.

#### **Naive Bayes**

In cryptography, Naive Bayes classifiers are used for spam detection and email filtering. For example, spam detection: Naive Bayes algorithms are capable of analysing email content and characteristics to ascertain whether or not an email is likely to be spam.

## K-Nearest Neighbours (KNN)

In network traffic analysis, KNN algorithms can be utilised for anomaly detection and categorization. As an illustration: Network Anomaly Detection: KNN can spot odd network traffic patterns that could point to an intrusion or security risk.

## **Regression Techniques in Cryptography Applications**

In machine learning, regression techniques are primarily applied to forecast continuous or numerical outcomes based on feature-based inputs. Regression techniques are used when the goal variable is a continuous value, whereas classification approaches concentrate on allocating data points to preset groupings or categories. Regression techniques are useful in the field of cryptography for a number of tasks that entail making numerical predictions or determining correlations between variables.

- Using models, one can determine the ideal key length for cryptographic algorithms by taking into account variables like processing power, security needs, and possible threats.
- Analysis can be used to simulate the link between different input parameters or configurations and the performance of a cryptographic algorithm (e.g., encryption/decryption speed).
- It can help to fine-tune cryptographic protocol security parameters, such choosing the right values for things like a block cipher's number of rounds.
- By simulating the effects of different parameters on the performance or vulnerability of cryptographic protocols, techniques can be used to analyse their security or efficiency.
- It can be applied to the estimation of entropy levels in cryptographic key creation procedures or random number generators.
- Analysis models the link between important factors and attack resistance, which aids in assessing

the cryptographic strength of algorithms.

When an algorithm is given unlabeled data and entrusted with identifying patterns, relationships, or structures within the data without explicit instruction in the form of labelled outputs, this sort of machine learning is known as unsupervised learning. Unsupervised learning aims to find hidden patterns or explore the data's innate structure without explicit guidance on what to look for. In unsupervised learning, dimensionality reduction and grouping are frequent problems.

Two types of unsupervised learning techniques:

- Clustering is the process of assembling comparable data points according to a similarity metric. Natural groupings or clusters within the data are found by the algorithm.
- The goal of dimension reduction approaches is to decrease a dataset's feature count while maintaining its key attributes.

In machine learning, unsupervised learning is also applicable. In situations where reference output is unavailable for comparison, learning is accomplished only through input data. Unsupervised learning or training is what it is known as. Clustering is a prevalent unsupervised learning technique. Finding structures or clusters in input data is done by clustering.

## **Reinforcement Learning**

• The processes of key creation, distribution, and management can be optimised with the use of RL. As usage patterns and the security environment change, the agent can be trained to dynamically modify important parameters.

• Adaptive cryptography algorithms that can modify their parameters in response to a changing threat landscape can be created using reinforcement learning. This can entail adapting key lengths or dynamically altering encryption techniques in response to shifting security requirements.

• RL can improve cryptographic network intrusion detection systems (Borges et al., 2017). The agent can be trained to identify unusual patterns of behaviour, react to security events, and modify its tactics over time to increase the system's overall resilience.

## **APPLICATIONS IN CRYPTOGRAPHY**

<u>Al-Rawe and Naimi (2023)</u> discussed the primary focus of this study is secure MPC techniques for cooperative recommender networks. It looks at recent developments and tactics designed to preserve user privacy while enabling accurate and useful cooperative recommendations.

Lee and Wang (2021) explained An extensive analysis of secure MPC techniques used in cooperative machine learning settings is provided by this paper. It looks at the issues with collaborative ML that protects privacy and provides an overview of the solutions that have been proposed in the literature.

Al-Shammari and Zincir-Heywood (2009) presented a classification technique, to categorise encrypted traffic using machine learning. The task was completed in order to evaluate the machine learning categorization of encrypted traffic's robustness.

Blum (2007) discussed the possibilities of connecting machine learning and cryptography. The study

claims that there are substantial technological overlaps between machine learning and cryptography systems. This is related to techniques for enlarging cryptosystems.

Klien et al. (2005) presented mutual learning as a novel phenomenon. In cryptography, this mutual learning effect can be useful. Mutual learning can facilitate the creation of a shared secret key between the two communication parties over a public channel.

<u>Rosen-Zvi et al. (2002)</u> proposed that a public-key cryptosystem can be implemented via mutual learning in a tree parity machine. The synchronisation state of the tree parity machine is utilised as the key in a specific encryption and decryption rule, which explains how the machine can be used as a public-key cryptosystem.

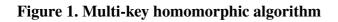
# Secure Multi-Party Computation (SMPC) and Multi-Key Homomorphic Encryption

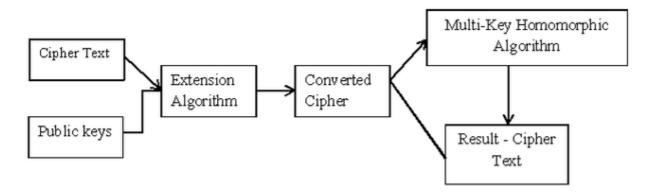
Innovative methods for data privacy and security include Secure Multi-Party Computation (SMPC) and Homomorphic Encryption, which enable calculations on encrypted data without disclosing the underlying information (Brown & Davis, 2020).

## **Multi-Key Homomorphic Encryption**

A cryptographic method called Multi-key homomorphic encryption makes it possible to compute on encrypted material without having to decrypt it. When the computation is decrypted, the result matches the result that would have been obtained if the operations were conducted on the plaintext.

- Users can store encrypted data on a cloud server and use it for computations without having to first decode it, which improves privacy.
- During training and inference, Multi-key homomorphic encryption can be utilised to safeguard private information that is used in machine learning models.
- Companies can contract with outside organisations to do data processing functions without disclosing the real data.





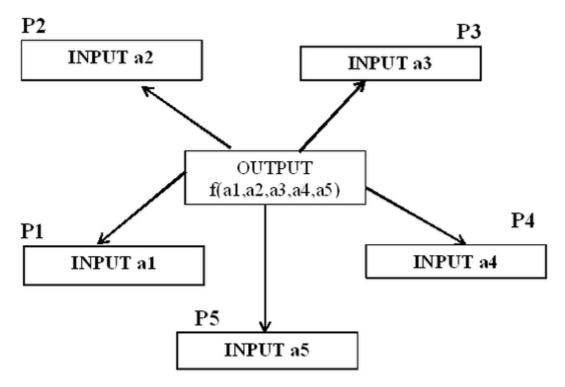
## Secure Multi-Party Computation (SMPC)

SMPC protects the privacy of the inputs by enabling multiple parties to concurrently compute a function

over them (<u>Zhang & Zhang, 2020</u>). Without disclosing specific inputs to one another, each party computes the required function collectively while maintaining the privacy of its own private input through cryptographic methods.

- In domains where data sharing is restricted, such as healthcare, finance, or research, many parties can work together to analyse datasets without disclosing the raw data (Liu et al., 2022).
- SMPC can be used to create private, secure electronic voting systems that protect each voter's vote.
- SMPC can be used when several entities need to decide based on their separate inputs without sharing those inputs (<u>Smith & Johnson, 2019</u>).

Figure 2. Secure multi-party computation (SMPC)



## ATTACKS ON MACHINE LEARNING IN CRYPTOGRAPHY

A study with incorrect predictions made by a classifier was suggested by Dalvi et al. (2005). The adversarial classification problem is the name given to this issue. An adversarial learning problem, first identified by Lowd and Meek (2005), allows attackers to send queries to a classifier in order to reverse engineer it and locate harmful instances that the classifier is unable to identify.

Olakunle Ibitoye et al. (2019) state that machine learning in network security is also susceptible to different adversarial assaults, which may result in inaccurate predictions. In order to better understand how adversarial assaults affect machine learning and network security, they carried out an extensive investigation. They came to a risk grid map that shows which adversarial attacks (Athalye et al., 2018) ML systems in network security are susceptible to. Many learning methods are available online these days and can be used from a distance. According to accountability, a summary of machine learning assaults was provided by K. Auernhammer et al. (2019). An adversarial attack that targets and compromises the security of connected and autonomous automobiles is proposed by Prinkle Sharma et al. (2019).

A comprehensive analysis of security and privacy in machine learning was presented by Peprnot et al. (2016). The paper presents an adversarial framework with an extensive threat model for machine learning

(2010). The paper presents an adversarial framework with an extensive threat model for machine rearing and an appropriate classification scheme for assaults and defences. An effective adversarial black-box approach that allows the attacker to take control of a remotely hosted DNN without needing to know the model or training data

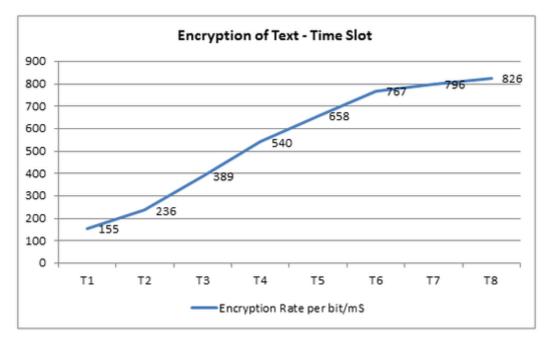
The backdoor study in machine learning systems is provided by Yujie Ji et al. (2020). Backdoors are introduced by third-party primitive learning modules (PLMs). Under specific circumstances, malicious PLMs have the ability to create system malfunctions. Using this technique, model parameters can be changed to introduce backdoors. A strategy for adding a backdoor to deep learning models using data poisoning is proposed by Chen et al. (2017). Even without any prior understanding of the model or input data, this model functions well.

Backdoor attacks, as demonstrated by Liao et al. (2018), can be introduced into convolution neural network models by the use of covert perturbations. The target label is recognised as the attacker's label. Bagdasaryan et al. (2018) discussed backdoor attacks on federated learning and suggested a secure privacy protecting learning system.

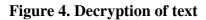
## Results

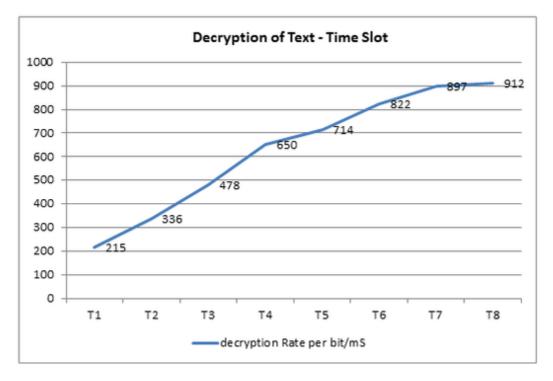
To ensure that data integrity is maintained, the proposed approach also challenges the problem of untrusted third parties executing homomorphic operations on encrypted data. This is crucial because it guards against threats like data change and tampering. Data alternation attacks cause inaccurate decryption outcomes by modifying encrypted data without the secret key.

When the ciphertext is decrypted, the attacker can alter it to create a different plaintext, which could result in data loss, illegal access, or other negative effects (Yang et al., 2020). The suggested work provides a multi-key homomorphic encryption with Security multi-party computation (SMPC) technique that uses a unique key to independently encrypt each decimal digit of the input integer number. Where individual data cannot be activated by multi parties.



#### **Figure 3. Encryption of text**





## **CONCLUSION AND FUTURE WORKS**

Our presentation provided an overview of the use of machine learning in the fields of multi-key homomorphic encryption, secure multi-party computation, and cryptography. The literature review revealed that these study topics have a great deal of untapped potential. Additionally, the report covered security flaws in machine learning methodologies and machine learning-based systems.

Due to the fact that machine learning is heavily reliant on data quality in security applications, new dangers resulting from cyber-attacks have the potential to destroy vital data infrastructure. It is difficult to identify protected data using machine learning-based techniques in security applications because they gather and predict many samples. A new field of study is made possible by the sharp rise in security events and the security of machine learning-based decision systems in security settings. Malicious users may occasionally be able to minimise false-positive rates and raise false-negative rates proportionately. This allows them to maintain an overall error rate while deceiving the system and giving attackers a tactical advantage in more complex attacks. Investigating this kind of problem is necessary to effectively identify assaults on ML-based systems. All data privacy fields have made enormous strides, but their effectiveness is still limited by the intricate workings of machine learning algorithms that depend on a vast number of parameters. Thus, it is necessary to research very effective privacy-preserving techniques in cryptographic data. A performance trade-off between scalability and accuracy for machine learning classifiers was identified.

## **FUTURE WORKS**

## **CHAPTER 7**

## **Quantum Cryptography:**

## Algorithms and Applications

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## ABSTRACT

Cryptography is the process of encrypting data or transforming plain text to ciphertext so that it can be deciphered only by appropriate key. Quantum cryptography employs quantum physics principles to encrypt and transport data in an unpackable manner. Quantum key distribution (QKD) is a technique for creating and exchanging private keys over quantum channels between two parties. Then, using standard cryptography, the keys can be used to encrypt and decrypt messages. Unbreakable encryption is something we really must have. The integrity of encrypted data is now in danger due to the impending development of quantum computers. Fortunately, quantum cryptography, via QKD, provides the answer we require to protect our information for a very long time to come. This is all based on the intricate principles of quantum mechanics. This chapter is discussing the various algorithms used and the applications of quantum cryptography.

## INTRODUCTION

## **Quantum Computing**

Quantum computing is a multidisciplinary field that integrates computer science, mathematics, and physics. We can solve complex problems far faster with quantum physics than we can with conventional computers. Quantum computing includes both hardware and software development and research. Two examples of quantum mechanical characteristics that quantum computers employ to surpass classical computers in particular problem types are superposition and quantum interference. This is so that quantum computers may make use of these phenomena. Machine learning (ML), optimisation, and simulation of physical systems could all profit from the faster processing rates provided by quantum computers. Potential applications in the future include enhancing financial portfolios and modelling chemical reactions, two tasks that require resolving issues that are beyond the capabilities of even the most potent supercomputers

available today.

Quantum bits, or qubits, are represented by symbols called quantum particles. The control devices play a major role in the success of the attempt to maximise the processing capabilities of a quantum computer by manipulating qubits. The bits found in traditional computer types are comparable to the qubits seen in quantum computers. A traditional machine's CPU is primarily in charge of manipulating bits to accomplish its tasks. Parallel to this, the manipulation of qubits is the only way the quantum processor can perform its duties (<u>Raymer, 2017</u>).

An electronic signal that can exist in one of two states—on or off—is referred to as a "bit" in the context of classical computing. Depending on the circumstance, the classical bit's value can be either one (on) or zero (off). Owing to its foundation in the concepts of quantum physics, the qubit can exist in a superposition of states.

## BACKGROUND

#### **Quantum Mechanics**

In the study of very small-scale particle behaviour, physics offers a field known as quantum mechanics. The equations governing particle behaviour at the subatomic scale are not the same as those describing the macroscopic environment. The utilisation of these phenomena by quantum computers results in computational processes that are radically novel (Sasaki, 2012).

#### **Basic Concepts Behind Quantum Computing**

The application of quantum ideas forms the foundation of quantum computing. A new lexicon of terms is required to fully comprehend quantum principles. These include concepts like decoherence, entanglement, and superposition. Let us get a deeper comprehension of these principles (<u>Banafa, 2023</u>).

#### • Superposition

Superposition is the idea that two or more quantum states can be combined in a way that is like how waves are combined in conventional physics, and the outcome will be another valid quantum state. Conversely, any given quantum state can also be defined as the sum of two or more other unique states. The inherent parallelism of quantum computers, which allows them to perform millions of tasks simultaneously, comes from this superposition of qubits.

#### • Entanglement

When two systems are connected in such a way that knowledge about one system instantly provides knowledge about the other, regardless of the distance between the two systems, this phenomenon is called quantum entanglement. Quantum computers can infer information about the initial particle by measuring another particle. They can determine, for example, that when one qubit spins upward, the other will necessarily spin downward, and vice versa. By utilising quantum entanglement, quantum computers can solve complex issues faster. When a quantum state is measured, the wavefunction collapses, and the state is measured as either a zero or a one depending on the measurement outcome. When in this known or predictable state, the qubit behaves similarly to a conventional bit. Entanglement occurs when qubits can correlate their states with those of other cubits

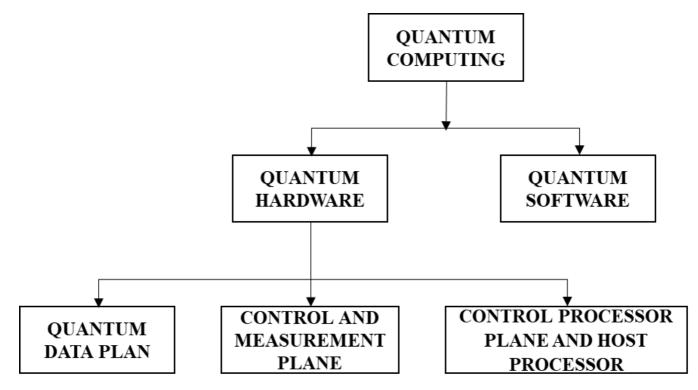
#### • Decoherence

Decoherence is the process by which a qubit loses its quantum state. The collapse of the qubits' quantum state can occur under a variety of environmental circumstances, including radiation. The design of the many features that try to postpone the state's decoherence is one of the biggest engineering hurdles in the process of creating a quantum computer. As an illustration, the creation of specific structures that protect the qubits from outside fields.

#### **Components of Quantum Computer**

In the same way that classical computers have hardware and software, quantum computers also operate in this manner.

#### Figure 1. Components of Quantum Computing



#### **Quantum Hardware**

Hardware for quantum computing consists of three primary components.

#### • Quantum Data Plane

o The core of the quantum computer is the quantum data plane, which contains all the physical qubits and the structures required to hold them in place.

#### • Control And Measurement Plane

o The control and measurement plane converts digitalized signals into either wave control signals or analogue signals.

o The analogue signals in the quantum data plane are responsible for executing the qubit operations.

#### • Control processor plane and host processor

o The control processor plane implements both the sequence of operations and the quantum

algorithm.

o The host processor communicates with the quantum software and sends a digital signal or a set of classical bits to the control and measurement plane.

## **Quantum Software**

Using quantum circuits to implement unique quantum algorithms is known as quantum software. A kind of computing process known as a quantum circuit describes a series of logical quantum operations that are carried out on the qubits that make up the circuit. To write quantum algorithms, programmers can make use of a broad range of software development tools and libraries.

## Cryptography

The translation of the word "cryptography" is "hidden," and the suffix "-graph" denotes writing. Hence, writing that is secure or hidden is called cryptography.

Cryptography is the study and use of techniques to shield information and communication from attackers. It involves applying mathematical algorithms to transform data into a format that is unintelligible without the required knowledge or key. Authentication, integrity, confidentiality, and non-repudiation are the four primary goals of cryptography (Nitaj, 2023). The following are some essential ideas and elements of cryptography:

#### • The Encryption Process

Encryption is the process of converting readable data, or plaintext, into unreadable data, or ciphertext, using an algorithm and a cryptographic key. The ciphertext can only be decrypted and converted back to plaintext by the owner of the correct key.

#### • The Decryption Process

Decryption is the opposite of encryption. To convert ciphertext back into plaintext, the decryption algorithm and the appropriate key must be used.

#### • Symmetric Cryptography

In symmetric cryptography, the same key is used for both encryption and decryption. The sender and the recipient need to exchange the secret key.

#### • Asymmetric Cryptography

Asymmetric cryptography uses two sets of keys: public and private. The public key is used for encryption, but the private key is required for decryption. Only the recipient should be in possession of the private key.

#### • Infrastructure for Public Keys (PKI)

PKI is a set of roles, policies, and procedures that are used to create, manage, distribute, use, store, and revoke digital certificates as well as supervise public-key encryption.

Cryptography is a fundamental component of information security and is used in a wide range of applications, including secure communication, digital signatures, access control, and data protection. The field of cryptography is always evolving, with new challenges arising due to changes in technology and threat landscape.

## **Quantum Cryptography**

The term "quantum cryptography" describes an encryption method that uses the properties of quantum physics to protect and transfer data in a way that prevents unauthorized access.

The methodical process of encoding and protecting data so that only those with the right secret key can decrypt it is referred to as cryptography. Unlike traditional cryptography, quantum cryptography bases its security framework primarily on physics rather than mathematics. This sets it apart from other cryptographic systems. The technique of quantum cryptography is intrinsically secure, as it effectively blocks unauthorised access without requiring the sender and recipient of the encrypted message to be aware of it (<u>Bhushan, 2022</u>).

When it comes to quantum communication, information that is encoded within a quantum state cannot be replicated or accessed without resulting in detection by the sender or the recipient. Maintaining the security of quantum cryptography against adversaries using quantum computing capabilities is essential. Photons, which are discrete light particles, are used in quantum cryptography to transfer data over fibre optic cables. Binary information is based on photons as its fundamental unit. Quantum mechanics and its applications are necessary for the system to function properly. These are the properties that are considered secure:

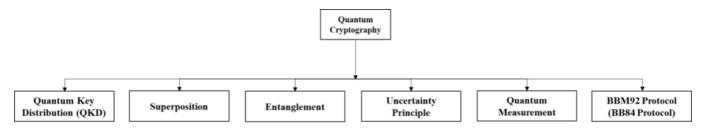
- Particles can exist in several places or states at once.
- Any quantum characteristic that is observed must be changed or disrupted.
- Moreover, complete particles cannot be replicated.

These properties make it impossible to measure the quantum state of any given system without causing some kind of disturbance. Since photons have the necessary property, they are used in quantum cryptography. As information carriers, the behaviour of optical fibre cables is well understood. Known as one of the most notable examples of quantum cryptography, quantum key distribution (QKD) provides a strong mechanism for exchanging cryptographic keys.

A field of study called quantum cryptography uses the ideas of quantum mechanics to create secure communication channels. In contrast to classical cryptography, which depends on mathematical formulas and specific difficulties (like factoring big numbers), quantum cryptography leverages the essential characteristics of quantum mechanics to provide security (<u>Barnett, 2009</u>).

Here are some key concepts and principles of quantum cryptography:

#### Figure 2. Key Concepts in Quantum Cryptography



• Quantum Key Distribution (QKD): This is one of the main uses of quantum cryptography in practice. By using QKD, two parties can create a shared secret key over a communication channel that may not be secure. The laws of quantum mechanics ensure the security of this key distribution.

• Superposition: A concept from quantum mechanics, superposition refers to the simultaneous existence of multiple states in particles like photons. Information is encoded using this property in quantum key distribution protocols.

• Entanglement: Regardless of the distance between two or more particles, quantum entanglement is a phenomenon in which the states of those particles are directly related to one another. Establishing secure quantum communication channels involves the use of entanglement.

• Uncertainty Principle: According to the Heisenberg Uncertainty Principle, it is impossible to know two properties of a particle exactly at the same time, such as momentum and position. Quantum cryptography protocols use this intrinsic uncertainty to identify eavesdropping attempts.

• Quantum Measurement: A quantum system is disturbed when it is measured. This disturbance has applications in quantum cryptography to identify potential eavesdroppers. The quantum states will unavoidably be disrupted if a third party attempts to measure them, and this disruption can be identified.

• BBM92 Protocol (BB84 Protocol): One of the first and most well-known quantum key distribution protocols was put forth by Charles Bennett and Gilles Brassard in 1984 (thus the name BB84). It involves the transfer of photons with various polarizations, and quantum mechanical principles ensure its security (Brassard, 2005).

Communication that is truly secure could be possible thanks to quantum cryptography, since any attempt to decipher messages encrypted with this technique would upset the fragile quantum states that are being sent. It is crucial to remember that there is still much to learn about quantum cryptography, and that developing trustworthy quantum communication hardware and dealing with the fragility of quantum states will present difficulties for any real-world applications. However, it has the potential to offer never-before-seen levels of communication security

## **RELATED WORKS**

The utilisation of a distinctive quantum key distribution (BB84 protocol) and its integration with traditional encryption techniques to enhance the security of data transmission. Furthermore, it evaluates the encryption, decryption, avalanche effect, and performance of both QKD free versions, as well as QKD for these operations, to analyse the efficiency of different cryptographic methods across different file sizes. The study investigates the potential applications of quantum cryptography in secure communication systems, building upon previous research on the topic (Khodakhast Bibak and Robert Ritchie, 2021) (Chankyun Lee, Ilkwon Sohn, and Wonhyuk Lee, 2022), (J Zhou, L Lu, Y Lei, and X Chen, 2014).

Concrete evidence employing a communicative architectural model and implementation to replicate the principles of quantum physics. The quantum key distribution (QKD) implemented using the BB84 protocol utilises both the presence and absence of an eavesdropper. Simulation data suggest that the utilisation of Heisenberg's uncertainty principle and the no-cloning principle can help in detecting an eavesdropper. Based on simulation data, the probability of precisely anticipating the polarisation state to eavesdrop on is extremely low (Dariush Abbasinezhad-Mood and Morteza Nikooghadam, 2018), (Amritha Puliadi Premnath, Ju-Yeon Jo, and Yoohwan Kim, 2014).

The present state of quantum computing and its applications in cryptography. This study examines the resilience of current encryption methods against quantum computing and explores the potential of quantum

computers in predicting secret keys for decrypting communication. The paper also discusses the creation of an application that allows users to employ this method for deciphering encrypted messages (Jia-Lun Tsai and Nai-Wei Lo., 2015), (Vanga Odelu, Ashok Kumar Das, Mohammad Wazid, and Mauro Conti, 2016).

This article will analyse quantum computing algorithms, namely Shor's algorithm, to explore its potential in replacing traditional methods for decrypting encryption systems. The evaluation of several quantum computing techniques will encompass an analysis of their storage capacity, computational time precision, correctness, integrity, availability, and efficiency (Neetesh Saxena and Santiago Grijalva, 2016).

This study presents a factorial quantum technique for cracking RSA without explicitly determining the modulus of n. The basis of this is the utilisation of phase estimation and the quantum inverse Fourier transform. The Shanks' SQUARE Form Factorization method, the Lehman methodology, and the RSA Quantum Polynomial-Time Fixed-Point Attack have all been studied as potential methods to the Integer Factorization Problem (IFP) (Baokang Zhao, Bo Liu, Chunqing Wu, Wanrong Yu, Jinshu Su, Ilsun You, and Francesco Palmieri, 2016).

Detailed analyses of state-of-the-art quantum key distribution (QKD) secured optical networks that will significantly influence communication networks in the upcoming decades. This text provides a comprehensive description of the fundamental setup approach, as well as the procedures and methods employed in optical networks protected by Quantum Key Distribution (QKD). The text provides a comprehensive discussion and comparison of several approaches suggested in the literature for addressing networking-related challenges (J Zhou, L Lu, Y Lei, and X Chen, 2014).

The utilisation of wireless body sensor networks (WBSN) for distant medical monitoring during the COVID-19 epidemic. After analysing the latest security weaknesses in WBSN data, a novel enhanced BB84 Quantum Cryptography Protocol (EBB84QCP) is suggested as a reliable method for secure key distribution without the need for directly exchanging secret keys (Yonghong Ma, Xiuyu Wang, and Dandan Cui, 2013).

## METHODOLOGY

## Quantum Key Distribution (QKD)

Within the field of information security, quantum key distribution (QKD) and machine learning are two separate but related fields. A variety of cybersecurity scenarios, such as intrusion detection, anomaly detection, and threat intelligence, can benefit from the application of machine learning. On the other hand, QKD is a cryptographic technique that secures communication channels by utilizing the concepts of quantum mechanics. Although they are not usually used together directly, there are some circumstances in which they might be (<u>Chindiyababy, 2022</u>).

This is a conceptual overview of possible joint research projects between machine learning and quantum key distribution:

## Figure 3. Steps to Integrate Quantum Key Distribution and Machine Learning

Enhancing Quantum Key Distribution Network Security and Anomaly Quantum Random

#### **Enhancing Quantum Key Distribution Security**

Based on the ideas of quantum mechanics, QKD offers a secure key exchange mechanism. It is intended to provide information-theoretic security, which means that rather than being ensured by computational complexity, the security is based on the rules of physics. The application of machine learning can help detect and reduce possible security holes or assaults on QKD systems. Machine learning algorithms, for instance, could be used to identify patterns or unusual behaviours in a quantum communication channel that might point to a security risk.

#### Key Management and Authentication

Key QKD management tasks can be aided by machine learning. This covers user or device authentication for the purpose of quantum communication. Machine learning algorithms can analyse key usage patterns and generate alerts if anomalous patterns are found, which may point to a security breach.

#### **Network Security and Anomaly Detection**

For tasks like key distribution, classical data transmission, and network management, classical communication infrastructure is still required, even though QKD secures the quantum communication channel. These traditional communication channels can be monitored and secured with the use of machine learning techniques. For instance, in traditional network infrastructure, machine learning algorithms can assist in identifying unusual activity or possible cyberthreats.

#### **Quantum Random Number Generation**

The generation of random numbers, which is necessary for cryptographic applications, can be done with quantum computers. Quantum-generated random numbers can be evaluated and verified using machine learning techniques to make sure they adhere to the necessary cryptographic standards.

#### Post-Processing of Quantum Key Material

Post-processing tasks pertaining to the generated quantum key material may be investigated using machine learning techniques. This could entail improving the key material's efficacy or resistance to specific kinds of attacks by refining or optimizing it.

It is crucial to remember that the field of study integrating QKD and machine learning is still developing, and that practical applications may be constrained by the state of quantum technologies now and the needs of the involved cryptographic systems. Depending on the particular use case and developments in machine learning and quantum computing, these technologies may be combined. Cybersecurity and quantum information science experts are always looking for new approaches to improve communication system security using these technologies.

#### **Optimising Quantum Key Distribution (QKD)**

Investigating machine learning techniques can help QKD systems operate more enciently. Using machine learning algorithms to dynamically adjust system parameters in response to environmental conditions and real-time measurements could be one way to achieve this (V. Srividya,2021).

#### • Quantum Error Correction:

Quantum error correction, which is essential for maintaining the accuracy of quantum information in the face of errors and noise, may be improved by machine learning.

#### • Quantum Random Number Generation:

A key element of quantum cryptography is the production of random numbers by quantum processes, which can be assessed and validated using machine learning techniques.

#### • Post-Processing and Key Distillation:

Machine learning has the potential to improve the efficiency of critical distillation processes by optimizing post-processing operations associated with quantum crucial material.

## Enhancing Quantum Key Distribution (QKD) Protocols

Techniques for quantum key distribution (QKD) that rely on entangled particles can benefit from the application of machine learning. This entails real-time parameter adjustments based on experimental results to enhance the security and efficiency of the key distribution process.

#### • Correction of Errors in Entangled States:

Maintaining the accuracy of quantum information, including entangled states, requires quantum error correction. The development of error correction codes or procedures that are more effective at fixing mistakes and especially made for complex scenarios may be facilitated by machine learning techniques.

#### • Analysis of Entanglement:

The analysis and description of entanglement properties can be done with machine learning techniques. This involves determining relevant characteristics from the experimental data to assess the degree of entanglement and other relevant parameters.

## **Uncertainty Principle**

The Uncertainty Principle, as proposed by Werner Heisenberg, states that the accuracy with which some pairs of properties (like momentum and position) of a particle can be simultaneously determined is intrinsically limited in quantum physics. The Uncertainty Principle plays a central role in the field of quantum cryptography, and machine learning can be used to address various aspects related to quantum uncertainty (Faulkner, 2021). The following lists suggested connections between machine learning, quantum cryptography, and the Uncertainty Principle:

## • Quantum Key Distribution (QKD) and Uncertainty:

Quantum Key Distribution (QKD) protocols, like the well-known BBM92 (Bennett-Brassard 1992) protocol, employ the Uncertainty Principle and other concepts from quantum mechanics to facilitate secure key exchange. Measurement of the quantum state by nature breaks the corresponding conjugate property, making it possible to identify eavesdropping attempts.

## • Quantum State Characterization Using Machine Learning:

Quantum states, particularly those affected by the Uncertainty Principle, can be characterized

through the application of machine learning techniques. For example, this might involve using machine learning techniques to extract relevant properties from quantum states or improve the measurement process.

#### • Quantum Sensing and Parameter Estimation:

The intrinsic uncertainty of quantum mechanics is harnessed by quantum sensors to achieve remarkable precision in parameter estimation. Algorithms for machine learning can analyse data from quantum sensors and enhancing parameter estimation accuracy.

#### • Quantum Random Number Generation (QRNG):

By taking advantage of the unpredictable nature of quantum states, QRNG uses quantum uncertainty to produce genuinely random numbers. The quality of randomness generated by QRNG devices can be examined and confirmed through the application of machine learning techniques.

#### • Quantum Error Correction and Uncertainty:

Errors arising from uncertainty and associated measurement disruption are common in quantum systems. Using machine learning algorithms, quantum error correction processes can be improved, lowering uncertainties that may arise during quantum operations.

#### • Enhancing Quantum Measurement Strategies:

Making measurements on quantum states is a common task in quantum cryptography, and choosing a measurement strategy is crucial. By considering the conditions and uncertainties of the experiment, machine learning algorithms can optimize measurement procedures.

#### • Quantum Tomography and Uncertainty-Aware Reconstruction:

The method of determining a new quantum state from measurements is known as quantum state tomography. Rebuilding quantum states while accurately and efficiently accounting for uncertainty is possible with machine learning techniques.

It is necessary to acknowledge the dynamic field of study that is the application of machine learning to quantum cryptography. Researchers are currently looking into how machine learning could enhance the capabilities of quantum technologies, particularly in addressing measurement disturbance and uncertainty-related problems. The applications and approaches used can vary based on the goals and circumstances of the current quantum cryptography project. It is essential to stay up to date with the latest research and literature in quantum information science and machine learning to fully understand the ongoing developments in this multidisciplinary field.

#### **Quantum Measurement**

Quantum measurement plays a crucial role in quantum cryptography, especially in quantum key distribution (QKD) protocols. The security of quantum communication hinges heavily on the identification of these perturbations, which can occur during the measurement of a quantum state (Ekert, 2005). Machine learning finds applications in the field of quantum measurement in a wide range of situations. The following are some applications for machine learning:

#### • Improving the Bases of Measurement:

A common step in quantum key distribution is choosing measurement bases, and the optimal choice depends on the properties of the quantum states being used. Machine learning algorithms can be used to optimize the selection of measurement bases by taking performance evaluation

and real-time feedback into account.

#### • Adjustable Metrics:

Machine learning techniques can be utilized to create procedures for adaptive measurement. Because the system can learn from previous measurement results, it can dynamically modify measurement parameters to maximize information gain while reducing disturbance.

#### • Adjusting Errors in Measurement Disturbances:

Quantum measurements are inherently unstable, so errors may occur during the taking process. Machine learning techniques can be used to develop efficient error correction methods that reduce the impact of disruptions and increase the accuracy of the key generation process.

#### • States Rebuilt and Quantum Tomography:

Quantum state tomography aims to reconstruct an unknown quantum state from measurement data. Reconstructing quantum states could be improved and expedited with the use of machine learning techniques, especially when measurement uncertainties are present.

#### Adversarial Attack Detection:

Machine learning can be used to detect adversarial attacks or eavesdropping attempts during the quantum measurement process. In order to alert the parties involved in the communication, abnormal patterns in measurement outcomes can be detected by machine learning algorithms.

#### • Quick and Easy Post-Processing

Post-processing steps that can be optimized with machine learning come after quantum measurements. This includes privacy amplification and distillation, two tasks where machine learning algorithms can help increase the efficiency of the classical processing of quantum measurement outputs.

#### • Online Monitoring and Calibration:

Quantum measuring apparatus needs to be continuously observed and calibrated to preserve the reliability of quantum cryptography systems. Machine learning algorithms can be used to detect and correct drifts or discrepancies in measurement equipment, enabling online calibration.

#### • Characterizing Quantum Channels:

Machine learning techniques support the characterization of the quantum channel, including its noise and characteristics. Using this information to adjust measurement procedures can optimize the performance of quantum communication protocols.

Machine learning within the quantum measurement framework allows for intelligent and adaptive approaches to quantum key distribution. It provides a means of fortifying quantum cryptography systems against measurement errors and other disturbances, optimizing protocols, and strengthening security. The development of this multidisciplinary field of study may lead to the emergence of new methods and uses for the combination of machine learning and quantum cryptography.

## **QUANTUM KEY DISTRIBUTION (QKD) ALGORITHMS**

## 1. BBM92 Protocol (BB84)

Objective:

• Advised for quantum key distribution (QKD)-based secure communication.

• Seek to make it possible for two people to exchange a private key for safe traditional communication.

Key Features:

• Makes use of quantum mechanical properties, in particular the no-cloning theorem and quantum superposition concepts.

• The protocol entails the transmission of qubits (quantum bits) using two non-orthogonal states in a different basis (usually represented as "+" and "×") and two additional non-orthogonal states in the standard basis (typically represented as "0" and "1").

• Alice, the sender, encrypts each bit using a random combination of the two bases.

• Additionally, Bob, the receiver, selects a basis for measurement at random.

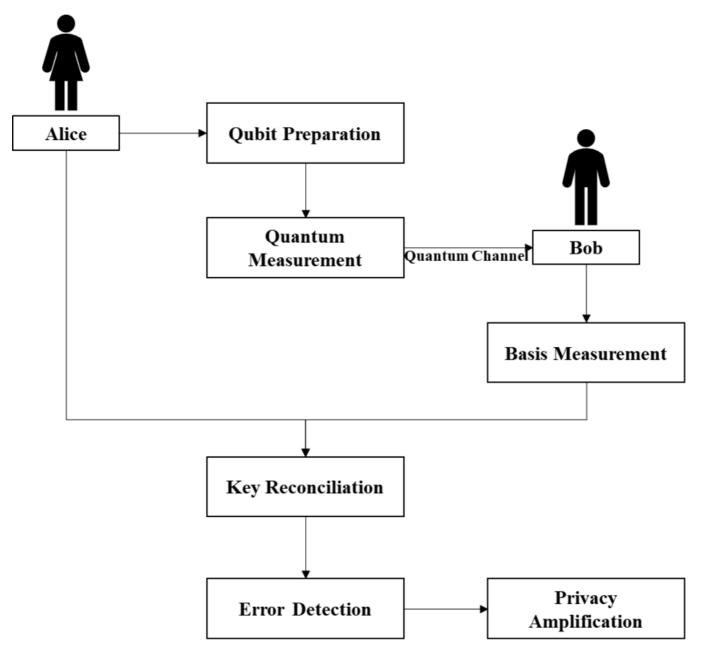
• An eavesdropper's attempt to intercept the qubits introduces errors that the legitimate parties can detect because of the non-orthogonality of the states.

Security Basis:

• The fundamental ideas of quantum mechanics, such as the impossibility of cloning an unknown quantum state and the disturbance brought on by measurements, are what underpin the security of BB84.

Key Exchange Process:

#### Figure 4. BBM92 Protocol



i. **Qubit Preparation**: Alice gets a series of qubits ready in two of the four possible states—the standard basis and the Hadamard basis.

ii. Quantum Transmission: Bob receives Alice's qubits via a quantum channel.

iii. Basis Measurement: Bob selects a basis at random for each qubit that is received.

iv. **Key Reconciliation**: Alice and Bob interact freely to contrast their measurement bases and eliminate data that was measured using a different basis.

v. **Error Detection**: Alice and Bob look for mistakes in their critical information that might point to the existence of an illegal listener.

vi. **Privacy Amplification**: By processing the final shared key, privacy amplification techniques are applied to improve its security.

The BB84 protocol proved that secure key exchange utilizing quantum principles was feasible and established the groundwork for quantum key distribution. In the realm of quantum cryptography, it continues to be an essential protocol (Ciesla, 2020).

## 2. E91 Protocol (Entanglement-Based QKD)

Artur Ekert proposed the Entanglement-based Quantum Key Distribution (QKD) protocol, or E91 protocol, in 1991. This protocol allows for the safe exchange of keys between two remote parties by taking advantage of the phenomenon known as quantum entanglement. This is a summary of the E91 protocol:

E91 Protocol Overview:

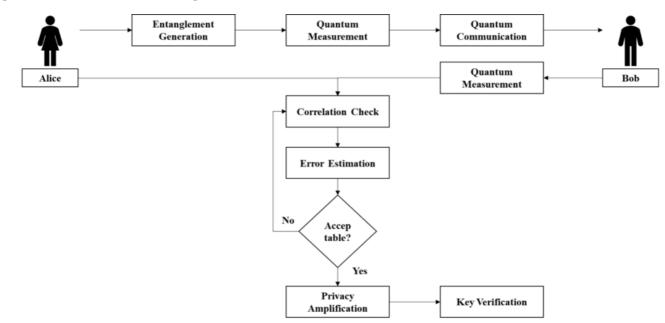


Figure 5. E91 Protocol (Entanglement-based QKD)

Entanglement Generation:

• In an entangled state, Alice produces pairs of entangled particles, usually photons. Regardless of their distance from one another, entanglement indicates that the states of the two particles are directly correlated.

Quantum Measurement:

• Alice measures her particles in a variety of bases, selecting the basis at random for each

measurement. Selecting a basis is essential for security.

Quantum Communication:

• Alice sends one particle to Bob, who is located far away, from each entangled pair. The usual medium for this communication is a quantum channel.

Quantum Measurement at Bob's End:

• For every particle received, Bob selects at random a measurement basis and conducts measurements in accordance with that basis.

Correlation Check:

• Alice and Bob exchange information regarding the bases they used for their measurements following the quantum communication phase. They keep only the measurements made with the same foundation.

Error Estimation:

• Bob and Alice calculate the measurement error rate. Elevated mistake rates could suggest the existence of a listener.

Privacy Amplification:

• Alice and Bob use privacy amplification techniques to distil a shorter, but more secure, final shared key if the error rate is judged acceptable.

Key Verification:

• Alice and Bob take extra precautions to make sure their key is secure against possible eavesdropping and to confirm that it is correct.

Security Basis:

• Entanglement provides non-local correlations that are essential to the security of the E91 protocol.

• Any attempt to eavesdrop would cause the entangled particles to become disoriented, which would result in observable mistakes in the key generation process.

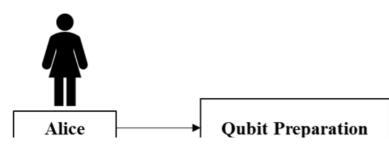
Significance:

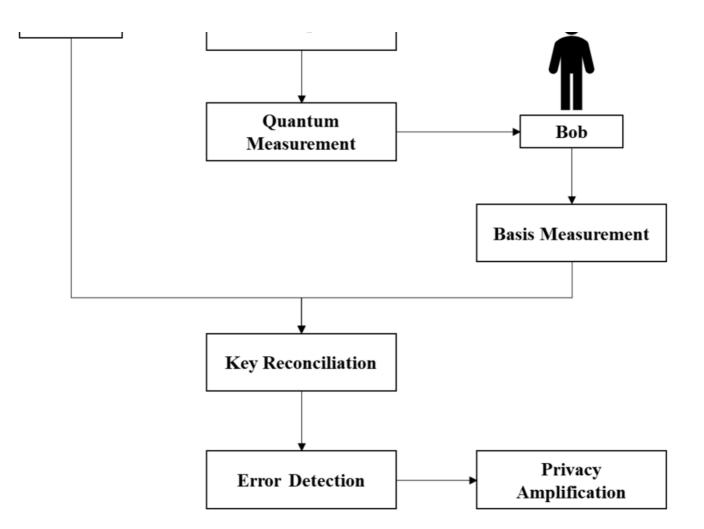
- The E91 protocol highlighted the non-local and quantum nature of the security features by demonstrating the potential to use entanglement for secure key distribution.
- Even though the E91 protocol may not be as useful in practice, it has sparked additional study and the creation of more sophisticated entanglement-based QKD protocols.

In the search for workable quantum communication systems, several entanglement-based protocols have been put forth and investigated. Quantum entanglement offers a special resource for secure key distribution.

## 3. SARG04 Protocol (Six-state QKD): Six-State Quantum Key Distribution

Figure 6. SARG04 Protocol (Six-state QKD)





• Qubit Preparation:

Like BB84, Alice prepares qubits in one of six non-orthogonal states. These states are often represented as  $|0\rangle$ ,  $|1\rangle$ ,  $|+\rangle$ ,  $|-\rangle$ ,  $|i\rangle$ , and  $|-i\rangle$ , where  $|+\rangle$  and  $|-\rangle$  are superpositions of  $|0\rangle$  and  $|1\rangle$ , and  $|i\rangle$  and  $|-i\rangle$  are superpositions of  $|0\rangle$  and  $|1\rangle$  with a phase factor.

• Quantum Transmission:

Alice sends the qubits to Bob over a quantum channel.

• Basis Measurement:

Bob randomly chooses a basis for measuring each received qubit.

• Key Reconciliation:

Alice and Bob communicate openly to compare their measurement bases and discard bits measured in different bases.

• Error Detection:

Alice and Bob check for errors in their key bits, which would indicate the presence of an eavesdropper.

• Privacy Amplification:

The final shared key is processed to enhance its security through privacy amplification techniques.

The Six-State Protocol provides an improvement over the BB84 protocol by using additional nonorthogonal states, which can increase the efficiency of quantum key distribution in certain scenarios.

## **QDS (QUANTUM DIGITAL SIGNATURES)**

A subfield of quantum cryptography known as "quantum digital signatures" (QDS) uses quantum computing and quantum key distribution (QKD) to create secure digital signatures. To guarantee the integrity and authenticity of electronic messages or data, digital signatures are essential. Utilizing the special qualities of quantum mechanics, quantum digital signatures provide higher levels of security (Bykovsky, 2018).

This is a basic introduction to quantum digital signatures:

## **Key Concepts**

## Quantum Key Distribution (QKD)

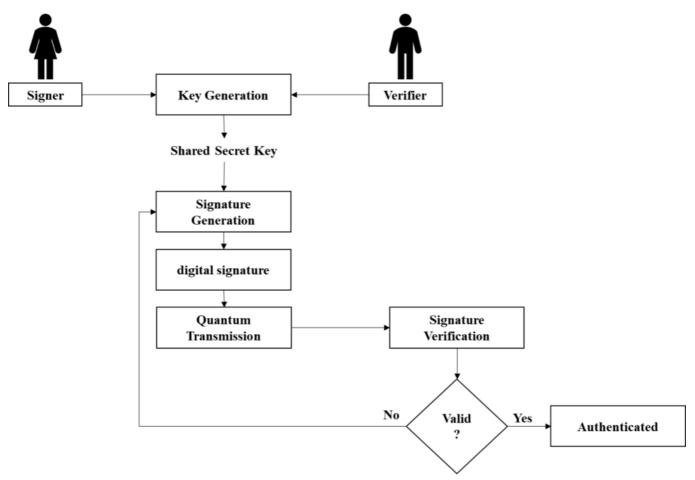
• By utilizing the ideas of quantum mechanics, two parties can safely establish a secret key through QKD protocols like BB84 and E91. After that, this key can be utilized for traditional cryptography operations.

## Quantum Superposition and Entanglement

• To create quantum states that are vulnerable to manipulation or eavesdropping attempts, quantum digital signatures frequently take advantage of the concepts of quantum superposition and entanglement.

## Quantum Digital Signature Process

#### **Figure 7. Quantum Digital Signature Process**



• Key Generation: Using a QKD protocol, two parties—referred to as the signer and the verifier—establish a shared secret key.

• Signature Generation: The digital signature is generated by the signer using the secret key to create a quantum state. The message to be signed determines the creation of this quantum state.

• Quantum Transmission: A quantum communication channel is used to send the verifier the quantum signature.

• Signature Verification: Using the shared secret key, the verifier measures the received quantum state. The verifier acknowledges the signature as authentic if it is valid.

#### **Security Aspects**

Quantum Resistance:

• The purpose of quantum digital signatures is to withstand attacks from quantum computers, which can defeat classical digital signature algorithms (like RSA and ECC) by using Shor's algorithm.

Tamper Detection:

• Any attempt to measure or alter the quantum states used for signatures will cause them to change. Consequently, the signer or the verifier would be able to identify any eavesdropper tampering.

## **Proposed Methodology**

The integration of Quantum Key Distribution with the BB84 protocol prioritises the enhancement of security proof. Alice and Bob utilise quantum bits, also known as qubits, to generate the key. Every effort made by an individual who secretly listens in (referred to as Eve) to acquire the key results in a disruption in the quantum signal, ultimately resulting in Eve's detection. The primary objective of our project is to provide a safe mode of communication exclusively between the two intended participants, namely Alice and Bob. This communication ensures security by the exclusive transmission of a confidential key. (Anilkumar, C., Lenka, S., Neelima, N., & V E, S., 2024)

The primary stages encompassed in this system are:

- Generation of cryptographic keys
- Key Sifting refers to the process of carefully examining and selecting important or relevant information.
- Extraction of essential elements

## **Generation of Cryptographic Keys**

The emitter sends out a photon with a randomly selected polarisation from a set of four possible states for each bit. He maintains a record of the orientation in a list.

• The photon is transmitted across the quantum channel.

• The recipient selects either a horizontal or diagonal direction for a filter, which enables them to distinguish between two randomly occurring polarisation states for each photon received. He meticulously records both the orientations and the outcomes of the detections, specifically noting whether the photons were deflected to the right or left.

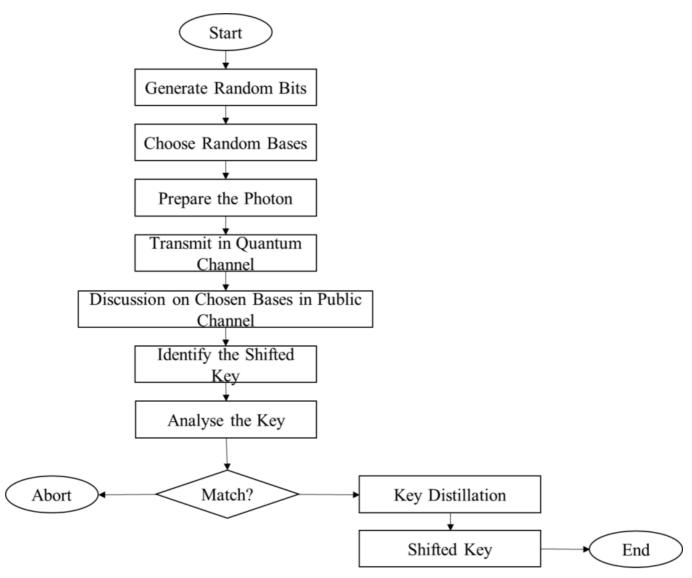
## **Key Sifting**

Key sifting refers to the process of carefully examining and sorting through a set of keys to identify and select the most relevant or important ones. The emitter utilises this information to compare the orientation of the photons he has transmitted with the corresponding filter orientation. He provides the recipient with information regarding the specific instances in which the orientations are compatible and those in which they are not. In the BB84 protocol diagram, it is observed that after sifting, the two parties possess a sequence of bits called the sifted key, which is identical if there is no eavesdropper present. Additionally, it has the capability to serve as a covert cryptographic key.

## **Key Distillation**

Process of extracting essential information or distilling the most important elements. If there was no unauthorised listener during the broadcast and the equipment used was perfect, the key should be completely free of errors after Key Sifting. All these inaccuracies are attributed to the eavesdropper to prevent compromising the security of the key. Subsequently, a post-processing technique known as Key Distillation is performed.

#### Figure 8. Proposed Methodology



## **Challenges and Future Developments**

#### Implementation Challenges

• One of the biggest challenges is creating useful quantum communication networks and devices that can carry out QDS. The state of quantum technologies today is still experimental.

## Combining With Conventional Systems

• It is a difficult task to integrate quantum digital signatures seamlessly with current classical cryptography systems.

## Quantum-Safe Cryptography

• Long-term security requires quantum-resistant (post-quantum) cryptographic algorithms as quantum computers develop.

• In quantum cryptography, quantum digital signatures are a promising field of study that tackles the threats that quantum computers might pose to traditional cryptographic systems. Current research endeavours to devise pragmatic applications and surmount the obstacles linked to quantum communication technologies.

## **Quantum Cryptography Applications**

Applications for quantum cryptography are numerous and mainly focused on securing communication channels using quantum mechanics (<u>Mohamed, 2020</u>). Among the principal uses of quantum cryptography are:

## Quantum Key Distribution (QKD)

- Enables private communication between two parties by securely exchanging cryptographic keys;
- Uses the ideas of quantum mechanics to provide a mechanism for identifying eavesdropping attempts.

## Secure Communication

• By taking advantage of the intrinsic security of quantum states, quantum cryptography guarantees the privacy of data that is transmitted.

• The disruption brought about by the measurement of quantum states makes eavesdropping detectable.

## Quantum-Safe Cryptography

- Creating post-quantum cryptography, or cryptographic algorithms resistant to quantum attacks, to get ready for the era of quantum computers.
- Preserving information from quantum computers' potential to decrypt it.

## Quantum Digital Signatures

- Ensures the integrity and authenticity of electronic messages in a quantum-safe way;
- Generates digital signatures that are safe from quantum attacks using quantum states.

### Quantum Secure Direct Communication (QSDC)

- Making secure communication possible between two parties without requiring the distribution of keys beforehand;
- Directly utilizing quantum entanglement to achieve secure communication.

### Quantum Key Exchange (QKE)

- Enabling safe communication even when a possible quantum adversary is present.
- Safely exchanging cryptographic keys via quantum protocols.

### Quantum Networks

- Developing quantum communication networks to enable safe multiparty communication.
- Putting quantum cryptography into more extensive and useful applications.

### Quantum Token Passing

• securing token transfers via quantum communication to make sure that only authorized parties have access to resources or systems

### Quantum-Secured Cloud Computing

- Improving cloud computing security through the application of quantum-resistant cryptography.
- Keeping private information safe from potential quantum computer decryption

### Satellite-Based Quantum Communication

- Using satellites to deploy quantum communication systems to create secure connections between far-off places.
- Overcoming obstacles in the way of long-distance quantum communication on Earth

### Quantum Internet

- Creating a global quantum internet that will enable users to communicate securely and instantly with each other anywhere in the world.
- Combining different quantum communication protocols and technologies into a unified network.

### Financial Transactions

- Utilizing cryptographic algorithms that are resistant to quantum fluctuations to safeguard financial transactions;
- safeguarding digital signatures; and guaranteeing the accuracy of financial information.

By offering answers to problems with traditional cryptographic techniques and potential dangers from quantum computing in the future, quantum cryptography has the potential to completely transform the field

of secure communication. The goal of ongoing research and development is to increase the practicality and accessibility of quantum cryptography technologies for use in everyday situations.

# **Applications of Quantum Computing**

# • Artificial Intelligence (AI) and Machine Learning (ML)

The capacity to process solutions to problems in parallel, as opposed to sequentially, holds great promise for machine learning (ML) and artificial intelligence (AI). Artificial intelligence (AI) and machine learning (ML) are tools used by modern organizations to find ways to automate and improve task performance. Optimisation can happen more quickly and broadly when quantum computing is used in conjunction with optimisation techniques, especially when dealing with complex or disorganised large data sets.

### • Financial modelling:

The large-scale modelling capabilities of quantum computing can help financial organizations better understand the behaviour of securities and assets. This could help with risk mitigation, portfolio optimization on a large scale, and improving financial organizations' understanding of global financial economic trends and oscillations.

### • Cybersecurity:

Encryption and privacy may be directly impacted by quantum computing. Quantum computers have the potential to improve data encryption by guaranteeing its security during both

# **CHAPTER 8**

# Minimizing Data Loss by Encrypting Brake-Light Images and Avoiding Rear-End Collisions Using Artificial Neural Network

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# ABSTRACT

Rear-end collisions are a threat to road safety, so reliable collision avoidance technologies are essential. Traditional systems present several issues due to data loss and privacy concerns. The authors introduce an encrypted artificial neural network (ANN) method to prevent front-vehicle rear-end collisions. This system uses encryption techniques and ANN algorithm to recognize the front vehicle brake light in real time. Information can't be deciphered without the appropriate key using encryption. Intercepting data during transmission prevents reading. The system works day and night. ANN outperforms LR, SVM, DT, RF, and KNN in accuracy. An encrypted ANN-based ML model distinguishes between brake and normal signals. ANN accuracy was 93.7%. Driver receives further alerts to avoid rear-end collisions. This work proposes a lightweight, secure ANN-based brake light picture encryption method. The proposed approach may be applied to other collision circumstances, including side and frontal strikes. The technique would be more adaptable and applicable to many road safety circumstances.

# **1. INTRODUCTION**

Rear-end collisions are common and often fatal in third-world nations. In complex surroundings with many unknowns, it might be challenging for conventional systems to reliably identify and predict the likelihood of a rear-end collision. Accidents come from drivers who do not respond quickly enough to potential threats (Feng et al., 2020). Sixty percent of crashes can be avoided with a half-second notice, and an astounding ninety percent can be avoided with a 1.5-second warning (Karungaru et al., 2021). Existing methods for collision detection tend to depend largely on a single intelligence algorithm, despite the advantages that may be gained from using a hybrid approach. Furthermore, they frequently fail to account for adverse weather that might reduce vision, rendering their detection systems useless.

Our encrypted images analysis with Artificial Neural Network (ANN) is capable of doing in-depth analyses, identifying patterns, and making very accurate predictions (<u>Guo et al., 2022</u>). It's like having a very intelligent passenger who can foresee potential accidents. One of the most common causes of

accidents on the road is rear-end collisions. Distracted driving, driver weariness, and following too closely are just a few of the causes of these (<u>Shang et al., 2021</u>). To prevent front-vehicle rear-end crashes, we present an encrypted technique with ANN in this research.

If the ANN determines that the driver will not be able to respond quickly enough to avoid a collision, an alarm will be triggered. The findings demonstrated that the proposed method might minimize rear-end accidents by as much as 50%. Rear-end collisions are a major cause of traffic accidents (<u>Shen et al., 2023</u>). They account for about 25% of all traffic fatalities in the United States (<u>Zhang et al., 2022</u>). There are many different things that can lead to rear-end collisions, including distracted driving, exhaustion, and following too closely (<u>Kushwaha, 2023</u>).

There are a number of techniques that can be used to avoid rear-end collisions. These include: The driver should always maintain a safe following distance from the vehicle in front of them. This will give them enough time to react if the vehicle in front of them stops suddenly. The driver should avoid distractions while driving, such as talking on the phone or texting. These distractions can prevent the driver from paying attention to the road and can lead to a rear-end collision. The driver should be aware of their surroundings and be prepared to stop if necessary. This includes being aware of the speed and distance of the vehicles around them.

The use of Artificial Intelligence (AI) to prevent rear-end crashes has gained popularity in current years (Fu et al., 2021; Pu et al., 2021). With the help of AI, we can create systems that study the driving habits of individual motorists and foresee when they will cause an accident (Kushwaha & Abirami, 2023). The data collected by these systems can then be utilized to issue warnings or conduct other preventative measures.

This system makes an effort to detect the brake light coming from the forward vehicle by making use of an ANN Machine Learning (ML) algorithm in real-time (<u>Hadjidimitriou et al., 2020</u>; <u>Yang et al., 2020</u>). The accuracy of the ANN method is superior to that of other ML classifiers, including Logistic Regression (LR), Support Vector Machine (SVM), Decision Tree (DT), Random Forest (RF), and K-Nearest Neighbor (KNN), Naïve Bayes (NB), Decision Tree (DT), Stochastic gradient Descent (SGD), Gradient Boosting (GB), AdaBoost. In order to distinguish between normal and brake signals, ML model based on ANN is utilized.

Researchers and policymakers in government will find this information valuable in their efforts to reduce the number of traffic fatalities (Abirami et al., 2021). ANN is used in the suggested method to prevent rearend collisions and detect brake light from front vehicle. The vehicle speed, distance from the front vehicle, and the driver's reaction time are only some of the sensory inputs used to train the ANN. If the ANN determines that the driver will not be able to respond quickly enough to avoid a collision, an alarm will be triggered. The ANN, which is a type of ML method. A value is produced by each neuron in the ANN after it gets input from the layer above it. To forecast the driver's response time, we use the data returned by the neurons in the output layer. Encryption is the process of encoding information such that it can't be deciphered without the right key (Alsafyani et al., 2023). If the data is intercepted while being sent, this can prevent anybody from reading it. Mobile alert message data can be protected using encryption methods, which transform the information into a code that can't be deciphered without the right key (Silva et al., 2023). In this way, the information is extremely secure, even if it is intercepted in transit.

Although various methods exist for encrypting data, the most prevalent ones are: For symmetric

encryption, the same key is used for both the encryption and the decryption of the data. This facilitates its implementation, but necessitates secrecy surrounding the key. Since the private key is never divulged, this method is more secure than symmetric encryption. Protected information may include the name of the driver, the location of the incident, and the severity of the collision when delivering alert messages to drivers following rear-end crashes. Access to sensitive information can be restricted via authentication and permission procedures. All data access can be logged to assist in revealing any efforts at unauthorized entry. Data can also be shielded from prying eyes with the use of physical security measures.

The proposed solution offers a novel way to prevent front-vehicle rear-end incidents. This paper has proposed a secure, lightweight encryption technology with ANN to protect the information in the vehicles brake light images. The method relies on an ANN that has been developed and trained using a vehicle brake light image dataset. If the ANN determines that the driver will not be able to respond quickly enough to avoid a collision, an alarm will be triggered. The research found that the proposed method might cut the frequency of rear-end crashes by as much as half (Wang et al., 2020). These results imply that the proposed method may be able to dramatically enhance road safety. There are several ways in which the proposed method might be enhanced. The ANN, for instance, may be taught using a more extensive collection of brake light information. As a result, the ANN would be better able to understand the driver's behavior and make reliable predictions. The suggested method may be generalized to encompass a broader range of collision scenarios, including but not limited to side impacts and frontal collisions. This would make the method more flexible, allowing it to be used in a broader range of scenarios in which road safety is being addressed. This method has several benefits. Protecting critical brake-light data from unwanted access and harmful assaults with ANN-based encryption. Optimization for real-time processing allows ANNs to identify and respond to collisions quickly. Training ANNs on large data sets ensures precise brake-light identification and accident risk assessment. By integrating secure encryption with real-time collision avoidance techniques, this approach minimizes data loss while maximizing safety on the roads (Li et al., <u>2021</u>).

The following are some of the contributions that this research has made:

(i) We presented a new method that uses ANN and other ML models to encrypt brake light frames. A novel encryption algorithm is developed to secure brake-light images during transmission and storage. This ensures privacy compliance while maintaining the integrity of the dataset.

(ii) Encrypted brake-light images are used to train the ANN. The model is optimized using backpropagation and fine-tuning techniques to achieve high accuracy.

(iii) During real-time operation, the encrypted brake-light images are decrypted for analysis. The ANN's output triggers collision avoidance mechanisms, contributing to enhanced road safety.(iv) LR, KNN, SVM, NB, DT, RF, SGD, ANN, GB, and AdaBoost are some of the ML algorithms that will be compared.

Rest of this paper is arranged as follows. Section 2 of this publication covers similar work. Section 3 discusses unique brake light images encryption using ML models. Result analysis and discussion are in Section 4. This paper's conclusion is in Section 5.

# 2. RELATED WORK

This area comprises typical research that are exclusively focused on encryption of brake light image safety. Additionally, the literature employs image encryption utilizing ML algorithms with different methods and databases, as shown in Table I.

Majed <u>Alsafyani et al. (2023)</u> proposed a fresh approach to image encryption using an innovative method for picture encryption that makes use of adaptive control parameters and a generalized symmetric map in a multi-coupled map lattice system. For DL to work at its best, a big training dataset is necessary.

Syed Muhammad Unsub Zia et al. (2022) presented a new picture cryptosystem based on linked map lattice systems that generates pseudo-random sequences using generalized symmetric maps. By adjusting a single control parameter, the user may select the source of pseudo-random sequence creation using the generalization of symmetric maps. Three separate pseudo-random sequence generators are used by the proposed encryption method; they are re-randomized prior to the final encryption procedure.

Xiuhui <u>Chen et al. (2022)</u> lifted scheme and cross-component permutation to create a unique color image encryption method. CIE-LSCP is a new color image encryption method based on lifting and cross-component permutation. The Loren-z-Haken laser chaotic system's initial parameters are constructed from plaintext picture and external key SHA256 hash values. The chaotic system creates encryption sequences. PSLS is proposed for plaintext images.

Liang Liu et al. (2022) created AlexNet, an upgraded convolutional neural network that uses a preprocessing module to encrypt the data. Additionally, the visual text is encrypted by means of a chaotic sequence that is created by a one-dimensional chaotic system known as Logistic-Sine and a multi-dimensional chaotic system known as Lorenz. This guarantees the system's real-time performance as well as its security effect. Here, the chaotic function and AlexNet are utilized to develop a model for real-time image text encryption.

Yang <u>Gao (2022)</u> executed data, physical, and digital security all encompass a wide range of uses for image processing. Steganography and watermark conceal information by imperceptibility, whereas encryption aims to challenge hackers and the secret technique that is revealed in advance.

Zhongyun <u>Hua et al. (2019)</u> developed an image encryption method called IES-JPFD that takes into account both the Josephus scrambling, which is derived from the Josephus issue, and the filtering technology. The encryption algorithm follows the classical diffusion and confusion framework. The Josephus scrambling generates random sequences to quickly separate the picture pixels, and image filtering is commonly used for image smoothing.

Shima Ramesh Maniyath (2020) related about data security arise whenever data is sent for processing, storage, or transmission across any kind of network system. There are many different kinds of data security algorithms out there today, each with its own set of pros and cons. Implementation of data security measures over a centralized or distributed system, as well as the specific data types and structures, determine their utilization. The primary concern with many hosted business apps has always been security.

Kartik <u>Sharma et al. (2019)</u> proposed A new, significantly more secure method for image steganography. While it does make use of some standard steganography methods, the combination of these with cryptography and neural networks makes it very difficult to decipher.

Zhenzhou <u>Wang et al. (2019)</u> trained a neural network to identify passing automobiles and their taillights as a whole. The first step is to use convolutional neural networks to identify and extract the following cars' outlines. After that, we extract the taillight region in the HSV color space and use histogram, color, and position correlations to find the taillight pairing.

<u>Feng and Chen (2022)</u> presented an image recognition and encryption algorithm that uses a multidimensional chaotic sequence and artificial neural networks.

Pinhe <u>Wang et al. (2022)</u> investigated a novel model for target detection using convolutional neural networks. In an effort to improve the speed and accuracy of high-speed scene image identification, the study's practical effects on scene picture identification are strong, and it realizes the successful combination of a multi-frame convolutional neural network with batch normalization techniques. To optimize the convolutional neural network's fundamental network architecture, one uses the L2 regularization algorithm. This, in turn, improves the algorithm's recognition accuracy, generalizability, and stability in complex environments.

Xiulai Li et al. (2018) investigated deep learning feature classification for iris-based feature Nencryption. Simulation experiments use the common iris database. Results show that the method improves iris encryption consistency and security. Using the iris as a model, researchers were able to develop an algorithm for encrypting and decrypting images using iris features. A deep learning-based algorithm for extracting iris features has been developed.

Sources	Encryption Technique	Methods	Database	
( <u>Alsafyani et</u> <u>al., 2023</u> )	~	DL, ML, Chaos-Based Scrambling Algorithm, Image Optimization	LFW dataset	
( <u>Zia et al.,</u> <u>2022</u> )	✓	Generalised Symmetric Map, Cryptography	USC-SIPI image database	
( <u>Chen et al.,</u> 2022)	✓	Lifting Scheme and Cross-Component Permutation (CIE-LSCP)	eight plain- text images	
( <u>Liu et al.,</u> <u>2022</u> )	✓	AlexNet, CNN	BOSSbase dataset	
( <u>Gao, 2022</u> )	✓	DL, Logistic and Henon Map, Neural Network Backpropagation,	SIBI dataset	
( <u>Hua et al.,</u> <u>2019</u> )	✓	IES-JPFD- Josephus Problem and Filtering technology	BSDS and CVonline image databases	

### Table 1. Different Methods and Databases Used in the Literature

(Maniyath, 2020)	~	DL, Chaotic Map	Sipi Image Database, 2018	
( <u>Sharma et al.,</u> <u>2019</u> )	1	Steganography, Cryptography, CNN, DL, Adam optimizer	Flickr30k dataset	
( <u>Wang et al.,</u> <u>2019</u> )	×	Faster RCNN, CNN, Image Processing	BDD100K datase	
( <u>Feng &amp; Chen,</u> 2022)	1	ANN, Logistic and Henon Map, PCA algorithm, DWT-DCT encryption	ORL face database	
( <u>Wang et al.,</u> <u>2022</u> )	×	CNN, L2 Regularization Algorithm, Canny edge detection	CUB-200-2011 bird dataset	
( <u>Li et al., 2018</u> )	1	DL	IRIS-Image Database	

# 3. METHODOLOGY

Included in this area are more conventional studies that have confined themselves to the topic of brake light image security encryption; furthermore, the existing literature employs picture encryption based on ML techniques (Kushwaha, 2022; Kushwaha, n.d.; Kushwaha & Abirami, 2023; M.S., 2020).

### 3.1 Dataset Collection and Labelling

The dataset was compiled in real-time from various short-range road videos. Motorbikes, cars, trucks, buses, vans, and any other type of vehicle are all taken into account. More than 30 videos were utilized to produce 185 picture frames, which were subsequently cropped to achieve a desirable output. Convert video to still images using Python script. The process of converting videos to images is already covered by a number of Python libraries. We used image dataset, MakesenseAI tool used for labeling (Kushwaha, 2023). Braking label when back side or turn light is on and normal signal when all backside lights are turn off.

### 3.2 Preprocessing

Following the collection of the dataset, the Braking frame and the Normal frame proceed to normalize the frames of the cars by employing preprocessing procedures. To begin, the image should be resized to conform to a standard format. After the picture has been auto-oriented, it should be resized further and stretched to 640X640 pixels.

# 3.3 Encrypting Brake Light Frames

Increase the quality of the brake light frames with the assistance of the encryption method. First original images use encryption system with the help of encrypted key and converted to encrypted image. In addition, Encrypted images converted to decrypted image with the help of decrypted system. Encrypting images entails safeguarding brake light image data to prevent unauthorized access or modification while keeping brake light image quality. In order to accomplish this goal, a number of different encryption methods are utilized. By utilizing a single key for both encryption and decryption, symmetric algorithms

perform both functions. Symmetric encryption doesn't immediately impair image quality but assures secrecy during transmission or storage.

### 3.4 Split the Dataset Into Training and Testing Dataset

By splitting the dataset in an 80:20 ratios, with 80 percent of the data being used for training and 20 percent being utilized for testing, as indicated in table II, the dataset was divided. Table II represents the 185 frames, which are divided into training 148 and testing 37 frames of the brake light detection dataset.

### Table 2. Training and Testing Dataset

Dataset	Training (80%)	Testing (20%)	
185 frames	148	37	

### 3.5 Applied ML on Training dataset

Applied ANN algorithms on a testing dataset and compared with it different ML algorithms such as LR, RF, NB, DT, RF, KNN, SGD, SVM, ADABOOST, and GB are used for both the classification and prediction of brake lights. LR is a statistical approach that may be used to categorize brake lights into two distinct categories. Through the use of the logistic function, it does an estimation of probabilities in order to describe the connection that exists between the dependent binary variable and one or more independent variables. KNN is a non-parametric, lazy learning algorithm that classifies data points based on the majority class of brake and normal images associated with their k nearest neighbors in the feature space. KNN is the best algorithm for solving the classification and regression challenges associated with brake light detection. It assumes that things that are similar are located in close proximity to one another. When it comes to recognizing brake lights from a dataset, SVM is ML approach that may be utilized for classification or regression purposes. There is a benefit to using the SVM classifier since it is able to differentiate between the two classes (hyperplane and line) the most effectively. Bayesian probabilistic ML classification is the method that is utilized in the NB classification methodology. It is also possible to employ the DT method to address problems involving regression and classification of brake light detection. One of the most well-known and widely utilized ML techniques, SGD provide the basis for neural networks. A system or process is said to be stochastic if it is one that has a probability of occurring. An example of a tree-like model is DT, in which each node represents a choice that is dependent on input data, and the leaves reflect the final conclusion or prediction of the braking signal. The RF method is an ensemble ML methodology that is essentially constructed within a DT. The bagging method is used to generate a large number of subsets of data. This is accomplished by randomly selecting observations from the initial datasets and replacing them with new ones. These subsets are then used to construct miniature trees. For the purpose of improving accuracy and resilience, RF is an ensemble learning approach that, during training, constructs numerous decision trees and then combines the predictions of those trees. Each new forecast in GB makes up for the error that was made in the prior one. Unlike AdaBoost, the weights of the training examples are not updated; instead, each predictor is trained using the predecessor's residual errors as labels. ADABOOST is a widely used foundational ensemble ML approach based on boosting. A

fundamental way of learning is utilized by both the DT and the RF.

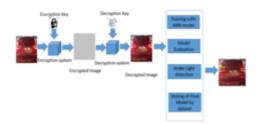
It is finally possible to obtain, following the application of the algorithm, the projected result as either brake. If braking label, then send alert message to drivers.

# 3.6 Proposed Method

The proposed approach utilizes encrypted brake-light images captured by in-vehicle cameras. The ANNbased encryption scheme ensures data privacy and confidentiality without compromising the integrity of the brake-light information. To achieve this, the ANN is trained on a large dataset of brake-light images, enabling it to learn the patterns and features that distinguish brake-light activations from other background elements. During encryption, the ANN transforms the brake-light images into an encrypted format that preserves the essential information for collision detection while rendering the original data unreadable without the corresponding decryption key. The encrypted brake-light images are then transmitted to a collision avoidance system that utilizes another ANN to decrypt and interpret the brake-light signals. This ANN is trained to identify brake-light activations and estimate the distance and relative speed of the preceding vehicle. Based on the processed brake-light information, the collision avoidance system issues timely warnings and activates appropriate safety measures, such as pre-braking or evasive maneuvers, to prevent rear-end collisions.

The ANN model is used to recognize encrypted and decrypted brake light images, as shown in Figure 1. This graphic provides a schematic representation of the process. With the assistance of an encryption system and an encryption key, the initial image that was taken in its original form was transformed into an encrypted original. Using a decryption system and a key that had been decrypted, additional encrypted images of brake lights were successfully converted into decrypted images. Following this, the entire dataset was split into training and testing datasets with a ratio of 80:20. ANN model was then applied to the training dataset, and the testing dataset was used to evaluate the performance of the proposed model. A brake picture was identified by the ANN model as being present in the image.





### 3.7 Encryption and Decryption

Images recorded by cameras facing the rear of cars would be encrypted before being analyzed by ANN. This conceals sensitive information like license plates or driver names while maintaining brake light data for rear–end collisions detection ANN for collision detection: A trained ANN would evaluate the encrypted brake light data to detect rapid braking and anticipate future crashes. After that, this information could be utilized to initiate a variety of safety interventions, such as automatic emergency braking (AEB). In order to address concerns regarding privacy, encryption is used to protect sensitive data that is collected by

vehicles. The vulnerability of data to hacking and manipulation is reduced when it is encrypted. Real-time collision detection and AEB can significantly reduce rear-end collisions, saving lives and reducing injuries. Encryption is the process of encoding information such that it can't be deciphered without the right key. If the data is intercepted while being sent, this can prevent anybody from reading it. Our system work on both day and nighttime.

### 3.8 ANN

ANN is a model for processing messages that looks to the neuronal systems of humans (<u>Kushwaha &</u> <u>Abirami, 2023</u>). Neurons are the basic computing units of an ANN, akin to the neurons in the human brain. Each neuron takes one or more input signals, processes them, and creates an output signal. There are weights associated with the connections between neurons. The connection strength is determined by these weights. During training, the network changes these weights to discover patterns from the incoming data. The output of each neuron is determined by its activation function, which takes into account the inputs in a weighted sum. This system makes an effort to identify the brake light coming from the front vehicle by making use of an encryption and ANN algorithm in real-time.

The input layer gets the first input characteristics of the data. In the input layer, each node stands for a feature. Any number of hidden layers can exist between the input and output layer. Each node in a hidden layer performs a mathematical modification on the incoming data. The weights and biases associated with the links dictate these modifications. The ultimate outcomes are generated by the output layer. The number of nodes in the output layer varies on the kind of task.

# 4. RESULTS AND DISCUSSION

Because they are the most common reason for rear-end crashes on the road, rear-end collisions have developed into a significant problem that threatens both the public's health and the safety of the whole population. Injuries sustained in rear-end accidents and the passage of vehicles have a profound influence on people, families, and the hierarchical systems of society. Because of this, nations often have a deficit of three percent in their gross domestic product (GDP), which is a significant burden on their finances (<u>Bhawani, 2023</u>).

### 4.1 Brake Light Detection System

We demonstrated a real-time dataset that was developed with the intention of determining the presence of brake lights on vehicles. On the aforementioned dataset, we additionally conduct an analysis and assessment of the proposed methodology. In order to conduct the experiments, a personal computer (PC) fortified with an NVIDIA graphics processing unit (GPU), Pytorch 1.1, and Python 3.7 was operated as the experimental setting. The size of the picture in the input dataset is 64 pixels by 64 pixels. We had to create a bespoke dataset to evaluate the suggested strategy because there was no publicly accessible dataset for recognizing car brake lights. The 185 photos have "braking" and "normal" labels. Different highways with different daily and nightly traffic levels were used to collect data. Datasets that are examples of inputs are displayed in Figure 2.

### Figure 2. Sample Input Dataset for Brake Light Detection



Figure 3. Visualization of a) Braking and b) Normal Images Detection

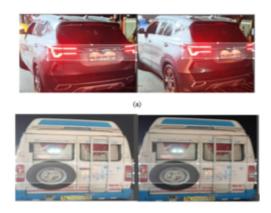


Table 3. Setup of ANN Parameter

Parameters	Values
No. of input layer	16
Hidden layer size	(10, 3)
No. of output layer	2
Learning rates	Constant
Max number of iteration (epoch)	100
Optimizer	Adam
Activation Function	Sigmoid
Batch size	32

Figure 3 displayed a visualization of the braking signal and the normal signal, as well as an explanation of how an ANN model is used to recognize images in order to prevent rear-end crashes. Lused vast datasets to

train ANN and other algorithms to anticipate rear-end collisions. To improve prediction accuracy, encryption and 10 ML algorithms are used (<u>Bharadwaja Kumar et al., 2021; Mansoor et al., 2020;</u> <u>Samsudeen & Senthil Kumar, 2023</u>). If the validation tally does not increase, the model will not train due to the constrained early stopping function.

non an initian model to used to recognize images in order to prevent rear end endines. I used that datasets to

The ANN algorithm setup of parameters is shown in Table III, where we used a sigmoid function for identifying brake and normal labels. For improving the accuracy of the ANN model, we used the Adam optimizer with 100 epochs. ANN consists of input, output, and hidden layer, and finally we get two outputs: braking and normal images.

tn and fn signify true negative and false negative, while tp and fp signify true positive and false positive. Eq. (1) measures Accuracy (ACC) as the percentage of correctly recognized items (<u>Kushwaha & Abirami</u>, <u>2023</u>):

$$ACC = \frac{\text{tp} + \text{tn}}{\text{tp} + \text{tn} + \text{fp} + \text{fn}} (1)$$

The term "precision" (P) is defined in equation (2) as the proportion of accurate observations relative to the total number of negative observations that were projected.

$$P = \frac{\mathrm{tp}}{\mathrm{tp} + \mathrm{fp}} \left(2\right)$$

Equation (3) is utilized to get the value of Recall (R), which is evaluated as a supplementary performance measure (<u>Chang et al., 2020</u>).

$$R = \frac{\mathrm{tp}}{\mathrm{tp} + \mathrm{fn}} \, (3)$$

Lastly, the F1-score is the average of P and R weighted together. Here were how the model parameters of the ML algorithm are set up: Entropy, a metric for information gain, is used to assess the splitting quality.

It is no longer possible to halt tree growth at an early stage. In order to speed up the process of finding the optimal split, I disable pre-sorting before tree splitting. The model ACC performances are displayed in Table IV as ACC, P, R, and F1-score. The differences can be attributed to shifts in the criteria for braking images categorization, the sets of images, and the total number of cases.

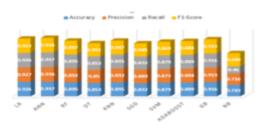
 Table 4. Performance Matrices of Different Machine Learning Model

Model	ACC	Р	R	F1-Score
LR	0.926	0.927	0.926	0.922
ANN	0.937	0.936	0.937	0.936
RF	0.895	0.892	0.895	0.887
ПТ	0 853	0 850	0 853	0 851

~ ·	0.022	0.020	0.000	0.001
KNN	0.895	0.892	0.895	0.887
SGD	0.832	0.889	0.832	0.845
SVM	0.879	0.873	0.879	0.869
ADABOOST	0.889	0.884	0.889	0.884
GB	0.916	0.913	0.916	0.913
NB	0.743	0.716	0.460	0.849

In table IV, shows different performance parameter of ML model. It indicates that the ACC percentage of encrypted ANN model is higher than other ML model. The ACC percentage of ANN is 93.7% . It represented the different ML model performance parameter such as ACC, P, R, f1-score. And include model as LR, ANN, DT, KNN, SGD, SVM, Adaboost, Gradient boosting, NB.

#### Figure 4. Brake Light Detection Results for Different ML Algorithms

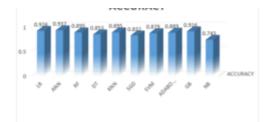


### 4.2 Discussion

The brake light detection was identified using several ML models. Brake light detection is one of the features that were discovered. In order to estimate the effectiveness of vehicle brake lights, the proposed model was contrasted with a number of other methods, including an ensemble approach and basic learner models like as LR, NB, DT, SVM, and KNN. Using the proposed model, we were able to identify important features, which comprised over half of the dataset's total usable characteristics. This research of work presents a proposal for an effective automatic brake light recognition system.

To begin, no ML model did not utilize available attributes as input. As part of the subsequent phase of the investigation, the most significant characteristics that were identified by the proposed model were utilized as response for all ML models. In this section, we will discuss the four most important outcomes that were observed when identifying brake lights. To begin, all ML models and ANNs performed admirably when it came to recognizing brake lights. In situations where significant characteristics are used as input, ANN achieves the highest ACC (0.937). LR attained ACC of 0.926, and GB was able to achieve 0.916. NB achieved lesser ACC as 0.743 and RF, DT, SGD, KNN, AdaBoost attain ACC as 0.895,0.853, 0.832, 0.895, 0.889 correspondingly. The ACC results of each and every ML model are displayed in Figure 5. When both brake and normal classes hold equal significance and there is no notable class imbalance, achieving a high level of ACC becomes crucial.

### Figure 5. Accuracy of Different ML Models



Second, as shown in Figure 6, ANN is superior to other ML models in terms of ACC. On the other hand, by utilizing crucial characteristics, ANN was able to produce better outcomes, with a precision value of 0.936. ACC rating of 0.927 was reached by the LR as a result of using all of the testing datasets as input. This score is lower than the P score of 0.936 that the ANN earned. RF of 0.892, whereas the ACC scores of the SGD, GB, and AdaBoost ensemble models are 0.889, 0.819, and 0.884, correspondingly. The Tree Ensemble model (Abirami et al., 2020) has a higher RF than the other models. However, the ACC obtains of KNN, DT, SVM, and NB were 0.892, 0.850, 0.873, and 0.716 correspondingly. It was found that the P score of NB is lower than that of other techniques. In brake light detection, for instance, where false positives can have severe repercussions, a high degree of precision is advantageous.



#### Figure 6. Precision of Different ML Models

# **CHAPTER 9**

# Machine Learning Techniques to Predict the Inputs in Symmetric Encryption Algorithm

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### ABSTRACT

Applying machine learning algorithms for encryption problems is reasonable in today's research connecting with cryptography. Using an encryption standard such as DES can give insight into how machine learning can help in breaking the encryption standards. The inspiration for this chapter is to use machine learning to reverse engineer hash functions. Hash functions are supposed to be tough to reverse one-way functions. The hash function will be learned by machine learning algorithm with a probability of more than 50%, which means the can develop their guesstimate of the reverse. This is concluded by executing the DES symmetric encryption function to generate N numerous values of DES with a set key and the machine learning algorithm is trained on a neural network to identify the first bit of the input based on the value of the function's output. Testing has ended through a new table, which was created similarly but with different inputs. The SVM runs on the new table, and it compares to the other table, and a confusion matrix is used to measure the excellence of the guesstimates.

### INTRODUCTION

Our daily lives are now infinitely more accessible due to the widespread use of numerous electronic devices in the "information age." Because cryptographic algorithms can provide important insights into how cryptographic systems function internally, there has been a concern to device security (<u>Ouladj & Guilley, 2021</u>). Side-channel analysis is a valuable technique for identifying and resolving potential problems with improving safe cryptography systems (<u>Cui et al., 2023</u>).

A process known as encryption involves securing the document's or data's validity by employing an algorithm to jumble its contents and render them unintelligible to anybody without authorization to access them. Any kind of data, including messages and documents, can be encrypted and transformed into codes or ciphers. This is to stop unauthorized users from accessing any data, documents, or other material. By changing the data into some unintelligible code, it hides the information (Digital Guardian, n.d.). The process that entails reading the "un-readable code" is called decryption. By deciphering the encryption technique, it translates the message for the reader. The process of decrypting cipher text back into plaintext is known as decryption summatric encryption is employed for encrypting larger amounts of data.

(<u>VTSCADA</u>, <u>n.d.</u>). Data is scrambled using an algorithm during encryption to make it unreadable, and the reverse process is used during decryption to restore the data's readable state. Data is scrambled (or encrypted) using an algorithm in encryption, and the information is subsequently unlocked or decrypted using a key (<u>Sectigostore, n.d.</u>). The application layer is where encryption and decryption take place (<u>Tutorialspoint, n.d.</u>).

Encryption methods are an essential part of applications involving cryptography. Encryption provides security and protects communication channels. Encryption and decryption are tools for cybersecurity procedures, especially those related to servers and applications utilized for data or message transit. By verifying keys from the person supplying the message or data through an application or server, encryption protects the data or document being provided by transforming it into unreadable code that no one without a key can comprehend. Information is changed by data encryption so that only those with a secret key—also referred to as a password or decryption key can read it. Data that has been encrypted is called plaintext or ciphertext. You will need the decryption key in order to decrypt an encrypted message, document, or piece of data and return it to a readable format. Encryption is necessary for security-related contacts with law enforcement and the military since the data may still contain sensitive or private information. Similar to the encryption procedure, the decrypted result is the output and the document is the decryption input. It is encrypted text that cannot be deciphered, or ciphertext.

It is impossible to decode the data or determine the message's intention without a means of deciphering the ciphertext. Selecting a safe encryption technique is crucial to preventing easy data decoding. Security issues could be jeopardized in the absence of a reliable and secure encryption technique. When someone receives data or a document from the sender, they can use a private key to decrypt it and decode it. One instance would be sending a PDF document with a passcode along with an email message. To open the document, the recipient or the email message must know the passcode. Since the recipient's email address is public knowledge and should only be shared with the sender and recipient of the message, the passcode serves as an example of a private key. One frequently asked question is what the distinction is between public and private keys, as well as the kind of encryption technique to use. Both the sender and the recipient of encrypted data share a private key that is used to encrypt and decode the data. The public key is exchanged and is always kept private, while the private key is kept hidden.

Adding an additional layer of encryption using an attacker's key is the most popular method of hacking encrypted data. The private key that is used to encrypt a communication is required in order to decrypt it (<u>Open.edu, n.d.</u>). Numerous encryption techniques exist, each with its own unique style. The difference between symmetric and asymmetric encryption is one illustration. Asymmetric encryption employs a public key for encryption and a private key for decryption, whereas symmetric encryption uses a single key for both operations (<u>Dataoverhaulers, n.d.</u>).

Hashing, symmetric, and asymmetric encryption are all possible methods for protecting our data. To encrypt and decrypt data, the symmetric encryption method employs a single key. Triple Data Encryption Algorithm (TDEA), Advanced Encryption Standard (AES), and Data Encryption Standard (DES) are the most widely used symmetric algorithms.

The most widely used encryption technique available today is the DES algorithm. DES has been hacked multiple times and is no longer regarded as secure due to its widespread use and high level of awareness.

For research purposes, DES is a workable but insecure encryption technique. The Data Encryption Standard, or DES, is a 56-bit symmetric encryption method. Encryption and decryption of data are carried out using the same 56-bit symmetric technique, known as DES. NIST adopted the IBM-created DES symmetric key block encryption in 1970. Sixty-eight-bit keys are used by the procedure to transform the plain text into ciphertext. By employing 48-bit keys, the Blocks Cipher Algorithm transforms 64-bit plaintext into ciphertext.

DES is a 56-bit symmetric key algorithm that encrypts a 64-bit message or piece of data using encryption keys that are 64-bit long, with every eighth bit disregarded to create a 56-bit key size. One of the most contentious parts of DES is its use of a 56-bit key. Those outside the intelligence establishment had long protested that 56-bit security was insufficient, even prior to the adoption of DES. DES has been used extensively in cryptography, although stronger encryption techniques utilize longer key lengths, which makes it more difficult to decrypt. The goal of this DES experiment was to advance future cryptography and cryber security applications. The output of a cryptographic function or method can be decrypted or decoded using a key, which is a special way to change plain text into cipher text. A machine learning technology is not a secure encryption method if it can be used to decrypt messages without the need for a key. It's like to locking the door of a house without any walls. If it were possible to foresee the output itself, a key would be meaningless.

In order to improve encryption and decryption methods, uncover potential vulnerabilities in data, and show the relationship between the input and output data produced by cryptosystems, machine learning techniques are being widely applied in cryptography (<u>Anees, 2022</u>).

The one-way function is reversible and therefore not secure for encryption if the machine learning method is able to estimate the input of the function without knowing the contents of the input. It is not secure if the function can be undone and the contents made visible. The definition of hash functions is one-way functions. A machine learning algorithm has the ability to decipher the contents of a document or message by guessing the secret key through the reverse engineering of a strong hashing encryption method (<u>Armstrong, 2021</u>).

In this paper, we propose that a supervised machine learning algorithm, SVM, can learn the probability of more than 50% of the input of a symmetric hash function, DES. This indicates that there is a greater likelihood of an attack against the input of a symmetric hash function, which is by definition supposed to be collision resistant. It should not be feasible to reverse engineer a hash function's digested message. It is possible to decipher the initial bit of encrypted data using machine learning techniques, which implies that one can use a similar approach to reverse engineer the entire communication.

# BACKGROUND

It is commonly accepted that Cybersecurity refers to a collection of methods and procedures used to safeguard digital data against intrusion or attack. Given a sufficiently strong block cipher, DES can be utilized as a one-way hash function. A sufficiently big key space is a prerequisite for a block cipher to be deemed good. Brute force attacks are less effective against the larger key space. The DES algorithm may be deemed suitable for one-way function if its key space is sufficiently large. The DES algorithm converts plaintext into cipher text by applying a sequence of XOR and permutation operations. Through hashing,

which can be done with DES, plaintext input is converted to a hash value. In today's commercial sector, DES is the most popular and extensively utilized encryption function (<u>Armstrong, 2021</u>).

Kelly Armstrong claims that most researchers simply use a guess and check or brute force attack when anticipating passwords. It's possible that these techniques will never produce an accurate estimate in a timely manner. Since most techniques only use brute force attacks, successful machine learning algorithms that can predict an encrypted document are not shown. even though robust encryption techniques—which are typically ineffective—are used (<u>Armstrong, 2021</u>).

In addition, the concept of creating a black box guess using a random oracle model to create an input has been thoroughly proven to yield a unique input (<u>Mahmoody et al., 2012</u>). This is also known as a time lock problem. Another method for deep learning password prediction from PassGAN is rule-based password guessing, also known as Markov Models. This technique trains a neural network to recognize password structures and characteristics on its own and uses this information to produce new samples with the same distribution (<u>Hitaj et al., 2019</u>). Effectively, this study did not concentrate on the predictability of a single correctly guessed bit of data, but rather on guessing the password as an entire string of bits.

The process of forecasting future events using data modeling is known as predictive modeling. More effort may be done to create models for more robust encryption techniques once a trend for how machine learning algorithms can forecast inputs is seen. For this kind of situation, predictive modeling works well, and utilizing machine learning to provide precise predictions is the ideal method (<u>AWS Dashboard, n.d.</u>). Predictive modeling mainly works with tabular data, in contrast to the more general area of machine learning, which could work with data in any type (<u>Brownlee, 2021</u>).

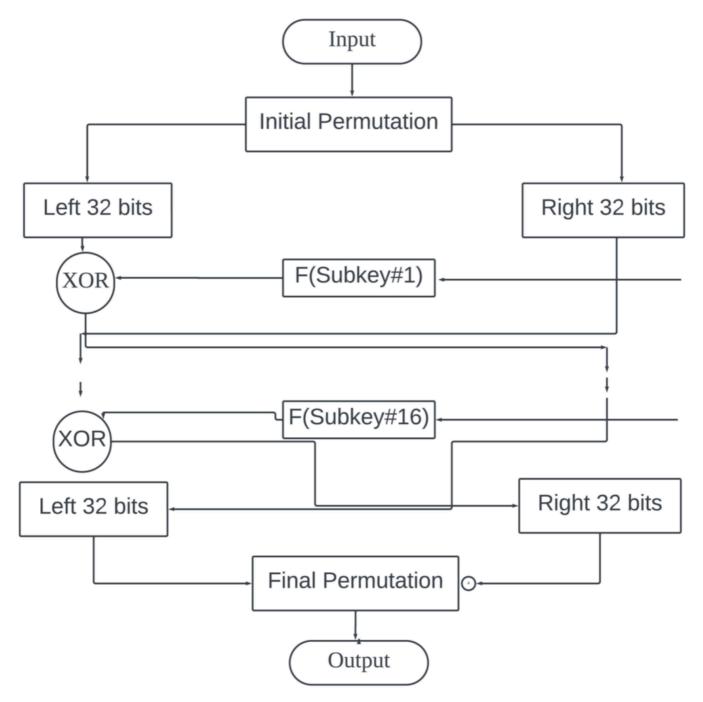
Each of the sixteen rounds of operations in DES employs a different round key and the same procedures. The DES round takes a cipher text generated by the previous round as input and outputs a cipher text that is divided into the left and right halves to be used as input for the following round. This permutation operates by shifting the positions of the bits. The 32-bit value is expanded from 32 bits to 48 bits via the mangler function, and the 48 key is then substituted into the 32-bit value (<u>YouTube, n.d.</u>).

The learning algorithm called Support Vector Machine is the greatest "off-the-shelf" supervised learning algorithm—many people even argue that they are the best. We must first discuss margins and the notion of separating data with a significant "gap" before we can convey the SVM story. We'll then stray into the topic of Lagrange duality by discussing the optimal margin classifier. Additionally, kernels will be discussed, providing an effective method for applying Support Vector Machines (SVMs) in very high dimensional (i.e., infinite dimensional) feature spaces (Ng & Notes, n.d.). SVM is a reasonably memory-efficient technique in the field of machine learning, according to Lipo Wang (Wang & Machines, 2005). It is also effective in high-dimensional domains. Thus, this is the option for the Symmetric Encryption Algorithm to forecast the inputs.

# METHODOLOGY

The aim of this paper is to investigate how machine learning algorithms can reverse engineer hash functions, which are employed in encryption and are thought to be impervious to reverse engineering. Importing the free source library directly yields the DES algorithm. The SVM algorithm can be found in Jason Brown's eBook, Machine Learning Mastery. Fixed-size data is encrypted using DES. Initial message fragmented into 64-bit sections. Permutation happens over divided data: the left splits to the xor function, which is then applied to the right. The right then breaks to the left and becomes expanded to the right side of 48 bits, which shrinks from dividing, and permutation happens once more, followed by the application of xor to the right side. 16 times in total for every subkey. The cipher text's right and left sides are divided into permutation and reversal. Left creation key and Right block cipher are the two components of the encryption. Binary conversion is performed on the key, and ASCII to Hex to bits DES data type conversion is performed (Exchange, n.d.). Utilizes a 56-bit key to encrypt several 64 bits. A 64-bit value is the first input. It is an eight-byte string key. Print the 0s and 1s and create a random string to get the data ready for the machine learning routine. It is unpredictable since the results are independent of the prior run, therefore it can be repeated again.

### Figure 1. DES Diagram



### **RESULT AND DISCUSSION**

The flowchart is clearly shows the implementation using python. The DES encrypted text is the input for analysis using support vector classifier which in turn gives the accuracy.

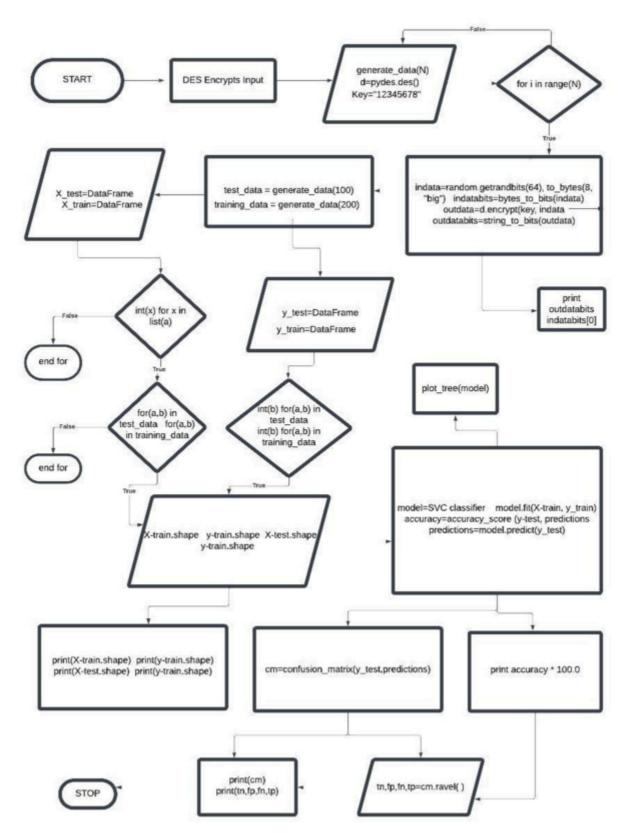


Figure 2. Flowchart of Algorithm with Code

The DES algorithm for implementation is:

1. Pydes from mit library permute for data permute on key permutation (Github Code Resource, n.d.;

Robin David, n.d.)

- 2. Generate pydes string to birs data encrypted in data with key, out data
- 3. Next part training testing keep t as last bit of input split training x,y and testing x,y
- 4. Do pydes encrypt with key
- 5. T in data bits outdates bits
- 6. T' outdatabits, indatabits
- 7. Training and test sets known
- 8. Data ready for machine learning algorithm

Breaking DES would mean finding a key that maps that plaintext to that ciphertext (Speciner et al., 2002).

- 1. The first task is to convert 64 bits to bytes
- 2. T' is outdata (des encrypted) indata[0] (first bit of input)
- 3. T' is fed in xg
- 4. Each time you run it you get different xo
- 5. The machine learning algorithm SVM will be run each time for testing against training
- 6. Then confusion matrix measures the last run exp
- 7. The training data set is the term for the samples used to create the model.
- 8. The test set is used to qualify performance.

Every implementation yields a unique, unpredictable result. Data used for testing is separate from data used for training. To a list of tuples of two strings, the neural network functions as a "recognizer." The initial string is encrypted, and the subsequent one contains encrypted clear text data. Two tables contain the data. The model is fed the strings, while the test side ignores the input. The test side ignores the input and uses 200 elements to create the output, while the DES algorithm encrypts the training data, N=200, N=100, or N=300 for each test case.

Training:

.....,

Testing:

.....,

### Confusion matrix from three test runs are

1) Accuracy 46.00 percent with confusion matrix: [50 50] [58 42] TN 50, FP 50, FN 58, TP 42. Here the accuracy score is 46 percent. The true negatives are 50 and true positives are 42. When added together that gives a score of 46 percent. The false positive is 50 and false negatives is 58 which adds to a total of 100 percent.

2) Accuracy 53.00 percent with confusion matrix:

[63 52]

# CHAPTER 10

# Homomorphic Encryption and Machine Learning in the Encrypted Domain

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### ABSTRACT

In cryptography, performing computations on encrypted material without first decrypting it has long been an aspiration. This is exactly what homomorphic encryption (HE) accomplishes. By allowing computation on encrypted data, the associated privacy and security of sensitive information are beyond imagination to date. This chapter delves into the vast and intricate realm of HE, its fundamental theories, and far-reaching implications for machine learning. As a result of the sensitive nature of the data on which machine learning is based, privacy and security issues often arise. In this vein, homomorphic encryption, which allows algorithms to learn from and predict encrypted data, emerges as a possible panacea. The authors thus set out in this chapter to prepare the ground for a deeper understanding of that synergy, showing how it is there but also what lies ahead.

# **1. INTRODUCTION TO HOMOMORPHIC ENCRYPTION**

There exists a class of encryption, called HOMOMORPHIC Encryption (HE), that objects can be computed on directly in ciphertext. After being decrypted the results are identical to what would have been obtained if they had instead applied these operations to plain text. Because of this special property, HE becomes an effective instrument for secure data processing--sensitive information is encrypted even during

computation. The principle of homomorphic HE means that the structure exists before and remains unchanged after in between encryption and computation. For instance, if you have two numbers in the clear--2 and 3 say--and wish to add them in encrypted form, HE would permit you first to transform these into ciphertexts then proceed with performing an addition operation on their respective encryptions. Decrypting the result will reveal 5, which is indeed equal to a sum of these original numbers. Historical Context and Development: The concept of Homomorphic Encryption goes back to the conception of RSA (invented in 1978) as an algorithm with homomorphic properties. But this was only for specific operations. The breakthrough came in 2009, when Craig Gentry--a student at Stanford working on his Ph.D. thesis-developed a fully homomorphic encryption scheme for the first time ever. This was a turning point, because it proved that one could perform arbitrary computations on encrypted data.

Its initial proposal for construction was lattice-based cryptography (Suryawanshi and Abhay Chaturvedi, 2022). It proposed an encryption scheme that could evaluate the circuit of its own decryption function homomorphically. This first scheme, though revolutionary, was unpractical in being too slow and complex. But it laid the groundwork for later research in that field.

Since then, HE has been a fast-developing field of research. Researchers have produced ever more effective and practical schemes. These technical breakthroughs have been brought about by the increasing importance of data privacy and security in areas from cloud computing to finance, healthcare, etc. Collaboration between cryptographers, mathematicians and computer scientists has characterized the development of HE.

Homomorphic Encryption now leads the way in search for secure data processing. In the age of big data and cloud computing, where privacy matters more than ever, this is seen as an essential technology (<u>A.</u> <u>Singla, N. Sharma 2022</u>). Later in the sections dealing with HE, we will see how this technology is not just a concept but can actually be something that people could use to radically change our handling and processing of information.

### 2. HOMOMORPHIC ENCRYPTION TECHNIQUES

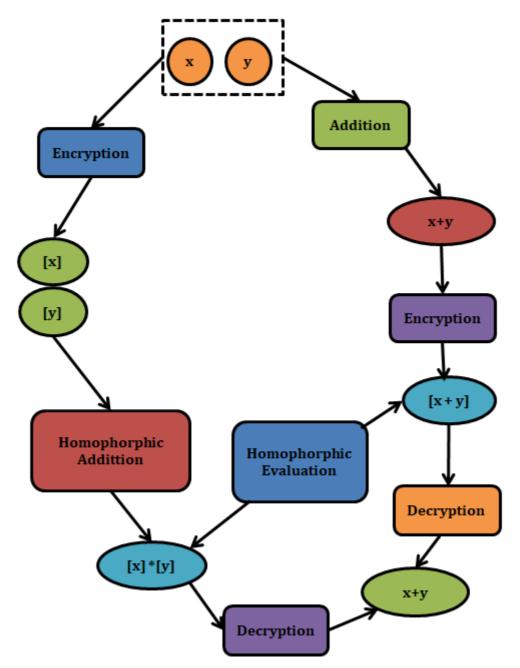
The initial idea behind cryptography was to create a system that could guarantee safe communication between various entities. One side encrypts a message and sends it to the other, who can decode it (<u>A.</u> <u>Singla, N. Sharma 2022</u>). The idea of doing calculations on encrypted data was first proposed as a "privacy transformation" in 1978 by Rivest, Adleman, and Dertouzos. What is currently known as homomorphic encryption developed out of this idea over time. Homomorphic encryption, in a wide sense, allows us to compute on encrypted data. If a scheme has the following characteristics, we say that it is additively (or multiplicatively) homomorphic:

 $[x] \oplus [y] = [x + y]$  and  $[x] \otimes [y] = [x \cdot y]$ 

In this context, the letter  $[\otimes]$  stands for the plaintext value's encryption, while the characters  $\otimes$  and  $\cdot$  denote the homomorphic operations of addition and multiplication carried out in the ciphertext space. If an encryption system is additively homomorphic, then encrypting data before adding it is equivalent to adding the data before encryption, to put it more simply. Figure.1 shows this idea in action. Partially Homomorphic Encryption (PHE): Partially Homomorphic Encryption schemes are specialized to allow

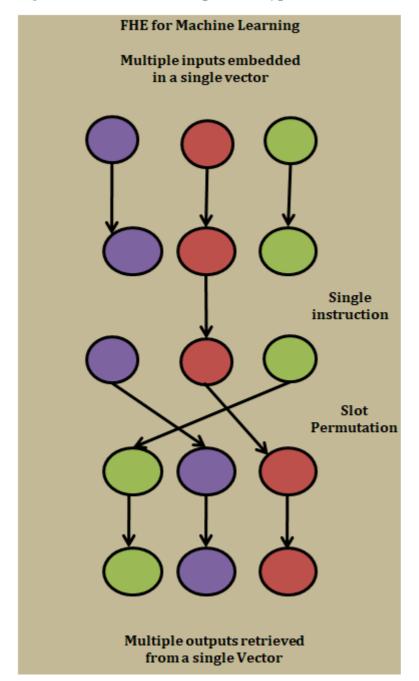
specific types of operations on encrypted data. These schemes can perform either addition or multiplication, but not both(<u>G. Karatas, O. Demir and O. K. Sahingoz, 2019</u>). A prominent example is the RSA encryption, which exhibits multiplicative homomorphic properties. In PHE, if you encrypt two numbers and multiply their ciphertexts, the result, when decrypted, will be the product of the original numbers. PHE is relatively more efficient than its more advanced counterparts and forms the basis of many early applications of homomorphic encryption.





Somewhat Homomorphic Encryption (SWHE): Somewhat Homomorphic Encryption is an extension of PHE. SWHE schemes can perform a limited number of both addition and multiplication operations on ciphertexts. The limitation arises from the fact that every homomorphic operation introduces a small amount of noise into the ciphertext, and this noise accumulates with each operation(A. Sharma, K. S and M. R. Arun, 2022). Once the noise reaches a certain threshold, the ciphertext becomes too corrupted to be reliably decrypted. SWHE is a critical stepping stone towards fully homomorphic encryption, offering more flexibility than PHE while still being constrained by the noise buildup.

Supporting Single Instruction Multiple Data (SIMD) operations, Smart and Vercauteren presented a Secure Homomorphic Encryption (SHE) technique in an independent research. By using a method known as ciphertext packing, this feature enables Fully Homomorphic Encryption (FHE) to achieve parallelization. The encoding of several plaintext values into a single the ciphertext vector is an example of how SIMD instructions improve computing performance. One of the main uses of ciphertext packing is in batch calculation, which is a common feature of many FHE algorithms. In addition, it permits the reordering of plain slots within a packed ciphertext, which may result in enhanced evaluation speed. Figure 2 is a graphical representation of these ideas (M. N. Reza, and M. Islam, 2021); it shows a vector with several values within it, which may be processed with a single command and their slots may be rearranged for different uses.



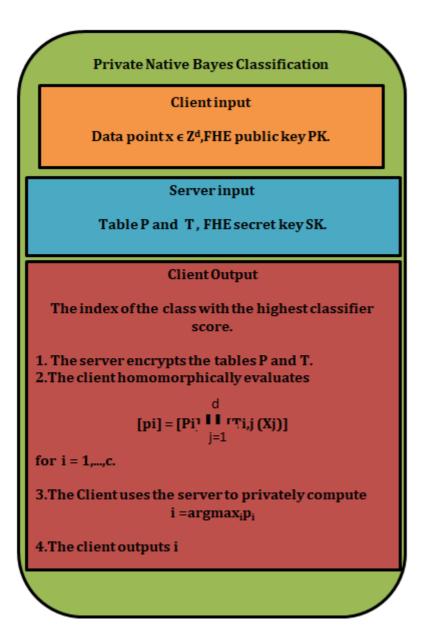
#### Figure 2. Secure Homomorphic Encryption (SHE)

At its most sophisticated, Fully Homomorphic Encryption (FHE) protects data from prying eyes. The amount of additions and multiplications that may be performed on encrypted data is limitless. The

breakthrough achievement of FHE is its ability to manage and control the noise accumulation that plagues SWHE schemes(Kumar, Pullela SVVSR, & Chaturvedi, Abhay, 2023). This is accomplished by a method called bootstrapping, which serves to lower the noise level and permits continuous computation. Since its start, FHE has been a major area of research. Constant work is being done to make it more practical in terms of efficiency and computational resources.

Mathematical Foundations of Homomorphic Encryption: HE's mathematical basis lies in number theory and algebra (Kumar, R & B. Ashreetha, 2023). In fact, the essence of HE utilizes complex mathematical concepts including lattice-based cryptography; ring theory and polynomial arithmetic. These mathematical foundations allow the operations on encrypted data to be carried out in a secure and stable manner.

### Figure 3. Simplified Overview of Single-Scheme FHE Protocol



A simplified protocol using a single Fully Homomorphic Encryption (FHE) scheme is shown as <u>Figure 3</u>. Starting with the server's encryption of two critical elements, P and T; then customers download these encrypted tables to their own computers. Next, homomorphic techniques are used on [Pi] for each class 'i', which gives an user a score. (S Tan et al., 2021) Then the client can go through a private argmax conversation with the server and find which class has pi. A series of comparisons is carried out in a linear fashion to determine this maximum value. With the goal of keeping things private, the client sends the likelihoods that follow for each class to the server in a random sequence. By comparing these probabilities with the greatest number recorded, the server determines the new maximum and delivers it back to the client via an encrypted comparison protocol. This returned value is carefully masked to ensure the client cannot infer any partial order among the values, thereby preserving the confidentiality of the process(P. H. Kumar and T. Samanta, 2022). For instance, lattice-based cryptography, which is central to many HE schemes, involves the use of multidimensional geometric lattices. Computations in these lattices are performed in a way that is easy for someone with the decryption key (who knows the structure of the lattice) but extremely difficult for anyone else. This difficulty is often based on hard mathematical problems like the shortest vector problem (SVP) or the closest vector problem (CVP), which are believed to be resistant to attacks even by quantum computers(P. Gope, O. Millwood and B. Sikdar, 2022). Qualitatively, the technique of Partially Homomorphic Encryption is simpler than Fully Homomorphic encryption. If we are to fully appreciate the potential value and limits of HE in different fields, it is necessary for us not only to know these differences but also their mathematical foundations. In this chapter, we will see how these techniques are used in machine learning. We've never seen such paradigms for privacy-preserving data analysis before now.

# **3. MACHINE LEARNING IN THE ENCRYPTED DOMAIN**

The area of artificial intelligence that develops algorithms which learn from data, and then use this knowledge for predictions or decisions is machine learning (ML). The more data there is for learning, the better these algorithms are. Supervised, unsupervised and reinforcement learning are the three main categories of ML algorithms.

Supervised Learning: Thus in this case algorithms are trained from a labeled dataset, and understand the relationship between input data and output labels. Examples include regression and classification models.

Unsupervised Learning: Algorithms with unclassified data are used here to search for hidden patterns or internal structures. Typical examples include clustering and dimensionality reduction.

Reinforcement Learning: By interacting with a complex environment and trying to achieve given goals, algorithms learn how to make a series of decisions. It is widely employed in robotics, gaming and navigation.

### **Privacy Concerns in Machine Learning**

For machine learning models, which must have enough data to run on, private information such as personal data or medical records is not without its privacy problems. Traditional ML works by collecting data from different sources that can be used to train models. This poses several privacy risks:

Data Breach Risk: If data is concentrated in the storage, then it can also be hacked by someone and stolen illicitly. And that means violations of privacy on a large scale.

Misuse of Data: But when third parties are involved, there is also the danger that data can be used in ways it was never originally intended.

Loss of Anonymity: However, even when the data is anonymized, strong ML sometimes gets around them to re-identify people and violate their privacy.

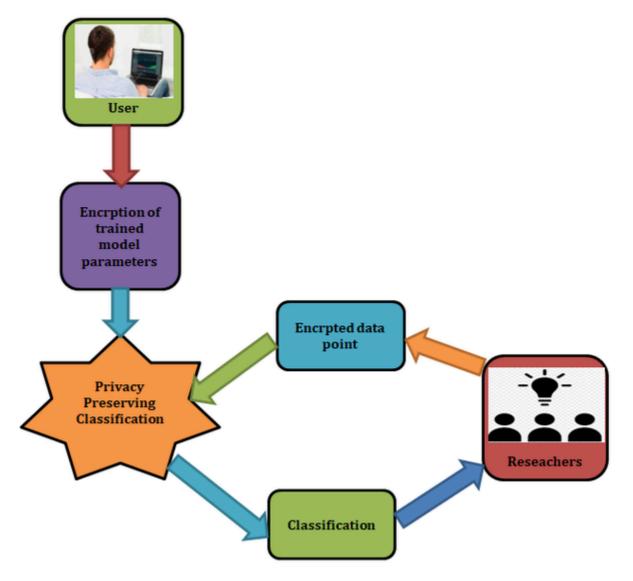
Homomorphic Encryption as a Solution

Homogeneous Encryption presents a hope for solving these privacy concerns. Calculations on encrypted information mean that raw data does not have to be given away when building HE ML models. Because critical information is always kept encrypted throughout the ML process, occurrences of data breaches and misuse are greatly reduced.

**Training on Encrypted Data:** ML models can be trained directly on encrypted data, ensuring that the underlying data remains secure and private.

**Inference from Encrypted Data:** ML models can make predictions on encrypted data, which is particularly useful in scenarios where the input data is sensitive.





The client may categorize her data using the owner's model in this case, but she doesn't have direct access to the model or the necessary knowledge about her data to do so. Another option for outsourcing storage and processing is to use a middleman cloud server(Kumaraswamy & Gupta, Anand Kumar, 2023). Figure 4 shows a model that exemplifies this concept. Most importantly, this approach functions as if the client

and server are not engaging in any kind of unlawful cooperation or collusion. The actual process involves many customers entrusting their encrypted data to a cloud server, which then trains a categorization algorithm. The ability to securely analyze data without sacrificing privacy makes private prediction an important use case for Fully Homomorphic Encryption (FHE) approaches. The healthcare industry is one area where this possibility has substantial practical implications(K.D.V., Gite, Pratik, Sivakumar, 2023). For instance, under the strictest confidence, doctors may use third-party, encrypted diagnostic prediction algorithms to sort patients according to their medical information.

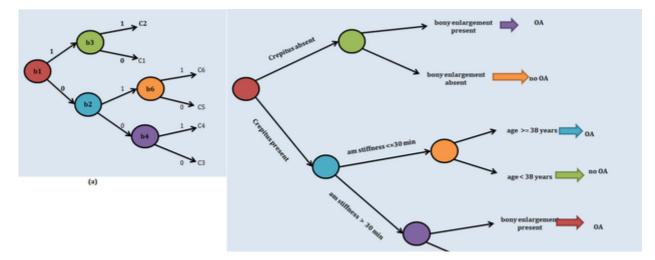
The use of HE in machine learning, however, is not without challenges. The computational complexity of HE can lead to increased processing time and resource requirements, which we will explore in later sections. However, new opportunities for using ML in highly sensitive fields like healthcare and finance have emerged thanks to the possibility of privacy-preserving ML employing homomorphic encryption.

# 4. INTEGRATION OF HOMOMORPHIC ENCRYPTION WITH MACHINE LEARNING

The combination of Homomorphic Encryption (HE) with Machine Learning (ML) is a huge step forward for protecting sensitive information. Protecting data privacy is HE's top priority, which is why it allows ML algorithms to work directly on encrypted data(<u>S. Zhu and Y. Han, 2021</u>). This synergy not only protects sensitive information but also opens up new possibilities for securely leveraging ML in various industries.

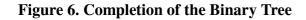
Adapting ML Algorithms for Encrypted Data: Modifying machine learning algorithms to work with encrypted data involves a careful consideration of the computational capabilities and limitations of HE. Here, we look at how two common machine learning algorithms — linear regression and neural networks can be adapted for this purpose.

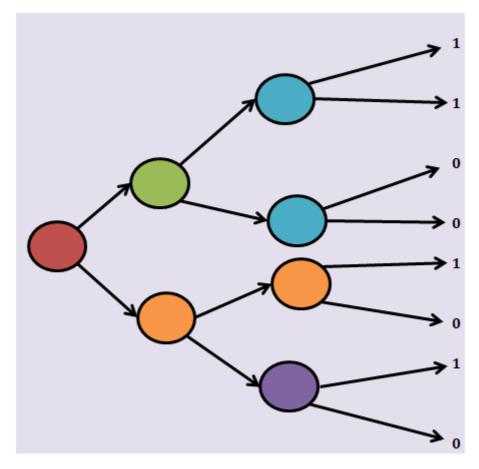
Figure 5(a). The binary decision tree is depicted with distinct decision nodes, labeled as bi, and terminal leaf nodes, denoted as ci. This tree structure facilitates the classification process by guiding the data through a series of binary decisions at each node, ultimately leading to one of the leaf nodes which determine the final class. (b) In the specific context of diagnosing osteoarthritis of the knee, the decision tree is tailored for this classification task. It systematically processes patient data through its nodes, with each decision point bi contributing to the path taken in the tree, and culminates at a leaf node ci. This leaf node provides the final diagnosis, indicating whether the patient has osteoarthritis or not





Binary decision trees serve as an effective classification method, depicted as straightforward diagrams with internal decision nodes and terminal leaf nodes. To classify data, these trees use a succession of binary splits, with the value at the last leaf node determining the final classification (P. Pavankumar, N. K. Darwante, 2022). In this case, the decision nodes differentiate between "osteoarthritis" and "no osteoarthritis," as shown in Figure 5b. Binary decision trees are just as useful with multi-class quantitative data as they are with two-class qualitative data, as seen in this example. Their simplicity makes them a popular choice in the medical field, as they are not only easy to visualize but also align with the diagnostic approach of physicians, stratifying patient populations into different risk strata based on their characteristics. Trees like this have found extensive usage in many areas of medicine, from helping with mental health and wound care decisions to early myocardial infarction detection and predicting the probability of ER admission from chest pain symptoms. As seen in Figure 5a, a trained tree, T, is defined in a private decision tree classification by its decision nodes,  $b = (bi)1 ri \leq N$ , and terminal nodes, c = $(ci)1 \subseteq i \leq M$ . Every decision node evaluates something and returns a boolean value, like 0 or 1. In order to assign a new value to data point X within T, we apply the decision tree's initial condition, b1. After that, X gets the class value of the last leaf node it reaches after it successively traverses the branches and applies each decision condition.





Complete binary trees offer an alternative approach to obscure the structure of a tree, particularly in situations where maintaining uniformity in depth is crucial. This method involves the addition of "dummy" nodes as outlined in reference. These dummy nodes are strategically placed within the tree to ensure that

every branch has the same depth, creating a uniform structure (<u>H. G. Govardhana Reddy & K.</u> <u>Raghavendra, 2022</u>). Each of these dummy nodes is programmed to give a random binary output. However, regardless of the response provided by these nodes, the path leads to the same outcome. This concept is exemplified in <u>Figure 6</u>, where the presence of dummy nodes in the tree can be observed, contributing to a consistent tree depth while not affecting the final result.

### Linear Regression with HE

**Problem Statement:** To forecast a continuous outcome variable from a set of one or more predictor variables, a basic ML approach is linear regression.

Adaptation for HE: The computation in linear regression (mainly additions and multiplications) aligns well with the operations supported by HE. By encrypting the input data (predictor variables) and coefficients, linear regression can be performed on encrypted data. The encrypted output can then be decrypted to get the prediction.

**Challenges:** The primary challenge lies in handling the noise accumulation during the multiplication of coefficients, which requires careful management to ensure accurate results.

# **Neural Networks with HE**

**Problem Statement:** Neural networks, particularly deep learning models, are used for complex pattern recognition tasks in various domains.

Adaptation for HE: Neural networks involve a series of linear (like weights and biases) and non-linear operations (like activation functions). To adapt neural networks for HE, one must ensure that all these operations can be performed on encrypted data(<u>A. Musa and A. Mahmood, 2021</u>). Techniques like polynomial approximation for non-linear functions can be employed.

**Challenges:** The main challenge is the computational complexity, as neural networks require a large number of operations, which can be significantly slower when performed on encrypted data.

### Practical Considerations

**Computational Overhead:** Processing encrypted data is inherently more computationally intensive than processing plaintext. This can lead to longer training times and may require more powerful computational resources.

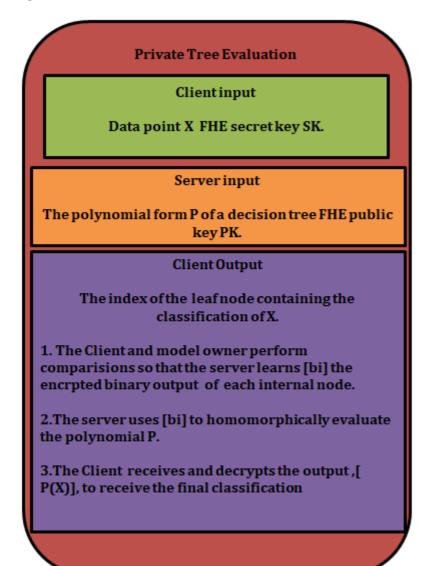
**Precision and Scaling:** Dealing with floating-point numbers in HE can be challenging. It often requires scaling them to integer values, which must be carefully managed to maintain precision.

**Data Preprocessing:** Preprocessing steps, like normalization, also need to be adapted to work with encrypted data.

Real-World Implications: The integration of HE with machine learning can have profound implications in areas where data privacy is crucial(N. Hussain, A. A. J. Pazhani, and A. K. N, 2023). For example, in healthcare, models can be trained on encrypted patient data to develop predictive models without

compromising patient confidentiality. In finance, HE can enable secure risk analysis based on sensitive financial information without exposing individual data points.

#### Figure 7. Process of Private Tree Evaluation



As shown in Figure 7, the procedures for private classification of decision trees based on the polynomial tree follow important phases that were influenced by Bost's research. The privacy-preserving nature of these protocols ensures that the client remains in the dark about the evaluation of her data point at each node and the general layout of the tree. At the same time, the owner of the model has no idea what particulars the client's data point contains. Private comparisons between the client and server are the first step in the process(Shivashankar, and S. Mehta, 2016). At each internal judgment node of the tree, these comparisons are critical for deciding the binary output. When an input value x is compared to a predetermined threshold w at a decision node, the outcome is a binary output b = [x > w]. This is the conceptualization behind the evaluation. This confidential comparison is designed to keep the customer in the dark about the exact results. The server, on the other hand, deduces the comparison's outcome—but only in an encrypted manner, protected by the client's public key. Client information and the tree's structure are both protected by this method of comparison. In practice, private tree evaluation typically involves techniques like homomorphic encryption, secure multi-party computation, or the use of dummy nodes and private comparisons, as mentioned earlier. The goal is to ensure that the evaluation of data points through the decision tree evaluation of the use of dummy nodes and private comparisons, as mentioned earlier. The goal is to ensure that the evaluation of data points through the decision tree evaluation of data points through the decision tree evaluation of data points through the decision tree evaluation.

ethical standards(L. R. Knudsen and J. E. Mathiassen, 2000). This approach is particularly valuable in leveraging the power of decision trees for predictive analytics while upholding the principles of data privacy and security. A blossoming new field in the quest for privacy-preserving data analysis is Homomorphic Encryption combined with machine learning (R. Beaulieu, D. Shors and J. Smith 2018). There are challenges, especially concerning computational efficiency. But the advantages in terms of data security and privacy are immense. Pushing this research further will yield ever more refined and efficient methods, making the use of HE in machine learning not only a practical option but indeed standard practice.

# 5. PRACTICAL APPLICATIONS AND CASE STUDIES

Applications industries for integrating Homomorphic Encryption (HE) with Machine Learning (ML), while each industry possesses unique challenges and needs in the field of data privacy. In this section, we will explore how HE is being used in different fields. There are several case studies here that illustrate just what transformative influence it has had.

### Healthcare: Enhancing Patient Privacy

Application: Patient data is especially sensitive in the healthcare field. With the use of HE, healthcare providers can analyze encrypted patient data for different purposes-for instance to make predictions about disease or personalize medical treatment; yet such analysis does in no way impair patient privacy.

Case Study: Take that research project, for example, in which a neural net trained with HE was used to predict whether or not any given patient would develop heart disease. A model was trained on encrypted patient data to protect personal health information. In this training process, in addition to age, cholesterol levels and blood pressure were used.

### Finance: Secure Data Analysis

Application: There's highly secret information in the finance sector. HE offers encrypted information-based safe risk evaluation, fraud detection and personal financial consultation.

Case Study: A financial institution has realized an ML model for fraud detection using HE. A model was developed on the basis of analysis of encrypted transaction data with which to differentiate normal transactions from fraudulent ones. This approach has allowed the institution to add to its security without compromising customer data.

### Marketing: Privacy-Preserving Consumer Insights

Application: Understanding consumer behavior is key in marketing, but the information must be used carefully. HE enables companies to use encrypted consumer data to learn while ensuring privacy concerns.

Case Study: One marketing firm used HE to analyze encrypted consumer purchase histories, to create directed advertising. The analysis was based on data which had been encrypted to protect the consumer's right of privacy, but still allowed for trends and preferences to be identified.

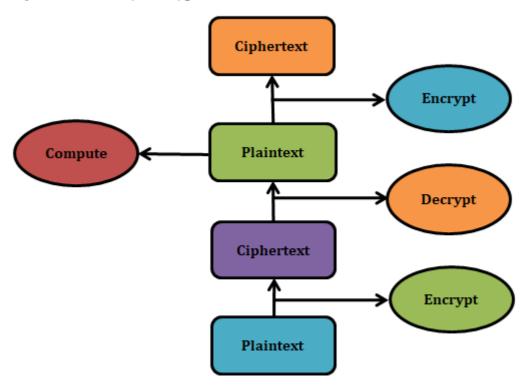
Application: Governments can securely handle citizen data to improve public services, or policy making and resource allocation--while still maintaining individual privacy with the help of HE.

Case Study: In a project to optimize public transportation routes, one government agency used HE. Using the information hidden within encrypted commuter data, they were able to change routes and schedules without even seeing people 'travel details.

### Education: Protecting Student Data

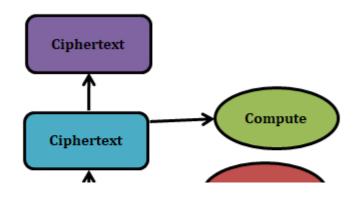
Application: HE facilitates the systematic analysis of encrypted student data, through which schools can improve learning experiences for students and track their progress; it also helps with budget allocations. All this is done without exposing any sensitive information.

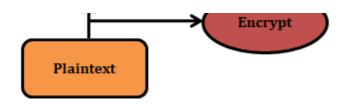
Case Study: A university that used a machine learning algorithm with HE for predicting student success rates had encrypted demographic data and academic records. This enabled tailored educational support but kept students' records in confidence.



#### **Figure 8. Ordinary Encryption**

**Figure 9. Homomorphic encryption** 





In the past few decades, data and methods have needed to be carefully guarded against theft by increasingly sophisticated technical means. The crisis becomes more serious as electronic devices fall victim to various attacks. This is a greater challenge when data has to be processed in unsecured locations. And this is where the use of homomorphic cryptosystems becomes essential. Homomorphic Encryption is an encryption method that allows complex calculations to be carried out on encrypted data, just as they would have been

## CHAPTER 11

## An Effective Combination of Pattern Recognition and Encryption Scheme for Biometric Authentication Systems

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## ABSTRACT

Authentication based on biometric technology is largely preferred in providing access control to the systems. This technology has gained wider attention due to the rise in data generation and the need of data security. The authentication depends upon the physiological traits of human such as face, fingerprint, hand geometry, iris scan, retinal scan, and voice. Depending upon the level of security required, a single trait or multiple traits could be utilized. The key features or patterns extracted from the biometric data play a significant role during authentication process that involves pattern recognition. That is, the patterns that exist in the database are matched with the patterns provided during log on. The access is provided based on complete match. Though biometry-based authentication systems provide an effective way of accessing the system, still it is affected by attacks that try to get unauthorized entry into the system. Thus, this chapter focuses on working with the methodologies that provide additional security to the biometric authentication system by utilizing encryption algorithm.

## **1. INTRODUCTION**

The process of authentication is significant related to any system's security as it verifies the user before providing access to the system. Authentication is a part of three step procedure for obtaining access to a resource or system ie., identification, authentication and authorization. Authentication falls into the following types:

(i) Knowledge based or password based authentication: this is the most frequently used method for gaining access. But passwords are reused by the users, they are easy to guess and break. The user accounts here are vulnerable to brute force and phishing attacks.

(ii) Two factor authentication: Most commonly known as 2FA. This method is improved version of the knowledge based method as the user has to provide an additional authentication feature apart from the password. The additional feature can be rather like One time password which is shared to the user through email or SMS. The 2FA is more secured as the attacker needs additional information along with login credentials to break in to the system but there is also a possibility of SMS and email breach.

(iii) Single sign on authentication: Here identity provider is used by the user to have an account and

the user is verified by identity provider. User with single set of credentials can access multi resources. The benefit of the method lies in reduction of the credentials a user needs to remember. With this method, the attackers can gain access to the systems if identity provider is data breached.

(iv) Token based authentication: In this method user uses token that verifies the identity of the user. Token based authentication relies on physical token such as physical devices: Smart card, Smart key, Smart phone and Computer system or web token to gain access to a system. Tokens need to be kept track of if otherwise leads to locking of the user accounts.

(v) Certificate based authentication: In this authentication method, digital certificates which are the electronic documents with important details generated from public key cryptography are distributed by the certificate authority to identify the users before gaining access to the systems. They are resistant to phishing attacks, also are expensive and time consuming to establish.

(vi) Biometric authentication: In this method, a person's unique physical and behavioral traits that include: face, voice, iris/retina, palm, gait, voice, finger print, shape of ears, facial thermograms and physiological signals are used to gain access to the system. Unlike other methods it is easier to deploy, need not remember and recall and difficult to hack.

Though biometric authentication is proved to be more secure as the physical and behavioral traits of a person are distinct but still is affected by attacks such as spoofing where the attacker masquerades as the authenticated user. With the development of secure cryptographic algorithms one can provide privacy protection to the biometric template. Thus during enrolment, the biometric trait is encrypted to form encrypted-biometric template, further features/patterns are derived from the encrypted template and stored. During verification process, user presents the biometry to the system where the features or the patterns are compared with that of the stored patterns to find the match and thus grant the access while the non-match will result in the discard of the input trait. The chapter presents and discusses about the encryption of the biometric data for authentication in a pattern recognition(PR) approach.

The next section (section 2) presents a review of the literature survey on biometric authentication. Then it is followed by methodology section (section 3) that describes the overall framework and giving details about the biometric data used, encryption scheme, the feature extraction and selection methods. This section The performance metrics used for the evaluation are also presented here. The results and discussion is presented in section 4. The conclusion of the chapter is provided in section 5.

## 2. RELATED WORK

Numerous research works have been carried on biometric data for authentication under several domains. A comprehensive review on the importance and challenging aspects of design and implementation of authentication system using biometric traits is provided by (<u>Nita, S. L., Mihailescu, M. I., and Pau, V., 2018</u>).

A design of biometric authentication system based on the multiple biometric characteristics of finger print, palm print and iris is presented by (Patil, S. D et al., 2022). The work used discrete cosine and Langranges's interpolation transformations with the mentioned biometric data. The simulation results showed an exceptional average genuine acceptance rate(GAR) of 95.42% and false rejection rate (FRR) of 4.57% indicating secured authentication system where the privacy of the biometric data is preserved.

The interature review identifies the deproyment of biometric authentication for several domains such as healthcare, forensics, web applications, e-commerce etc., Securing Internet of Things(IoT) where all the digital devices are connected and information exchange happens is very important. Biometric authentication has proved to provide secure communication in IoT using iris trait (Meena, G and Choudhary, S. 2019). Further biometric authentication is also used to secure Internet of Medical things. (Hamidi, H, 2019) presented a novel means of applying biometrics for smart healthcare to improve the capacity of access and reducing the complexity making it easy to establish and use. (Makrushin, A et al., 2021) discussed about the common requirements on synthetic fingerprint images for biometric authentication and forensic applications. (Scheidat, T., Kalbitz, M., and Vielhauer, C, 2017) have worked on sensing forensic traces of handwriting. The researchers suggest a new method for forensic trace analysis in order to compare the forensic recognition performance using equal error rates obtained from biometric sensors and forensic 2D/3D sensors in parallel. Tongue prints were used as biometric traits for verifying the identity of the users by (Jeddy, N., Radhika, T., and Nithya, S, 2017). The authors evaluated the tongue prints and its variations in male and female population to prove that the tongue prints are unique and cannot be forged.

(Penteado, B. E., and Marana, A. N,2009) developed a system with video data for biometric authentication for e learning applications. Here facial images were captured continuously by a web camera to have a natural interaction between the users online. (Klonovs, J., Petersen, C. K., Olesen, H., and Hammershoj, A, 2013) has come up with system of using Electroencephalogram(EEG) integrated with facial recognition with mobile phones for authenticating the users to gain access. A study was conducted by (Ogbanufe, O., and Kim, D. J,2018). to understand the perceptions, beliefs and concerns of an individual in using biometric traits as compared to credit cards for making electronic payments. A systematic survey on using multiple biometric traits for authentication and enhancing the security levels in cloud computing is provided by(David, B,2023). Social media is one of the greatest concern in terms of security where majority of the population connect and exchange information. As compared to the traditional methods biometric authentication can create safe and trusted social networks with biometric authentication (Chang, H. B., and Schroeter, K. G,2010). A combination of multimodal biometrics: facial image & fingerprints and cryptographic technology was found to be applied for border control applications (Kwon, T., & Moon, H,2008).

The review was further continued to identify the use of different biometric traits for authentication such as EEG(Ingale, M et al., 2020), fingerprint(Hemalatha, S,2020) (Ali, S et al., 2020), facial image(Zulfiqar, M et al., 2019), Electrocardiogram(ECG) (Al Alkeem, 2019) (Kim, S. K et al,2019), Inner-Knuckle-Print (IKP)(Viswanathan, M et al., 2020), combination of fingerprint and palmprint (Sengar, S. S et al., 2020), voice (Singh, N et al.,2018), retina(Mazumdar, J. B., and Nirmala, S. R, 2018), combination of facial image and voice (Zhang, X et al., 2020), gait (Tao, S, 2018), integration of facial image and gait (Kumar, A et al., 2023) etc.,

Through various research works conducted and presented, the significance of using biometric traits was realized. In parallel it was also identified that the biometric authentication process is vulnerable to spoofing attacks (Abusham, E et al., 2023). The encryption methods are the ideal solution to counter spoofing attack. This chapter presents an effective solution by combining the idea of pattern recognition with encryption scheme applied to a biometric authentication system.

#### 3. METHODOLOGY

The methodology of implementing encryption process on the biometric data to achieve enhanced security of a system is displayed in <u>Figure 1</u>. The methodology is based on the pattern recognition framework where the encrypted biometric data is provided to the machine learning algorithm to create a classifier model and the model is used to predict the validity of the user to gain the access to the system.

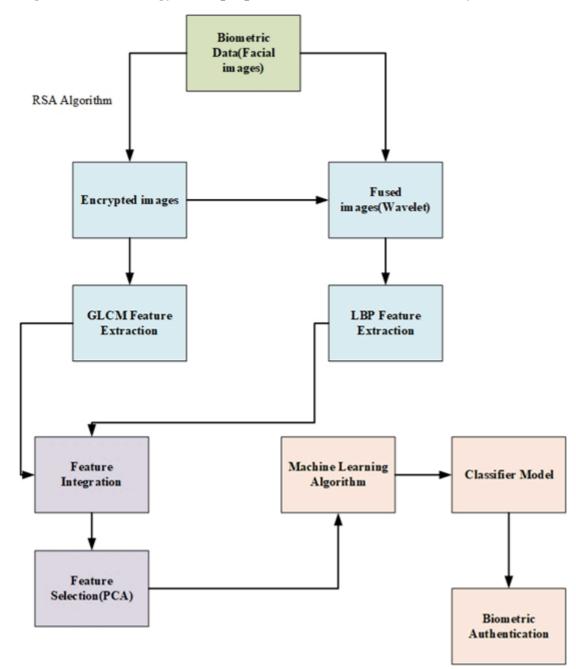


Figure 1. Methodology of the proposed biometric authentication system

## 3.1 Elements of Pattern Recognition Framework

The elements of a pattern recognition framework include: Data acquisition, preprocessing, feature extraction, training and learning (Machine learning algorithm) and classification. The elements are to be selected prudently for least classification errors. The requirements of a pattern recognition system are

(i)The acquired data should be of good quality support derivation of adequate and distinguishable features or attributes. The data can be image, voice, text, sound, video etc.,

(ii) Preprocessing conditions the data and the method has to be selected based on the requirement. If the input data is image, then the preprocessing could be image filtering, image enhancement, noise removal, segmentation etc.,

(iii)Feature extraction is the crucial step in pattern recognition as the features represent the key characteristics of the data. Features of the data belonging to the same class must be similar while the features should be different for the data belonging to other classes. Choice of the features are dependent on the type of the data and application for which the pattern recognition system is designed. The success of the PR system depends on the quality of features derived or extracted. The features need to be reliable, robust, discriminative, non-redundant and independent.
(iv)Training and learning step trains the machine learning algorithm providing examples and class labels. This phase involves assigning the class labels to the data and partitioning the data into training set and testing set. The machine learning algorithm is selected and is provided the labeled data from the training set for training which finally results in a creation of classifier model. Later the classifier model classifies an unseen sample provided to it from the test set in to one of the classes.

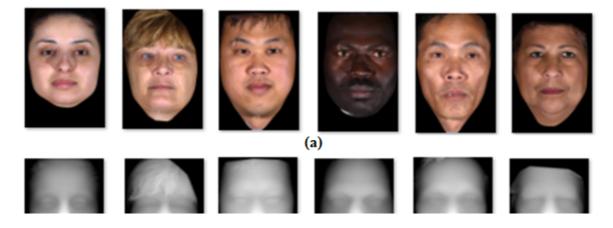
The detailed methodology of the work done is presented in the following subsections,

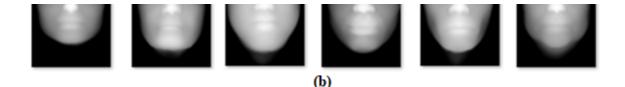
## 3.2 Biometric Data

The work considered facial image as the biometric data obtained from the Texas 3D Face Recognition Database (Gupta, S et al., 2010). The Texas 3D Face Recognition Database has 1,149 pairs of color and range facial images of 118 persons that have been preprocessed, pose normalized, and captured with a stereo camera. The images have a resolution of  $751 \times 501$  pixels. For every person, there are between one and eighty-nine images. The images in the database represent a variety of ethnic groups and have differing lighting and expressions. Figures 2.a and 2.b respectively, display representative images (color and range) from the database. The person with one or two facial images were not given considered for the work presented. For the experiment, out of 1,149 image pairs, 963 color images from 18 individuals were chosen where the number of images of a person varied from 4 to 89. These 963 images were split in the ratio of 3:2 for training and testing. Thus the training set has 578 images and the testing set is formed with 385 images.

The images from both the training and testing sets were resized and converted to gray scale as a preprocessing. The preprocessed images were further carried to move through all the steps of pattern recognition framework.

#### Figure 2. Sample Color(a) and Range(b) Facial Images From the Database





## 3.3 Image Encryption

As specified earlier image encryption is much essential for securing the biometric data used for authentication. For encrypting the facial images RSA (Gong, L et al.,2019) an asymmetric key cryptographic algorithm was considered. The asymmetric key or public key cryptographic algorithm works on two keys: public key and private key. The private key is kept private to the user while the public key is shared. The strength of this algorithm lies in its difficulty of factorizing the large integer. The large is the product of two large prime number. The private key of the user would be compromised if the attacker succeeds in factorizing the large integer but it could be overcome by selecting a key with larger size. The structure of the RSA algorithm which involves key generation and encryption/decryption is presented here

#### RSA Algorithm

Key generation			
Select two large prime numbers p and q	$p \neq q$		
Calculate n	$n = p \ge q$		
Calculate Euler's totient function, $\phi(n)$	$\phi(n) = (p-1) x (q-1)$		
Select integer e	gcd ( $\phi(n), e$ ) = 1; 1 < e < $\phi(n)$		
Calculate d	$d \equiv e^{-1} mod \ \varphi(n)$		
Public key generated	$Pu = \{e, n\}$		
Private key generated	$\Pr = \{d,n\}$		
Encryption			
Message(Plain text), M	M < n		
Encrypted message(Cipher text), C	$C = M^e \mod n$		
Decryption			
Cipher text, C			
Message, M	$M = C^d \bmod n$		

The preprocessed images I(x,y) are passed through the RSA algorithm to obtain the encrypted facial image  $I_e(x,y)$ . The encryption of every image is carried out pixel by pixel i.e., a window of size 1 x 1 slides through the preprocessed image covering all the rows and columns to generate encrypted pixel for every

slide. Next the original images (preprocessed) are fused with the encrypted images to make the attacker confused. This step results in generating the fused image  $I_f(x,y)$ ,

 $I_f(x,y) = I(x,y)$  fusion  $I_e(x,y)$ 

### 3.4 Image Fusion

Image fusion results in a composite image that integrates the information of the individual images into one that is more informative with better quality. Fusion can be applied to images at different levels such as pixel level, feature level and decision level and the images can be fused in transform or in spatial domain (Kaur, H et al., 2021). Fusion is also specific to domains of application like it could be multi modal, multi view, multi spectral, multi focus, multi resolution or multi temporal (Kaur, H et al., 2021). A survey on the available and practiced methods finds Wavelet transform to be more efficient and successful (Ashwanth, B., and Swamy, K. V,2020). The wavelet transform works by decomposing the images to be fused into various high and low frequency bands, later fusing the wavelet coefficients based on definite rules. For the work considered Daubechies wavelet (Thomas, E et al.,2014) was used as the basis for the reasons such as: it possesses symmetry, completely capable of reconstruction and is non redundant.

The Daubechies wavelet  $\psi(t)$  is

$$\Psi(t) = 2\sum_{m} (-1)^{m} \overline{a}_{t-m} \boxtimes (2t-m)$$
  
(1)

 $\psi(t)$  and  $\varphi(t)$  has the range (-M, M+1)

(2)

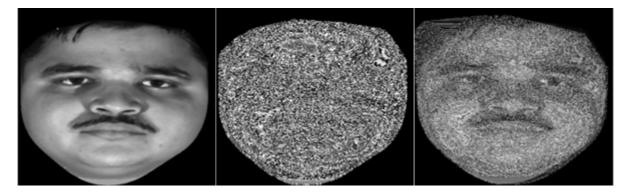
 $\varphi(t)$  is the scaling function in the L<sup>2</sup>(R)multiresolution analysis given by

$$\varphi(t) = 2\sum_{l} a_{l}\varphi(2t - l)$$

here  $a_i$  are the coefficients that can be both real values and complex valued and  $\sum_{i}^{n} a_i = 1$ 

To start with the fusion process, the images I(x,y) and  $I_e(x,y)$  are decomposed at several levels. Here for the work on image authentication, the images are decomposed to 2 levels to obtain the wavelet coefficients and the coefficients are later combined using mean fusion rule. Daubechies wavelet preserves multilevel edge information, it provides phase information that is immune to distortions in contrast and noise

# Figure 3. Result of the Fusion process: (a) Actual Image, (b) Encrypted Image, and (c) Fusion of Actual and Encrypted Image



#### **3.5 Feature Extraction**

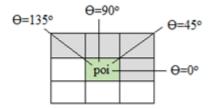
Features play a significant role in pattern recognition systems as they are the key parameters to represent the data in a meaningful form. The quality of the features determines the performance of the system. Features to be derived vary according to the nature of the data and the objective of the pattern recognition system. The work presented uses facial images for authenticating a system. For a system to be more secured, the facial images or the biometric data are encrypted using RSA algorithm at first level and the encrypted images are fused with original images to get fused images at the second level. The result of the encryption and fusion process indicates that the images provide texture information (Figure 2). Thus texture features are extracted from the images of both the levels, that is statistical texture features based on Gray level co-occurrence matrix(GLCM) are derived from encrypted images  $I_e(x,y)$  and texture features based on Local binary pattern(LBP) are derived from fused images  $I_f(x,y)$ . Later they are concatenated to obtain the integrated texture features that carry significant information and can specifically authenticate the system,

#### 3.5.1 GLCM Feature Extraction

GLCM (Haralick, R.M et al., 1973), a second order statistical analysis method works on the relationship between a pair of pixels in an image. It describes the texture of an image by finding the frequency of occurrence of a pair of pixels with definite value in a specific direction( $\Theta$ ) and distance(d) and then deriving the statistical features from the matrix. GLCM has been used many of the image processing applications under different domains. GLCM based features proved to provide better accuracy for crop classification (] Iqbal, N et al., 2021). The statistical features derived from GLCM were utilized in healthcare to improve the performance of the system, (Mall, P. K et al., 2019) worked on musculoskeletal radiographs and classified them into fracture and no fracture category, (Aggarwal, A. K,2022). and (Hussain, L et al., 2022) reported a promising accuracy of the framework that classified the MRI images based on tumor detection. Further they were utilized to classify the surfaces resulted after the machining process (Prasad, G et al., 2023) that provided an accuracy of 95.3%. They were found to be significant in analyzing vibration signals to detect the faults (Pouyap, M et al., 2021). Several works utilized the combination of GLCM features with other descriptors to improve the data representation. (Gustian, D. A et al., 2019) combined GLCM features with PCA to classify torso fabrics. (Yang, G et al., 2022) integrated GLCM and Gabor features to analyze the flame images for monitoring the boiler combustions in boiler industries.

Here POI Indicates Pixel of Interest

#### Figure 4. GLCM with four directions and distance d=1



In the work presented, for all the encrypted images  $I_e(x,y)$ , GLCMs, G(m, n) are framed considering four directions[ $\Theta$ ]= [0<sup>0</sup>, 45<sup>o</sup>, 90<sup>o</sup>, 135<sup>o</sup>] and four distances [(0 d); (-d d); (-d 0) (-d -d)]<sub>d=1,2,3,4</sub>. GLCM computation in all four directions and d=1 is presented in Figure 4. Thus for every image sixteen GLCMs are obtained and from every GLCM four statistical features contrast, correlation, homogeneity and energy are computed

$$Contrast = \sum_{m=0}^{N-1} (m-n)^{2}$$

$$Correlation = \sum_{m=0}^{N-1} \frac{(m-\alpha_{m})(n-\alpha_{m})}{\sqrt{\sigma_{m} \sigma_{n}}}$$

$$Homogenity = \sum_{m=0}^{N-1} \frac{G(m,n)}{1+(m-n)^{2}}$$

$$Energy = \sqrt{\sum_{m=0}^{N-1} \frac{G(m,n)}{n-1}} \frac{G(m,n)}{1+(m-n)^{2}}$$

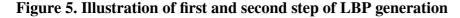
Here  $\alpha_n, \alpha_n, \sigma_n, \sigma_n$  are the mean and standard deviation respectively and NxN is the size of the matrix.

Later the four features extracted from all the sixteen GLCMs were combined to form a single GLCM statistical feature vector  $G_{lcm}SF = Correlation_{i}, Correlation_{i}, Energy, L.$ .

#### 3.5.2 LBP Feature Extraction

LBP is a texture descriptor that captures localized statistical patterns from the images. These type of features are significant in identifying the minutest variations in the spatial distribution of the images by detecting corners, edges, lines and leveled regions. LBP is prevalent in most of the application due to its high discriminating ability and low computational complexity. A tutorial on LBP and its applications towards facial image analysis is found in (Hadid, A,2008). A survey on LBP texture features as applied to image classification is presented by (Nanni, L et al., 2012). A work on modified LBP termed as center symmetric LBP used for object category classification is reported in (Heikkilä, M et al., 2009). LBP has testified in many applications that include classification of brain tumor in to: Glioma, Meningioma and pituitary types (Kaplan, K et al., 2020) which proved to provide an accuracy of 95.56%. LBP has also found its use in data hiding to overcome steganographic attacks (Sahu, M et al., 2022). The feature was also explored to use in weather detection systems (Khan, M. N et al., 2021). (Yuan, H et al., 2023) used multiscale LBP for fault diagnosis in driving gear. LBP was combined with fuzzy color for content based image retrieval system (Zulkurnain, N. F et al., 2022). Further its efficacy was verified in forensic analysis by (Agarwal, S., and Jung, K. H,2023). The feature was put to use in detecting the defects on the fabric surfaces for quality assessment (Pourkaramdel, Z et al., 2022). Research work on integration of GLCM and LBP based features had found to provide favorable results in (Barburiceanu, S et al., 2021).

LBP features are extracted from the fused facial images  $I_f(x,y)$ . As LBP involves extracting localized features, the images are divided into blocks or windows of size 3x3. Each block is processed serially to extract the texture features. For every block, the extraction involves





(ii)

The intensities of the center pixel and the surrounding pixels are compared to generate a binary vector B

$$B = [s(v_0 - v_c), s(v_1 - v_c), s(v_2 - v_c), s(v_3 - v_c), s(v_4 - v_c), s(v_5 - v_c), s(v_6 - v_c), s(v_7 - v_c)]$$

 $B=[b_0, b_1, b_2, b_3, b_4, b_5, b_6, b_7]$ , here  $b_j$  is the binary value = 1 or 0

where s(v) is defined as  $s(v) = \begin{bmatrix} 1, v < 0 \\ 0, v \ge 0 \end{bmatrix}$ 

(iii)

Compute the LBP of the center pixel using the binary set B

 $LBP\left(v_{\varepsilon}\right) = \sum_{j=1}^{7} b_{j} 2^{j}$ (7)

(iv) The steps from (i) till (iii) are repeated for all the blocks of the image to obtain the LBP map of the fused image.

(v) Finally the texture feature is obtained from the histogram of the LBP map, LBP<sub>hist</sub>

After extracting the GLCM statistical feature vector  $G_{lcm}SF$  from encrypted facial images and LBP features LBP<sub>hist</sub> from the fused images, the features are concatenated to form the integrated texture features  $IT_{ex}F = [G_{lcm}SF: LBP_{hist}]$ .

#### 3.5.3 Feature Selection

From the texture features extracted only a subset of features that are pertinent are selected removing the redundant features. Several methods are available for feature selection such as wrapper methods, embedded methods and filter methods. The most widely used feature selection method with dimensionality reduction is Principal Component Analysis(PCA) (Song, F., Guo, Z., & Mei, D. (2010), (Omuya, E. O., Okeyo, G. O., & Kimwele, M. W. (2021). The feature matrix  $[F_x]$  is created using the final feature vector ITexF = [ GlcmSF: LBPhist] for all the images in the dataset. The columns of  $[F_x]$  are the texture features and the rows are number of samples or the images. The most relevant features are selected from this feature matrix using PCA. The process includes calculating the covariance matrix first of PCA using  $[F_x]$  and find the Eigen values and Eigen vectors of the matrix. The Eigen values are arranged in the descending order and the first M largest Eigen values are noted. Later the columns of  $[F_x]$  (texture features) that correspond to new

feature matrix with reduced number of features. These features are further provided to the machine learning algorithm to create a classifier model and the model is used to predict the validity of the user to gain the access to the system.

the first for fargest Engen values are selected to form the subset of [1 x] [1 xs] that correspond to new

## 3.6 Classification and Evaluation

The texture features are input to the machine learning algorithms (<u>Bishop, C. M., & Nasrabadi, N. M.</u> (2006) k Nearest Neighbor(kNN), Support vector machine(SVM), Naive Bayesian(NB), Linear discriminant analysis, Quadratic discriminant analysis(QDA) for learning, training and classifier model creation. The model is tested and evaluated to assess the performance of the system. The performance is evaluated based on the performance metrics: Accuracy, Recall, Precision, F1 score, Kappa value and Matthew's correlation coefficient(MCC) computed (Mahesh, V. G., Raj, A. N. J., & Nersisson, R,2022) using the entries of the contingency or confusion matrix(CM). CM is a 2x2 matrix that provides the classification summary in terms of true positive(TP), true negative(TN), false positive(FP) and false negative.

#### Figure 6. Contingency table or confusion matrix

	Actual class		
pa		True	False
Predicte class	True	True Positive	False Positive
	False	False Negative	True Negative

Correct classifications
Misclassifications

#### The performance measures are as computed

$$Accuracy = \frac{(TP + TN)}{(TP + TN + FP + FN)}$$
(8)

$$Precision = \frac{TP}{(TP + FP)}$$
(9)

$$Recall = \frac{TP}{(TP + FN)}$$
(10)

$$MCC = \frac{(TP \times TN) - (FP \times FN)}{(Y)}$$
 where

$$Y = \sqrt{((TP + FP) x (TP + FN) x (TN + FP) x (TN + FN))}$$
(2 x Precision x Recall)

$$F1score = \frac{(2 \text{ x Precision x Recall})}{(Precision + Recall)}$$
(12)

 $Kappa = \frac{(Po - Pe)}{(1 - Pe)}$  where

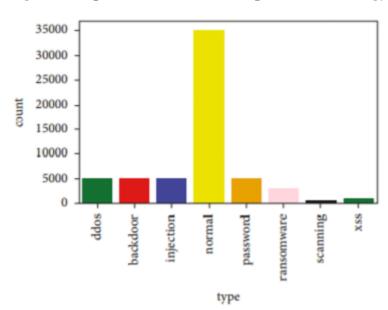
((TP + FN)x(TP + FP) + (FP + TN)x(FN + TN))

(11)

## 4. RESULTS AND DISCUSSION

The objective of the proposed work is the encryption of the biometric data for authentication in a pattern recognition(PR) approach. The detailed implementation of the proposed methodology is illustrated in Figure 7. The biometric data considered for the experiment was facial images from Texas 3D face recognition dataset that has both RGB and range/depth images. The simulation was carried on 963 RGB images. These 963 of images were split in the ratio of 3:2 for training and testing. Thus the training set has 578 images and the testing set is formed with 385 images. Initially the images of both data sets training and testing were converted to grayscale and resized as a preprocessing step. Next the images were encrypted using the RSA algorithm and then the encrypted images were fused with actual images for increasing the security.

Now the two sets of images Encrypted and fused from both the training and testing datasets were provided to feature extraction and selection process as explained in section 3.5. The resulted feature matrices  $[F_{xs\_tr}]$  and  $[F_{xs\_te}]$  were provided for training and testing respectively. The feature set  $[F_{xs\_tr}]$  was labelled with class categories and the labelled matrix was provided to k Nearest Neighbor(kNN), Support vector machine(SVM), Naive Bayesian(NB), Linear discriminant analysis, Quadratic discriminant analysis(QDA) machine learning algorithms for learning, training and building the classifier models. During this process the learnable parameters were varied to reduce the training error.

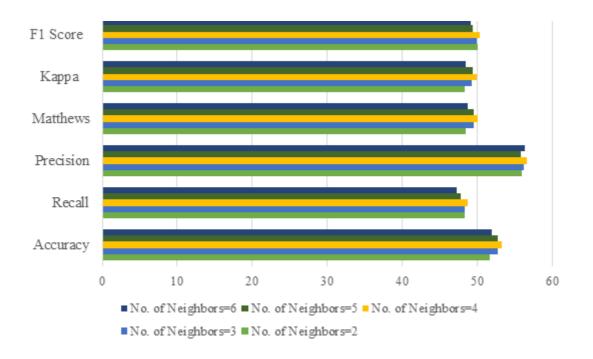


#### Figure 7. Implementation of the Proposed Methodology

In case of kNN classifier, the number of nearest neighbors were varied from 2 to 6 keeping the Euclidean distance metric. Once the kNN model was built, it was testing using  $[F_{xs\_te}]$ . The results of the test were evaluated for its performance for all the nearest neighbor values using the metrics calculated using the equations from (8) to (13). The performance metrics obtained after the evaluation is displayed in Figure 8.

#### Figure 8. Performance Metrics Computed for kNN Model

(13)



From the evaluation, it can be seen that the values of accuracy and precision metrics are high as compared to the other parameters indicating the goodness of the model in predicting the categories correctly.

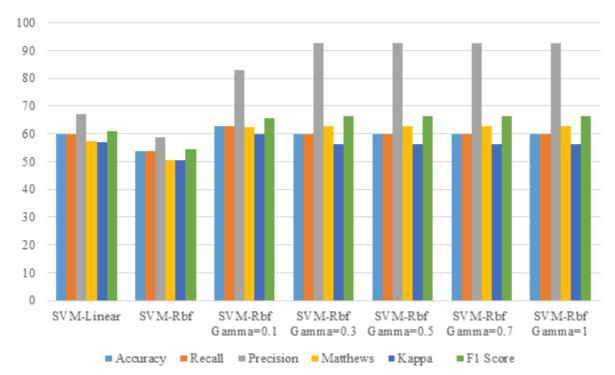
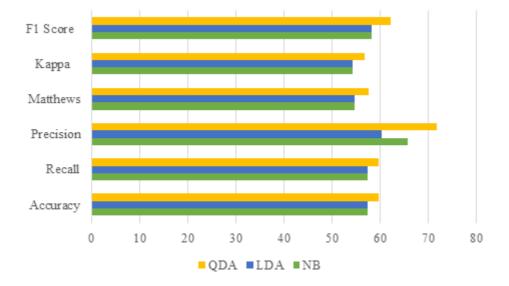
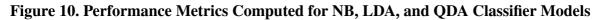


Figure 9. Performance Metrics Computed for SVM Models

Further, SVM algorithm was trained with linear and rbf kernels. For rbf kernel, the hyperparameters C and gamma were set and later tuned. The parameter C controls the error while gamma provides curvature weight of the decision boundary. Here C was fixed to one, the default value and gamma was varied from 0.1 to 0.7. The models with all the variation were tested and evaluated. The performance metrics of the evaluation are illustrated in Figure 9. The results indicated the variation in the metrics with gamma shifting from 0.1 to 0.3 but the metrics remained almost constant for the later variation. Thus the gamma value after 0.3 did not significantly affect the performance. The performance of the SVM model indicated a higher F1 score and much higher precision of 92.58% with gamma=0.3. As F1 score is the harmonic mean of Precision and Recall. a higher value of it points towards better quality classification.

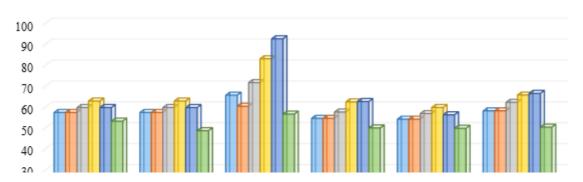


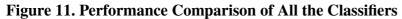


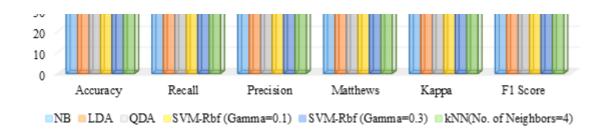
Later, the NB, LDA and QDA algorithms were trained with labeled training data set. For training SVD solver is set for LDA and the regularization parameter of QDA is tuned to regularize the covariance estimates. The performance of NB, LDA and QDA models is depicted in Figure 10. These classifier models also turned out be good in terms of better F1 score and Precision. As noticed from Figure 8, NB and QDA has performed well indicating the goodness of the models in precisely classifying the classes. Finally, a performance comparison of all the classifiers are made and presented in Figure 11. For the comparison all the classifiers with the obtained performance metrics are considered. In case of kNN and SVM, the conditions that provided the best results are took into account. That is kNN provided better performance when the number of neighbors=4 and SVM worked better with rbf kernel and for the Gamma values of 0.1 and 0.3. The performance comparison indicates exceptional performance of SVM classifier with rbf kernel.

## **5. CONCLUSION**

This presented chapter effectively combines an encryption scheme with a pattern recognition framework for biometric authentication. Here the authentication is correlated to true predictions of the class labels of the given biometric data. So here identifying the goodness of the model in predicting the categories correctly was very important. The work considered facial images from the Texas 3D face recognition dataset as the biometric data. The images in the database has a variety of ethnic groups and have differing lighting and expressions.







The experiment involved encryption of the images by RSA algorithm, fusion of actual and encrypted images using Debauchees wavelet, GLCM and LBP texture extraction from the encrypted and fused images, concatenation of features and feature selection, feeding the selected features to kNN, SVM, NB, LDA and QDA machine learning algorithms for classifier model creation and finally evaluation of the models. The models were evaluated with the performance metrics Accuracy, precision, recall, MCC, kappa and F1 score. As compared to all the metrics the precision was high in case of all models and of those SVM with rbf kernel was outstanding. This indicates that encryption of images incorporated within the pattern recognition framework was significant in providing better biometric authentication to get access to the systems.

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## CHAPTER 12

## Enhancing Crypto Ransomware Detection Through Network Analysis and Machine Learning

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## ABSTRACT

Crypto ransomware presents an ever-growing menace as it encrypts victim data and demands a ransom for decryption. The increasing frequency of ransomware attacks underscores the need for advanced detection techniques. A machine learning classification model is proposed to identify ransomware families. These models utilize specific network traffic features, with a particular emphasis on analyzing the user datagram protocol (UDP) and internet control message protocol (ICMP). Importantly, this approach incorporates feature selection to enhance efficiency without compromising accuracy, resulting in reduced memory usage and faster processing times. The proposed experiment utilizes various machine learning algorithms, including decision trees and random forest, to create highly accurate models for classifying ransomware families. Furthermore, the experiment combined network traffic analysis with other sophisticated methods such as behavioral analysis and honeypot deployment to effectively scale crypto ransomware detection.

## **1. INTRODUCTION**

Crypto ransomware is a malware software encrypting files, demanding payment in cryptocurrency for decryption. It spreads through phishing, exploiting vulnerabilities. Attackers prefer crypto for anonymity. Prevention involves updates, cybersecurity measures, backups, and user education. Incident response plans aid recovery from these increasingly sophisticated cyber threats.

Crypto ransomware typically relies on traditional communication protocols such as HTTP or HTTPS(Krzysztof Cabaj, Marcin Gregorczyk, and Wojciech Mazurczyk, 2018) for command and control (C2) communication, rather than UDP or ICMP. However, cyber threats evolve, and attackers may experiment with different protocols. A study conducted by (May Almousa, Janet Osawere, and Mohd Anwar, 2021) leveraged analysis of TCP packets to detect Crypto ransomware. TCP serves as a fundamental protocol in network communication; however, its application in the context of Crypto ransomware detection comes with inherent limitations. One significant challenge lies in the prevalence of encrypted traffic, a common tactic employed by modern ransomware variants. Encryption serves to secure communications, rendering the inspection of packet content a formidable task for detection mechanisms. As a result, identifying malicious behavior within encrypted TCP packets becomes increasingly challenging.

Moreover, ransomware developers often exploit the dynamic nature of TCP communication. Techniques like port-hopping, wherein the ransomware rapidly switches between different network ports, hence posing difficulties for TCP-based detection systems. The ability of these mechanisms to adapt to rapidly changing communication patterns and port numbers becomes a critical consideration in countering the evasion tactics of sophisticated ransomware variants.

Employing strong network security, monitoring, and regular updates helps mitigate risks associated with potential variations in ransomware tactics. Attackers might leverage UDP for specific purposes such as command and control communications or data exfiltration, but it's not as prevalent as TCP.

Attackers might misuse ICMP for certain functionalities due to its presence in many networks and the possibility of bypassing some firewall rules.

## **1.1 Contributions to This Work**

This chapter is organized into several sections to provide a comprehensive understanding of the proposed architecture for enhancing crypto ransomware detection through the integration of unconventional network protocols and machine learning algorithms. The structured approach ensures clarity and facilitates a step-by-step exploration of the research methodology and findings.

The first section of the paper delineates the foundational stages of the proposed architecture. This involves the meticulous collection of crypto ransomware network traffic data, an essential step in building a robust dataset for analysis. The chosen network protocols, UDP and ICMP, serve as the focal point for feature extraction and selection, aiming to capture distinctive patterns indicative of malicious activity. By isolating relevant packet fields, the research establishes a framework for effective data processing and subsequent utilization in machine learning algorithms.

The subsequent section delves into the intricacies of feature extraction and selection, elucidating the rationale behind choosing specific fields from UDP and ICMP packets. This process is fundamental in distilling pertinent information from the vast array of network traffic data, facilitating the identification of unique characteristics associated with crypto ransomware. The rationale behind the selection of features is expounded upon, providing insight into the considerations that guided this critical aspect of the research.

ronowing this, the paper transitions into a detailed exploration of the machine learning algorithms employed in the proposed architecture. Notably, the inclusion of algorithms such as the gradient boosting algorithm and decision tree signifies a deliberate choice to leverage diverse methodologies for enhanced accuracy and robustness. A comprehensive discussion on the implementation and customization of these algorithms within the context of crypto ransomware detection enriches the understanding of their role in the overall architecture.

A pivotal section of the paper focuses on the practical application of the proposed model. Here, the gathered data on malicious network traffic serves as the foundation for training and validating the machine learning models. This step aligns the research with the overarching goal of detecting various ransomware families, emphasizing the real-world relevance of the proposed architecture.

Section II Describes the Proposed Architecture, Section III Describes the Implementation, Section IV Describes the Results obtained, Section V concludes the paper and Section VI consists of references used in the paper.

## 2. RELATED WORK

In June 2019, Lake City, Florida, found itself ambushed in the grips of a formidable cybersecurity menace, a meticulously orchestrated crypto ransomware attack. The assault commenced with a seemingly innocuous phishing email that served as the gateway for the infiltrators. Employing a combination of Emotet and TrickBot malware, the attackers exploited vulnerabilities to navigate the city's digital infrastructure. The climax of their offensive was marked by the deployment of the notorious Ryuk crypto ransomware, a pernicious variant known for its sophisticated encryption techniques.

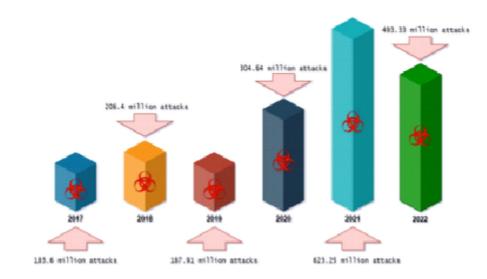
Once Ryuk took hold, its insidious algorithms swiftly encrypted critical files and systems across Lake City's network, rendering them inaccessible and held hostage by cryptographic shackles. The malicious actors behind the attack, shrouded in anonymity, left behind a digital ransom note. In the stark language of the note, a demand echoed: a substantial ransom payment in Bitcoin, with a clear ultimatum — pay promptly or face irrevocable loss of essential data.

The repercussions were profound, resonating through the heart of Lake City's operations. Vital services, including email systems and the online utility payment infrastructure, were thrust into disarray. The city faced an excruciating dilemma, a modern-day ransom conundrum: whether to succumb to the demands of the cybercriminals and expedite the recovery process, or to withstand the onslaught and explore alternative avenues for system restoration.

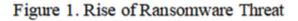
In a strategic decision fraught with complexities, Lake City chose to meet the ransom demand. The cryptocurrency transaction facilitated the acquisition of a decryption key from the perpetrators. Yet, the aftermath was far from a swift resolution. The road to recovery was paved with intricate challenges, underscoring the intricate nature of combating crypto ransomware.

The incident triggered a cascade of investigations, involving not only local authorities but also federal agencies such as the FBI. Attribution in the realm of crypto ransomware attacks is notoriously elusive, with the digital footprints often leading through a labyrinth of virtual obfuscation. Despite the collaborative efforts to unearth the malefactors, their identities remained shrouded in the digital shadows.

The Lake City crypto ransomware attack serves as a poignant reminder of the relentless evolution of cyber threats and the critical importance of proactive cybersecurity measures. It illuminated the imperative for organizations to fortify their defenses against phishing attempts, bolster incident response capabilities, and contemplate the ethical and strategic implications of acceding to ransom demands. As cities and institutions navigate the digital landscape, the specter of crypto ransomware looms large, underscoring the ever-present need for vigilance and resilience in the face of evolving cyber threats.



#### Figure 1. Number of Ransomware Attacks in Recent Years



## 3. METHODOLOGY

## 3.1 Concept of Crypto Ransomware

Crypto ransomware operates like a digital intruder, slipping into a user's computer with the sole purpose of encrypting all files or, in more severe cases, the entire system. This encryption process is similar to locking the files in a virtual vault that can only be accessed with a specific key. The attackers behind this malicious software demand a ransom, typically in cryptocurrency, as payment in exchange for providing the decryption key or tool necessary to unlock the files (D Udhaya Mugil, S Metilda Florence, 2022). The use of cryptocurrency adds a layer of anonymity for the attackers, making it difficult to trace their identities.

The hallmark of this ransomware is its utilization of robust encryption algorithms, such as Advanced Encryption Standard (AES) or Rivest–Shamir–Adleman (RSA), transforming valuable files into inaccessible entities. This creates a distressing hostage situation for the victim, who is left without access to their important data

To communicate their demands and intensify the pressure on the victim, the attackers employ a ransom note. This note is typically delivered through anonymous networks (Hamdan Ahmed, S Metilda Florence, Ashlesh Upganlawar, 2022), obscuring the identity of the perpetrators. The note outlines payment instructions and imposes a deadline, heightening the urgency and fear of permanent data loss. The demand for payment in cryptocurrency further contributes to the covert nature of the transaction.

It's essential to highlight that even if victims choose to pay the ransom, there is no assurance of successful data recovery. The attackers may not uphold their end of the bargain, leaving victims at risk of losing both their data and the ransom payment. Additionally, paying the ransom does not guarantee immunity from future attacks, as the initial vulnerabilities exploited by the ransomware may persist.

Crypto ransomware commonly spreads through deceptive tactics, including phishing emails and the exploitation of system vulnerabilities. This underscores the importance of preventive measures, such as regular data backups and robust cybersecurity practices. The figure (Figure 2) illustrates the step-by-step activities of Crypto ransomware, emphasizing the need for awareness and proactive cybersecurity measures to foil potential attacks and safeguard against the significant consequences of data loss and system compromise. In essence, understanding the intricacies of Crypto ransomware is crucial for individuals and organizations alike to fortify their defenses and respond effectively to mitigate the impact of such insidious attacks.

#### Figure 2. Crypto Ransomware Working Model

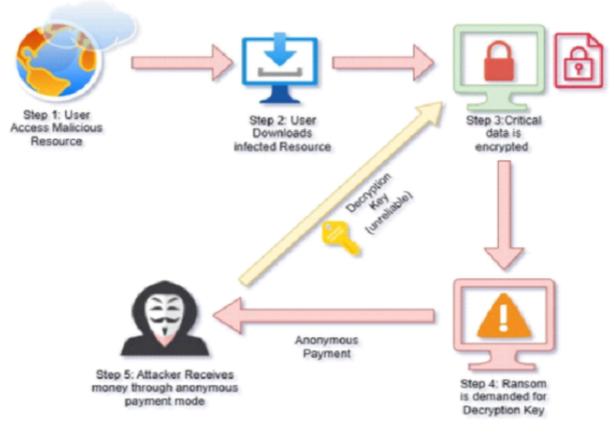


Figure 2. Crypto Ransomware Working Model

## 3.2 Working of Crypto Ransomware

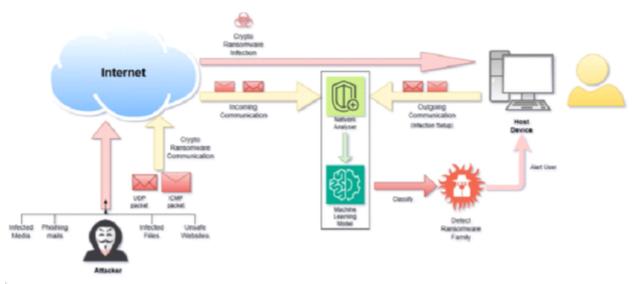
Ransomware, malicious software, typically follows a well-defined sequence of events during its insidious attack on computer systems. The initial stage involves infiltration, often achieved through delivering deceptive emails containing malicious attachments or links and exploiting vulnerabilities in software or operating systems. Once activated, the ransomware executes its damaging payload, employing robust encryption algorithms to lock a wide array of files on the victim's system, rendering them inaccessible. The encryption process is a critical component of the attack, strategically targeting various file types to

maximize the impact on the victim (Toshima Singh Rajput, 2017). Subsequently, a ransom note is prominently displayed, outlining the attackers' demands for payment, usually in cryptocurrency, in exchange for the decryption key needed to unlock the compromised files.

Communication is another side of this malicious process, with the ransomware establishing contact with a command and control server controlled by the attackers. This communication channel may serve various purposes, including transmitting information about the compromised system or receiving instructions from the offender. If the victim succumbs to the pressure and chooses to pay the ransom, a risky undertaking without guarantees, they may be given a decryption key. However, the ethical and practical concerns associated with funding criminal activities make this option highly discouraged within the cybersecurity community.

Following the resolution, or lack thereof, of the ransom negotiation, the victim is left with the Demanding task of post-infection cleanup. This involves removing the ransomware from the system to prevent further damage and potential reinfection. Additionally, organizations and individuals are strongly advised to implement comprehensive cybersecurity measures to fortify their defenses against such attacks. These measures may include regular data backups, timely software updates to patch known vulnerabilities, and ongoing employee training to enhance awareness and resilience against social engineering tactics. By adopting a proactive and multi-testing approach to cybersecurity, individuals and organizations can mitigate the risk of falling victim to ransomware and safeguard their digital assets.

### 3.3 Proposed Model



#### Figure 3. Proposed Model

Figure 3. Proposed Model

In the proposed model, a series of modules work collaboratively to establish a comprehensive defense against Crypto ransomware. The process begins with an attacker uploading malicious content, such as infected media, phishing emails, or compromised websites, to the internet. When a user downloads these corrupted files, their device becomes susceptible to Crypto ransomware infection. Subsequently, the infected device initiates communication with the attacker, potentially utilizing UDP and ICMP packets during the infection setup phase.

Upon the initiation of the infection setup, a Network Analyzer module comes into play. This component is responsible for capturing and analyzing all packet data related to the ongoing communication between the infected device and the attacker. The Network Analyzer serves as a crucial surveillance mechanism, closely monitoring the intricacies of network traffic during the infection process.

The captured packet data is then relayed to a continuously running Machine Learning model (Greg Cusack, Oliver Michel, and Eric Keller, 2018). This model serves as the core intelligence hub, employing sophisticated algorithms to scrutinize the network for any signs of malicious activity. Leveraging its learning capabilities, the Machine Learning model becomes adept at recognizing patterns associated with Crypto ransomware infections.

In the event of the Machine Learning model detecting malicious activity in either incoming or outgoing communication, it swiftly takes action. The model not only identifies the presence of Crypto ransomware but goes a step further by classifying the specific family of the ransomware involved (Omar MK Alhawi, James Baldwin, and Ali Dehghantanha, 2018). This nuanced classification capability enhances the system's ability to tailor its response measures effectively.

The system, upon classification of the Crypto ransomware family, promptly alerts the overall security infrastructure. This alert triggers a series of preventive measures aimed at mitigating the impact of the infection. These preventive actions may include isolating the infected device, terminating malicious communication channels, and initiating recovery processes to restore compromised data.

By integrating the Network Analyzer and Machine Learning model into the proposed architecture, the system gains a proactive and adaptive defense mechanism against Crypto Ransomware threats. The continuous monitoring, analysis, and classification of network activity enable the system to swiftly detect, classify, and respond to emerging threats, bolstering overall cybersecurity resilience.

Figure 4 shows the working of the proposed model and its interaction in the network.

## 3.4 Benefits of Analyzing UDP and ICMP Over Other Protocols

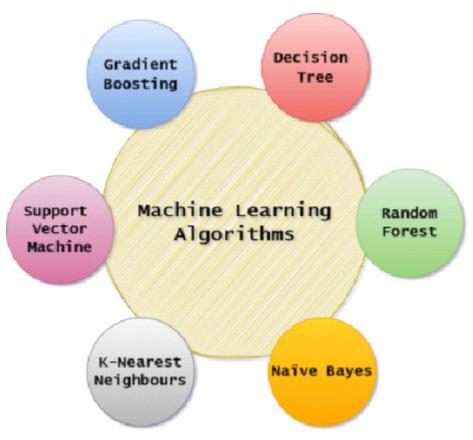
The strategic use of UDP and ICMP in the detection of crypto ransomware is a noteworthy approach, capitalizing on the distinctive characteristics of these protocols to identify potentially malicious activities. UDP, as a connectionless protocol, offers swift communication between devices without the establishment of a formal connection. This characteristic makes it well-suited for detecting the rapid propagation often associated with crypto ransomware. The speed and efficiency of UDP can be leveraged to identify patterns of fast and widespread communication, which are indicative of the aggressive nature of ransomware as it seeks to encrypt files across a network swiftly.

Similarly, ICMP, primarily known for its role in network diagnostics, becomes a valuable tool for anomaly detection in the context of crypto ransomware. Anomalous patterns in ICMP traffic may signify efforts to establish or control malicious connections. ICMP packets are typically used for tasks such as network error reporting or testing the reachability of a host. Deviations from the expected patterns of ICMP traffic can be indicative of abnormal and potentially malicious behavior. Monitoring ICMP traffic becomes a crucial aspect of detecting irregular activities related to the deployment or communication of crypto ransomware within a network.

Furthermore, both UDP and ICMP can play a pivotal role in detecting potential data exfiltration, a common tactic employed by ransomware. Signature-based detection methods can be applied to these protocols, allowing for the identification of known patterns associated with ransomware activities. This involves comparing the network traffic against a database of predefined signatures that match characteristics of known ransomware strains. By doing so, security systems can promptly recognize and respond to suspicious activities associated with data exfiltration attempts.

The combined monitoring of UDP and ICMP adds a layer of depth to the overall cybersecurity strategy. By integrating these protocols into the detection mechanisms, organizations enhance their ability to identify and mitigate the threats posed by crypto ransomware. This proactive approach involves not only identifying the rapid spread of ransomware but also detecting deviations in network behavior and recognizing established patterns of data exfiltration. This comprehensive strategy contributes to a more resilient and responsive cybersecurity posture in the face of evolving ransomware threats.

## 3.5 Machine Learning Algorithms



#### **Figure 4. Machine Learning Models**

The Proposed model leverages Machine learning algorithms (Omar MK Alhawi, James Baldwin, and Ali Dehghantanha, 2018) to classify the Crypto Ransomware Families. An Efficient and robust algorithm must be selected to train the machine learning model to classify rapidly and in real time, Else the model wouldn't be effective in real life scenarios. Therefore, Six machine learning algorithms has been found suitable for the scenario. Figure 4. Shows all the machine learning algorithms considered for the research.

A Study conducted by (May Almousa, Janet Osawere, and Mohd Anwar, 2021) revealed Decision tree Algorithm and Random forest algorithm performed the best compared to others in a similar scenario involving network analysis using machine learning.

The Decision Tree algorithm stands out as a robust and versatile tool within the domain of supervised learning, showcasing proficiency in handling both classification and regression tasks. Its operational methodology involves recursive partitioning, where datasets are systematically divided into subsets based on the most influential attributes identified at each node. This iterative process leads to the creation of a tree-like structure resembling a flowchart, where internal nodes represent attribute tests, branches signify the outcomes of these tests, and leaf nodes carry class labels. The Decision Tree algorithm's interpretability and ease of visualization make it an attractive choice for understanding and explaining the decision-making process within a model.

Complementing the Decision Tree, the Random Forest algorithm takes a collaborative approach to enhance predictive accuracy and mitigate overfitting. Embracing the concept of ensemble learning, Random Forest leverages the strengths of multiple decision trees. Each tree is constructed independently, introducing a level of diversity in the learning process. During predictions, the algorithm aggregates results from these individual trees, offering a more robust and accurate overall prediction. This ensemble approach proves particularly effective in handling complex problems and contributes to elevating the performance of the machine learning model.

One key advantage of these algorithms lies in their efficiency and high accuracy when compared to other methods. The Decision Tree's interpretability and Random Forest's ability to address overfitting make them well-suited for a range of applications. In the proposed architecture, these algorithms are selected to train the machine learning model, emphasizing their capability to provide accurate predictions while maintaining transparency in the decision-making process. Their combined strengths contribute to the overall effectiveness of the model, positioning it as a reliable and interpretable solution for the intended application.

## 3.6 Data Privacy and Security

The architecture acknowledges the importance of protecting user privacy by mentioning the potential use of UDP and ICMP protocols for communication. Anonymizing personally identifiable information in network packet data helps adhere to privacy principles and prevents the exposure of identifiable details.

Strict access controls are proposed to limit access to critical components such as the machine learning model and network analyzer. This ensures that only authorized individuals have the privilege to interact with and retrieve sensitive information, enhancing overall system security.

The proposal subtly suggests the need for adherence to data protection regulations, indirectly acknowledging the relevance of compliance. By referencing the utilization of machine learning for classification, it aligns with the privacy-by-design principles, underscoring the significance of meeting legal requirements, including those delineated in regulations such as GDPR.

The inclusion of machine learning algorithms, specifically Decision Tree and Random Forest, highlights a commitment to regularly assessing and enhancing system security. Additionally, the acknowledgment of potential repeat attacks underscores the importance of having a robust incident response plan to mitigate and learn from security incidents.

The elements mentioned suggest a proactive approach to data privacy and security. Organizations implementing such a system should further articulate and document these measures, ensuring transparency and building trust with users regarding the protection of their sensitive information.

## 3.7 Implementation

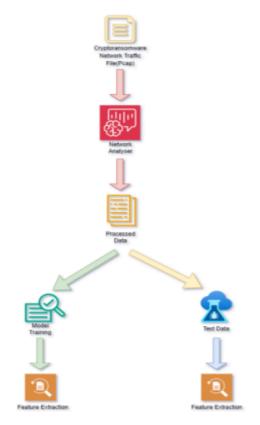
In this section, the experiment stages are demonstrated. The research unfolds in three key stages. The first involves collecting diverse crypto ransomware network traffic data, ensuring representation across various ransomware families. The second stage focuses on extracting and selecting features from UDP and ICMP packet fields, including preprocessing steps for data quality. In the final phase, machine learning methods, such as the gradient boosting algorithm and decision tree, are employed to build classification models that label different crypto ransomware families.

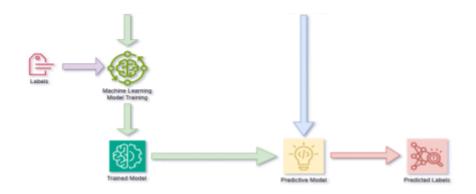
The paper highlights the significance of the collected dataset, detailing its sources and addressing any challenges in obtaining comprehensive data. Feature extraction and selection processes are explained, emphasizing the chosen packet fields and preprocessing steps. The machine learning phase is characterized by insights into algorithm choices, customization, interpretability, and validation metrics. Comparative analyses with existing methods and considerations for scalability and adaptability add depth to the proposed architecture.

In essence, the research offers a concise yet comprehensive overview of a systematic approach to enhancing crypto ransomware detection, bridging data collection, feature extraction, and machine learning applications.

Figure 5 demonstrates the workflow of the experiment: data collection and extraction, data preprocessing, and machine learning.







## 3.7.1 Data Collection

Samples of network traffic packets captured in Pcap (Packet Capture) network traffic. This data was compressed into a zip file in Pcap format. These Pcap files serve as repositories of information, containing detailed records of individual packets transmitted across a network.

Pcap files contain a multitude of features that provide a comprehensive view of network communication. Among these features, the source and destination IP addresses play a crucial role in identifying the participants in a communication session. These addresses are fundamental for tracking the origin and destination of network traffic, aiding in the identification of communicating devices and potential sources of malicious activity.

Another set of vital features revolves around protocol information. Pcap files capture details about the protocols employed in network communication, offering insights into the nature of the traffic. This information allows analysts to discern whether the communication involves common protocols such as HTTP, TCP, UDP, or more specialized ones, providing context for further investigation.

Port numbers constitute another essential feature within Pcap files. Analyzing port-related features assists in identifying the specific communication channels used by devices. By examining port numbers, analysts can gain a deeper understanding of the services or applications associated with network traffic, helping to pinpoint potential vulnerabilities or anomalous behavior.

Packet timestamps provide a temporal dimension to network communication analysis. Timestamp features offer information about when each packet was captured, enabling the reconstruction of timelines and aiding in the correlation of events during an investigation. This temporal context is crucial for understanding the sequence of actions and identifying patterns or irregularities in network activity.

These various features collectively contribute to the richness of information embedded in Pcap files, empowering analysts and security professionals to conduct in-depth network analysis, detect anomalies, and respond effectively to potential security threats. The combination of source and destination IP addresses, protocol details, port numbers, and packet timestamps forms a robust foundation for understanding the intricacies of network communication and ensuring the security and integrity of networked systems.

To facilitate storage, distribution, or sharing of these Pcap datasets, it is common practice to compress them into archive formats. These samples have undergone compression and are bundled into a zip file while maintaining the Pcap format. This compression not only aids in conserving storage space but also

streamlines the process of disseminating these network traffic captures.

## 3.7.2 Feature Extraction and Collection

In the process of feature extraction for our study, we employed Tshark, a widely-used network protocol analyzer. Tshark offered a robust solution for extracting features from the captured network packets, providing an initial set of approximately 600 attributes. Recognizing the need for a more targeted and refined analysis, we strategically narrowed down this extensive list by concentrating on specific categories associated with IP, UDP, ICMP, Ethernet, and Frame attributes.

The decision to focus on these categories was driven by the potential significance of certain network protocols in the context of security threats. UDP and ICMP packets, in particular, were identified as crucial elements for further analysis due to their potential exploitation by attackers. UDP is often associated with command and control communications or data exfiltration in cyber-attacks. By filtering and examining UDP packets, we aimed to gain insights into any anomalous patterns indicative of malicious activities.

Simultaneously, ICMP packets were selected for scrutiny due to their known role in potential firewall rule bypassing. ICMP, being a fundamental part of network diagnostics, is commonly permitted through firewalls. Attackers might leverage ICMP for covert communications, attempting to evade detection by exploiting its prevalence in various networks. Hence, our dataset extraction specifically filtered and focused on UDP and ICMP packets to hone in on potential threat vectors associated with these protocols.

This meticulous feature extraction process allowed us to distill the dataset to a more manageable and relevant set of attributes. By concentrating on IP, UDP, ICMP, Ethernet, and Frame categories, we aimed to uncover patterns that could be indicative of malicious intent. This approach ensured that our analysis was not only comprehensive but also tailored to the specific network behaviors that are often exploited in cyber threats. The utilization of Tshark, coupled with the strategic narrowing down of features, forms a critical foundation for the subsequent stages of our experimental design.

## 3.7.3 Data Preprocessing

Data preprocessing is a critical step in preparing a dataset for analysis, ensuring that it is organized and suitable for the intended purposes. In the case of the mentioned dataset, a structured and formatted approach was employed to handle missing values and enhance data quality.

Approximately 40% of the dataset contained missing values, which could potentially impact the accuracy and reliability of subsequent analyses. To address this, a systematic removal of these missing values was carried out, effectively eliminating data points where information was absent. This elimination process aimed to streamline the dataset and mitigate the impact of missing values on the overall analysis.

However, recognizing the potential loss of valuable information due to outright removal, an interpolation technique was adopted to handle the missing values. Interpolation involves estimating and substituting missing data points based on known values in the dataset. This method is particularly useful when dealing with sequential or time-series data, where maintaining the temporal order of observations is crucial.

The interpolation process enhances the dataset's completeness by filling in the missing values with estimated data points, thus preserving the temporal structure and continuity of the information. By relying

on known values and their relationships, interpolation contributes to a more holistic dataset that is conducive to accurate analyses and meaningful insights.

In summary, the data preprocessing phase involved a dual strategy of removing a portion of the missing values and employing interpolation to impute the remaining gaps. This meticulous approach aimed to strike a balance between data quality and the preservation of valuable information, setting the stage for robust and reliable analyses of the dataset.

## 3.7.4 Model Training

In the process of model training, Feature Extraction plays a pivotal role in transforming the raw data, specifically the processed Pcap file, into a structured and informative format. Features, in the context of network packets, represent measurable properties or characteristics that serve as input for the machine learning model (Asaf Shabtai, Robert Moskovitch, Yuval Elovici, and Chanan Glezer, 2009). These features are crucial as they encapsulate the essential information necessary for the model to discern patterns and make predictions.

Feature Extraction can involve various techniques such as dimensionality reduction, transformation, or selection. These techniques are employed to highlight the most important aspects of the data, ensuring that the model is fed with relevant and impactful information. Dimensionality reduction, for example, simplifies the dataset by reducing the number of features, making it more manageable for the model to process while retaining the most critical information.

Simultaneously, the concept of labels is integral to supervised learning, a paradigm where the model is trained using labeled data. Labels represent the known outputs or outcomes associated with the input data, serving as the ground truth or the correct answers that the model endeavors to learn and predict. In the context of a Ransomware classification task, labels assign a specific class or category to each set of features, indicating whether the network traffic is associated with ransomware or not.

The machine learning model is then trained using these labeled data. During training, the model learns to recognize patterns and associations between the features and their corresponding labels. The iterative nature of this process involves adjusting the model's parameters until a satisfactory level of accuracy or performance is achieved. This iterative training allows the model to generalize its learning from the labeled data to make predictions on new, unseen data, enhancing its ability to accurately classify network traffic and detect potential ransomware activity.

In summary, model training begins with Feature Extraction, which transforms raw data into meaningful features. These features, along with labeled data representing ground truth information, are used to train the machine learning model iteratively until it achieves a desired level of accuracy and proficiency in predicting outcomes, providing a powerful tool for detecting ransomware in network traffic.

## 3.7.5 Model Testing

Testing a machine learning model is a crucial step to evaluate its performance and assess how well it can generalize to new, unseen data.(Yun-Chun Chen, Yu-Jhe Li, Aragorn Tseng, and Tsungnan Lin, 2017) The test data used for evaluation constitutes a subset of the overall dataset, and notably, it has not been utilized during the training phase of the model. This concertion ensures on unbiased ecceptant of the model.

capabilities, simulating real-world scenarios where the model encounters previously unseen packet data.

Test data typically consists of input features, or feature vectors, but lacks the associated labels. These feature vectors represent the numerical representations derived from the features or properties of the UDP and ICMP packets, embodying the critical information the model learned during training. The absence of labels in the test data mimics the real-world scenario where the model needs to predict outcomes based solely on its training.

Feature vectors encapsulate the essential characteristics of the data and serve as the input for the trained model during the testing phase. The machine learning model, having learned patterns and associations during training, is now confronted with these new, unseen feature vectors. The goal is to evaluate the model's accuracy and efficiency in making predictions about the nature of network traffic, specifically identifying potential instances of ransomware based on its training.

Testing the machine learning model involves feeding the feature vectors into the model, and its predictions are then compared against the actual, unseen outcomes. The accuracy of the model is assessed by measuring how well it aligns with the ground truth, providing insights into its generalization capabilities. Efficient and accurate prediction on the test data indicates that the model has successfully learned to recognize patterns and associations, and it can effectively apply this knowledge to novel data instances.

In essence, the model testing phase is a critical checkpoint in the development and deployment of machine learning solutions. It validates the model's ability to generalize beyond the training data, ensuring that it can make reliable predictions in real-world situations where it encounters previously unseen network traffic packets.

## 4. RESULTS AND DISCUSSION

The proposed project is aimed at the detection of crypto ransomware using UDP and ICMP. The theoretical approach showcases promising insights into the potential effectiveness of leveraging UDP and ICMP protocols for the early detection of crypto-ransomware activities. Through a carefully designed experimental setup, it is observed that distinct patterns in network traffic associated with crypto ransomware behavior.

## 4.1 Crypto Ransomware Families Classified

The proposed model, following an early analysis, has demonstrated its capability to classify several Crypto Ransomware Families. Among the identified families, CryptoLocker stands out as a notorious ransomware type known for encrypting user files and demanding cryptocurrency as ransom for a decryption key. CryptoLocker has been a significant threat, causing disruptions and financial losses for individuals and organizations alike. Its modus operandi involves encrypting files on the victim's system, rendering them inaccessible until a ransom is paid, highlighting the urgent need for robust cybersecurity measures.

Another prominent family identified by the model is TeslaCrypt, which gained notoriety for its prevalence on Windows systems. TeslaCrypt, like many ransomware variants, encrypts files on the infected device. However, its impact diminished over time following the release of a master decryption key. This instance showcases the dynamic nature of the ransomware landscape. where external interventions can significantly impact the effectiveness of these malicious campaigns.

Petya represents another classification by the proposed model, and it distinguishes itself by encrypting

## CHAPTER 13

## A Survey of Innovative Machine Learning Approaches in Smart City Applications

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### ABSTRACT

Smart cities are emerging as a response to the growing need for urban housing, with the goal of improving residents' quality of life through the integration of innovative machine learning technology. For these "smart cities" to work, massive amounts of data need to be collected and analyzed for insights. However, due to the various and noisy nature of the data generated, only a small portion of the enormous smart city data that is collected is actually used. The capacity to process massive amounts of noisy, inaccurate data is a hallmark of artificial intelligence and state-of-the-art machine learning. There are numerous significant everyday uses for it, including healthcare, pollution prevention, efficient transportation, improved energy management, and security. Plus, this chapter presents the ideas and evaluations of numerous innovative machine learning algorithms for their particular applications.

## **1. INTRODUCTION**

Innovation and technology are the backbone of a "Smart City," which aims to better the lives of its citizens and the globe at large. Focusing on individuals and their needs, it aims to encourage participation and welcome everyone. Our mission is to create sustainable, resilient, and habitable ecosystems so that communities can flourish. The primary focus of smart cities is on addressing complex urban problems, (Ahmed et al., 2021) particularly those pertaining to society, the economy, and the environment. In order to achieve this goal, we must adopt a more holistic approach to city administration and think creatively about how to handle challenges. The current trend in computer programming toward capabilities similar to human intelligence in domains such as vision, speech recognition, and language processing is referred to as "artificial intelligence" (AI). The objective of AI is to train computers to think more intelligently and solve problems independently, without the need for programming or human oversight. One of the several potential benefits of artificial intelligence is more efficient and precise decision-making across various organizations. Moreover, AI Cugurullo, 2020, has the potential to transform city planning by facilitating the creation of "Smart Cities" that are more efficient, environmentally friendly, and visually beautiful. This is achieved by providing new tools for studying, modeling, and simulating urban processes.

One day, AI might revolutionize urban planning by helping researchers come up with new ways to analyze and model complex urban systems. Traditional urban planning is notoriously inefficient and properto had and model complex aroan systems. Traditional aroan planning is notoriously memorian and profic to oad decision-making due to its reliance on static models and data shortages. On the other hand, a more collaborative, data-driven, and adaptable method of city planning is becoming possible with the advent of AI. Data monitoring and analysis is a crucial component of AI in urban planning. Sensors, IoT devices, social media, and government databases all produce massive amounts of data, which machine learning algorithms can efficiently sort through. Public safety, energy consumption, transportation, waste management, and citizen behavior are just a few of the many aspects of city life that this data covers. Artificial intelligence (AI) integration and analysis of this data Yücel et al., 2022can detect trends, patterns, and issues with the city's operation, revealing crucial insights. Urban planners greatly benefit from artificial intelligence's ability to optimize resource utilization and provide suggestions. Machine learning techniques and data analysis allow artificial intelligence systems to identify inefficiencies and propose solutions. Artificial intelligence paves the way for efficient urban systems, better citizen experiences, and personalized services. Systems driven by artificial intelligence (AI) can examine data on citizens' preferences and actions to propose events, activities, and restaurants based on their unique likes. The different smart city applications displayed in Figure 1In addition to boosting engagement and satisfaction among city dwellers, this contributes to the development of a vibrant urban culture. As seen in Table 1, there are several parts to the smart city system.

#### **Figure 1. Smart City Applications**

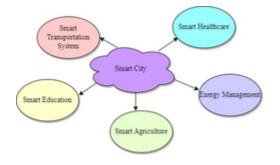


Table 1. Components of Smart City Infrastructure

Components	Infrastructure	
Advanced Transportation	Implementing intelligent transportation systems, such as traffic management, smart parking, and public transit systems with real-time data to reduce congestion and pollution.	
Energy Management	Using smart grids, renewable energy sources, and efficient energy distribution systems to optimize energy consumption and reduce waste.	
Public Safety	Utilizing sensors, cameras, and data analytics to enhance public safety through improved emergency response systems, surveillance, and predictive policing.	
Waste Management	Implementing IoT devices for waste collection and recycling, optimizing routes, and reducing environmental impact.	

Digital Connectivity	Establishing robust communication networks and high-speed internet access for residents, businesses, and municipal services.
Urban Planning	Utilizing data analytics and modeling for better city planning, including infrastructure development, land use, and zoning decisions.
Water Management	Deploying smart systems for monitoring water quality, reducing leaks, and optimizing water distribution.
Governance and Citizen Engagement	Leveraging technology for citizen participation, feedback mechanisms, and transparent governance through digital platforms and apps.

## 2. BACKGROUND AND MOTIVATION

The main objective of this research is to examine how machine learning technologies have contributed to the development of smart cities. Cities are confronted with enormous challenges in effectively managing their resources and enhancing the quality of life for residents as a result of the growing population. In order to tackle these issues, smart technologies are being used more and more by cities to gather and analyze massive amounts of data in real-time. City planners, traffic engineers, and resource allocators can all benefit from the insights provided by machine learning algorithms (Ullah et al., 2023)that study this data. Machine learning has many applications in city living, and this paper looks at a few of them, including transportation, energy management, and public safety.

In a perfect world, a smart city would do double duty by improving residents' quality of life and their economy. The public can benefit from a suite of integrated services while the infrastructure incurs less expense thanks to this. The imminent population expansion in metropolitan regions will necessitate more efficient use of infrastructure and assets, making this an increasingly essential factor. Smart city (Su, 2011) software and services will make these improvements possible, which will improve the quality of life for citizens. Smart city upgrades not only save money for citizens and governments, but they also improve the utilization of existing infrastructure, leading to the creation of new revenue streams and operational savings. A smart city is one that optimizes city functions, boosts economic growth, and enhances people's quality of life through the use of smart technologies and data analysis. What matters more than the sheer amount of technology is how it is utilized. Integration of IoT, (*IoT Devices Installed Base Worldwide 2015-2025* | *Statista*, 2022) machine learning, and automation into smart city technology has numerous possible applications. To illustrate the point, smart parking allows drivers to do things like find available spaces and pay for them digitally using their smartphones.

Smart traffic management also includes optimizing traffic lights and monitoring traffic patterns, and smart city infrastructure can even control ride-sharing services. Automatically turning off lights when no one is around is only one of many energy-saving and environmentally beneficial features of smart cities. Smart grid technologies can improve electricity supply, operations, maintenance, and planning. Smart city projects can assist with environmental concerns such as air pollution and climate change in many ways. For instance, there are garbage cans that are internet-connected and fleet management systems. Smart cities enable not only services but also safety measures, such as the monitoring of crime hotspots or the use of sensors to give early warning of natural disasters such as hurricanes, floods, landslides, or prolonged droughts. In addition, smart buildings can offer real-time feedback and monitoring of construction health,

which helps to identify essential repairs early on. While the system's sensors can identify major infrastructure problems like water pipe leaks, users can also report smaller issues like potholes. Smart city technologies can also improve energy usage, industry, and urban farming. Smart cities are able to provide integrated solutions to their citizens since they are able to connect different services. The success of a smart city depends on the collaboration of the public and private sectors to create and maintain a data-driven environment, which falls outside the jurisdiction of local governments.

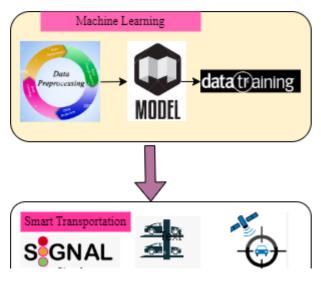
In many real-world contexts, data classification tasks such as disease diagnosis, activity recognition, anomaly detection, text classification, face recognition, electricity consumption prediction, and finding available parking slots are assisted by Support Vector Machine (Kousis & Tjortjis, 2021), one of the most famous supervised learning models. The ant colony (Xu et al., 2022) technique has also found widespread application in the processing of data from smart cities. Classic ant colony algorithms have mostly dealt with one-to-one scenarios, therefore many-to-one scenarios have unfortunately gotten less attention in their research. Smart city applications heavily rely on artificial neural networks. Collectively, ANNs offer a strong foundation for modeling that can manage complex datasets. Recent applications of ANNs include forecasting, regression, and curve-fitting. Neural networks, or ANNs (Band et al., 2022), rely on transfer functions to generate output values. Artificial neural networks (ANNs) are great for handling large datasets since they are simple, cheap, and efficient.

## 3. PROPOSED METHODOLOGY

## 3.1. Smart Transportation System

Pollution from vehicle emissions, traffic, and the value of public transit for the poor and the elderly are just a few of the transportation problems that public-private partnerships, a common component of smart mobility, can help alleviate. Some smart transportation solutions have been around for a while; for instance, public transportation smart cards, electronic toll collection, bike sharing, dynamic pricing for vehicles entering the city, and real-time arrival data from the city's transportation department. The smart Transportation system shown in Fig.2. However, an intelligent transportation system cannot be created by combining numerous separate technologies. It calls for a well-thought-out plan and the coordinated use of numerous intelligent technologies. Cities can accomplish more with less thanks to smart mobility, which helps with resource allocation and helps cut down on wasteful energy and material spending.







## 3.1.1. Vehicles Accidents

Accidents involving vehicles, inflexible traffic grids, bad weather, increasing populations, and inadequate infrastructure are only a few of the numerous causes of traffic congestion. Before the driver is even aware that anything horrible is about to happen, connected cars equipped with sensors can prevent accidents from happening.

## 3.1.2. Traffic Control

In the past, traffic lights would change according to set schedules, regardless of any unanticipated events. Although traffic signal timing windows can vary during the day (for instance, during rush hour on a busy roadway), they seldom alter according to the actual traffic flow. In the very few large cities where such data may be used to adjust traffic light timings, it is often done by hand. Connected to real-time data on traffic flows, smart traffic lights that use AI and machine learning to adjust intersection lights depending on thousands of variables are the future of traffic management.

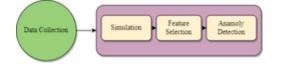
## 3.1.3. Updates on Accidents and Road Conditions as They Happen

Just like traffic, road conditions can cause delays in travel plans. Citizen reporting is a common source for the real-time traffic updates made available by map programs for cellphones. By investing in vehicle-to-infrastructure (V2I) and vehicle-to-vehicle (V2V) technologies, public-private partnerships can improve this data and make it possible for every car to automatically submit information, preventing traffic jams before they happen.

## 3.1.4. Anomaly Detection

A system built on cloud computing and the Machine Learning (can efficiently identify traffic incidents, claim Liang et al. (Liang, 2015). The SVM technique, which was created via the Ant Colony technique (ACA), can be used to identify a traffic calamity. The use of ACA for the SVM parameter range determines the level of precision that can be attained. One kind of Internet of Things sensor utilized in vehicle control is the magneto resistive sensor. The Basic Structure of Anomaly detection shown in Fig.3.

#### Figure 3. Basic Diagram of Anomaly Detection

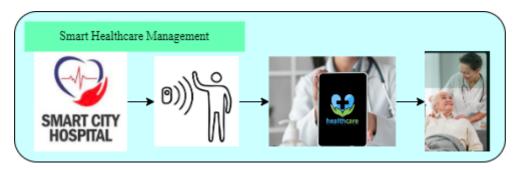


Car behavior, especially how it responds to various road conditions, is monitored by sensor modules. These modules include ones that measure variations in the magnetic field of the road. The trajectory of the vehicle can be ascertained by using information from two different sensors as well as noise from objects and collisions. ("Research Anthology on Machine Learning Techniques, Methods, and Applications," 2022) For testing, we use real-time data, and for training, we use historical traffic data. To generate a decision plan, the algorithm splits the classes "traffic accident" and "no traffic accident."

### 3.2. Smart Healthcare System

Machine learning (ML) has recently played a pivotal role in improving healthcare and simplifying patient data. Thanks to machine learning, (*Smart City Technologies: Transformation of Cities*, n.d.) doctors can now better track patient records, see patterns in health care, and suggest therapies. The Smart Healthcare Shown in Fig.4. By utilizing machine learning, healthcare providers can enhance their decision-making abilities and mitigate medical risks. Machine learning's implementation in the business world is in its infancy, but the health care sector is very solid, so there are lots of chances to specialize in this area and build a career in machine learning. Gathering patient data is essential for machine learning in healthcare. Machine learning algorithms may find patterns in datasets using data sorting and categorization systems and tools, which can help doctors, detect new diseases and forecast how well treatments will work. Data collected from patients in a single healthcare facility is enormous compared to data collected from entire states or countries.

#### Figure 4. Smart Healthcare System



An intriguing possibility to customize health care, increase the accuracy of diagnoses, and discover new solutions to long-standing problems is presented by machine learning, which is made possible by the exponential growth of technology. By teaching computers to draw conclusions and make predictions, machine learning has the potential to improve community health in ways that would be impossible for health care personnel to achieve on their own. Better patient outcomes and new medical insights are the end goals of machine learning. It paves the door for the use of predictive algorithms to verify the validity of doctors' thinking and choices. Take the hypothetical situation where a patient is given a certain prescription by a doctor. So, if machine learning can identify a patient with a comparable medical history who had a positive outcome from the identical treatment, it can validate this treatment plan.

### 3.2.1. Machine Learning in Smart Healthcare System

The primary applications of machine learning in healthcare currently include the automation of administrative tasks like patient records, the discovery of patterns in massive amounts of clinical data, and the development of tools to aid doctors in their work. Some applications of machine learning in healthcare include as follows.

### 3.2.2. Neural Network

A branch of machine learning, neural networks attempt to replicate the way the human brain's neural networks work. These networks go by a variety of names, including artificial neural networks (ANNs) and

sinulated neural networks (SININS). OunZing ANNS ( A Commutee of Neural Networks for Trainc Sign Classification," 2011) in the healthcare industry can result in computer-generated diagnoses that closely resemble those made by humans. The capacity of an ANN to learn from massive datasets is known as deep learning, and it is based on ANNs. One use of deep learning in healthcare is the analysis of magnetic resonance imaging (MRI) and other medical images for the purpose of anomaly detection. The doctor's work is still essential, but this helps them do their jobs better by allowing them to make a diagnosis and begin treating patients faster.

#### 3.2.3. Natural Language Processing

One subfield of machine learning, natural language processing focuses on teaching computers to read, comprehend, and produce written and spoken English. To interact and converse with the computer using natural language processing. Extracting information from doctors' notes is one way that natural language processing is being used in healthcare.

#### 3.2.4. Robotic Automation

As a subfield of machine learning, robotic process automation tries to do mundane but necessary jobs, such data input, in the same way that a human would. To automate these operations, medical organizations and hospitals utilize machine learning. This can allow doctors and hospital administrators more time for what really matters: providing excellent care to patients.

### 3.2.5. Data Fusion Techniques

Machine Learning aims to create a system that facilitates the networking and integration of offline and online objects. IoT uses a huge number of wireless sensor devices to create a plethora of heterogeneous, multi-sourced, sparse, and large datasets. A common first step in streamlining data flow and minimizing data dimensions and size is data fusion. The unprocessed data is then analyzed to extract useful information that can be applied to improve Internet of Things services and offer intelligent services. IoT

# CHAPTER 14

# Securing the IoT System of Smart Cities by Interactive Layered Neuro-Fuzzy Inference Network Classifier With Asymmetric Cryptography

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### ABSTRACT

Smart environments (SE) aim to improve daily comfort in the form of the internet of things (IoT). It starts many everyday services due to its stable and easy-to-use operations. Any real-world SE based on IoT architecture prioritises privacy and security. Internet of things systems are vulnerable to security flaws, affecting SE applications. To identify attacks on IoT smart cities, an IDS based on an iterative layered neuro-fuzzy inference network (ILNFIN) is presented. Initially the TON-IoT dataset was preprocessed, and the sparse wrapper head selection approach isolates attack-related features. The Iterative stacking neuro-fuzzy inference network classifies attacked data from the normal data. The asymmetric prime chaotic Rivest Shamir Adleman technique ensures the secure transmission of non-attacked data. To show the effectiveness of the suggested secure data transfer techniques, the authors compare their experimental results to existing approaches.

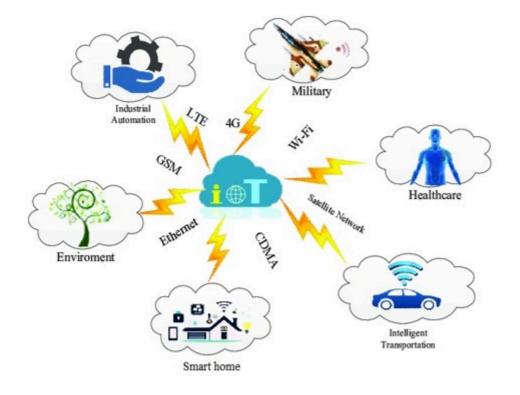
#### **1. INTRODUCTION**

The Internet of Things (IoT) has gained significant popularity in several regions worldwide in recent times. The projected number of Internet of Things (IoT) devices by 2030 is predicted to reach 125 billion, with the current number of linked devices already surpassing 27 billion this year. Smart city apps facilitate the connection between many IoT devices and tangible items in the real world, resulting in a substantial influence on urban life. Administering IoT networks in the future will be a significant challenge due to the

vast quantity of 101 devices spanning many technologies and protocols, including wired/wireless, Satellite/Cellular, Bluetooth/Wi-Fi, and more. As a result, the personal information of citizens is in danger owing to significant cyber security concerns and weaknesses that might be taken advantage of. Irrespective of the user or administrator's awareness, these cyber threats may gain entry to Internet of Things devices. Consequently, smart city applications are susceptible to two primary hazards. Detecting zero-day attacks in a smart city's cloud data centre poses an initial hurdle due to the diverse range of IoT protocols and the potential for large-scale attacks to be concealed inside IoT devices. By using a sophisticated method for detecting cyber-attacks, it is possible to identify IoT malware assaults in advance, hence preventing their impact on a smart city via the IoT networks. At now, Internet of Things (IoT) sensors are gathering the whole of the data that is being sent via the large volume of data currently being processed on cloud servers by the majority of the sensors currently in operation. Conventional intrusion detection systems (IDS) are unsuitable for devices with restricted resources and capabilities (<u>Haseeb et al., 2020; Kolivand et al., 2021;</u> <u>Saba, 2020; Saba, Sadad, Rehman et al, 2021; Yar et al., 2021</u>).

## 1.1 The internet of things (IoT)

Devices are linked to the internet via the Internet of Things in order to exchange information via authorised protocols. Consequently, all information may be retrieved at any given moment and from any place. Microscopic sensors integrated into common objects provide the fundamental infrastructure of an Internet of Things (IoT) network. IoT devices are capable of intercommunication without the need for human intervention. Figure 1 depicts the potential applications of IoT in several domains such as health monitoring, intelligent settings, residential automation, urban infrastructure, and wearable technology.



#### Figure 1. Components of IoT

### **Figure 1: Components of IoT**

Smart cities use IoT-enabled technology communications to optimise operational efficiency, enhance the quality of services offered, and improve the overall quality of life for residents (<u>Al-Hamar et al., 2021</u>; <u>Rehman Khan et al., 2022</u>). With the increasing use of Internet of Things (IoT) technology, there is a rising number of expressed concerns. The issue of IoT security is of utmost importance and requires immediate attention, along with several other concerns. Massive cloud servers are connected to sensors.

Smart cities are vulnerable to attacks due to the presence of Internet of Things (IoT) devices. IoT devices may be accessed from any location via untrusted networks, such as the internet. In other words, the Internet of Things (IoT) is susceptible to a diverse range of risks. <u>Figure 1</u> illustrates the many components of the Internet of Things.

## 1.2 The Smart City

"The smart city's technology, population, and infrastructure are all vital aspects of a city. Cities may be characterized as digital cities, omnipresent cities, or even as smart communities depending on their degree of functionality. Smart cities are those that have high levels of emphasis and operational efficiency in the three areas listed above. Increasing human population is a major problem for governments across the world. There must be no scarcity of resources, and the supply must be maintained to keep up with demand. Additional criteria include a concern for the environment and a desire to save as many resources as possible.

Efforts are made to maintain security standards and regulate traffic congestion on highways using more controlled methods. Numerous Information and Communication Technologies (ICTs) are used in urban development plans in order to enhance quality of life. A healthy and positive connection between government and citizens is fostered through the use of Information and Communication Technology (ICT) in urban services (British Standards Institute 2014). The integration of ICT with urban development has resulted in the creation of intelligent cities.

Smart cities' individual dwellings would be included in the automation system. In a home automation system, many appliances and electrical loads react to human-created stimuli. An android smartphone or a PC may access a single localhost server (<u>Abubakar & Pranggono, 2017; Ajaeiya et al., 2017; Al-Hamar et al., 2021; Rahul et al., 2018</u>).



#### Figure 2: A Smart Home in a Smart City With Intelligent Devices



(Source : Adapted from chase: White paper 2014)

# Figure 2: A smart home in a smart city with intelligent devices

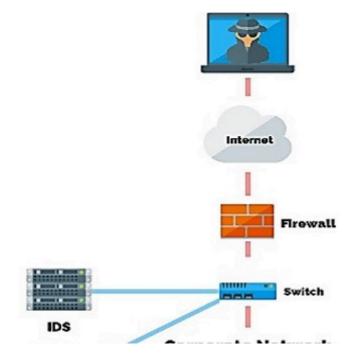
(Source: Adapted from chase: White paper 2014)

An example of a smart home is shown in <u>Figure 2</u>, illustrates just a few instances of IoT's influence on residential, domestic, and commercial settings include home and office products, smart power management systems and floor and building optimization software.

## **1.3 Intrusion Detection System**

The Internet of Things (IoT) breaks down the barrier between the digital and physical world. Despite this, its inevitable expansion in the global economy is challenged by a lack of adequate security management. Physical equipment security, network security, sensor security, and data transmission security all need management. As a result of this, researchers must automate IoT security management operations in order to detect unlawful access and take essential steps at any time. A company's requirements should guide the design of an IoT security management architecture. There are three components of the IoT Security Management System (IoTSMS), which are: the IoT reference model, the Layered functional architecture, and the Security Management information base (Abubakar & Pranggono, 2017).

#### Figure 3. An Intrusion Detection System (IDS) Model





## Figure 3: An Intrusion Detection System (IDS) Model

Correct Intrusion Detection Systems (IDS) can safeguard data against unauthorised access, tampering, and dissemination. It is important to notify clients in advance when their software and technologies are nearing expiration and need updating. This will ensure that they are aware of the necessity to safeguard IoT devices and systems (Kapitonov et al., 2017; Li et al., 2019). Periodic password resets may be used to deter users from using the same password for an extended duration. To ensure the system's security from physical and cryptographic threats, it is essential that only important functions be accessible remotely. IDS equipment are used to monitor IoT networks and provide defence against intruders or attackers, as seen in Figure 3.

When confronted with attacks such as the ones mentioned before, an Intrusion Detection System is a valuable asset to possess. It is often regarded as a supplementary security measure used when other security approaches, such as encryption or Access Control (AC), fail to detect potential threats. The Intrusion Detection System (IDS) has the capability to identify abnormal patterns of activity that suggest the ongoing occurrence of the attacks. Mistreatment-based detection approaches use predetermined patterns of harmful exploits to identify intrusions. An intrusion detection system (IDS) that does not take into account the problems of communication overhead and traffic analysis is thus not as desirable as other IDS options. Probing attacks, DoS attacks, U2R attacks, and R2L attacks are all instances of data connections that may be classified into two categories: standard or invasive.

## **1.4 Attack Detection**

Presently, the predominant emphasis of research lies on Network Intrusion Detection Systems (NIDS). The classification method may use the retrieved unique features as an input. It involves the aggregation and prediction performance of many machine learning or deep learning algorithms. Emerging risks often emerge due to the operation of IoT devices within an embedded and interconnected environment. Moreover, due to the constant lack of supervision, IoT devices are susceptible to unauthorised intrusion by malevolent individuals. Given that IoT devices are often linked to cellular networks (Saba, Sadad, Rehman et al, 2021), it is possible for eavesdropping to intercept confidential information stored on the contact platform. In addition to these security challenges, IoT devices are unable to maintain complex security measures owing to their constrained energy and processing capabilities. In order to enhance the security of IoT applications against cyber-attacks, it is necessary to implement an additional layer of protection inside IoT networks. AI-based solutions have lately acquired legitimacy as a prevalent framework for detecting network assaults, particularly in IoT networks. It is important to record and examine data from IoT sensors and network traffic in order to identify and understand typical trends. When an individual's conduct diverges from the norm, it indicates irregular behaviour. These methodologies have also been shown to

forecast upcoming dangers, creating a collection of IoT interfaces and network security standards. Therefore, in this study, the Iterative Stacked Neuro-Fuzzy Inference network was used to identify assaults.

### 1.5 Secured Data Transmission in IoT

The security of IoT has always been a significant apprehension, ever since the inception of the Internet of Things, ranking it as one of the top five security threats in 2015. To effectively address IoT security problems and fully harness the many benefits of IoT for global expansion, it is essential to adopt a collaborative approach to security when building efficient and appropriate solutions. Given the increasing prevalence of the Internet of Things (IoT), it is crucial to acknowledge that IoT security cannot be simplified into a simple option between being safe or insecure. Alternatively, it is more prudent to consider the security of Internet of Things in relation to a spectrum of potential weaknesses in devices. With the expansion of the Internet of Things, the potential vulnerabilities that might be exploited are also increasing.

A major security concern in IoT devices is the disclosure of sensitive information, which can lead to a breach of traditional security measures. If users lack confidence in the security of their connected devices, such as smart TVs, phones, and home appliances, and fear that their information may be misused or compromised, it will result in a loss of trust and reluctance to adopt IoT technology worldwide. Due to the interconnected and diverse nature of IoT devices, security breaches can occur when malicious individuals gain access to these devices and intercept, eavesdrop on, or modify confidential information. The majority of the paper focuses on a security model that utilises a cryptographic approach to address the issue of data confidentiality loss in the Internet of Things. The security of confidential information and data is ensured by using the technique of encryption to safeguard it from unauthorised access by hackers. Cryptography is the scientific discipline concerned with devising cyphers to encrypt communications and information in a way that ensures only the intended receivers can decipher and comprehend it. All Internet of Things (IoT) devices are categorised as sensors due to their ability to gather data from their environment and transmit it to a central server located at home. The security model discussed before may be divided into the following two sections: IoT devices possess inherent hardware limitations, including restricted memory, limitations on battery power, and limited computational capabilities. Consequently, they are not efficient in executing computationally intensive and intricate encryption algorithms during the data transmission phase between the sensor node and the authentication/home server.

During the data transmission phase between home servers and cloud services, secure encryption methods like Advanced Encryption Standard (AES) and Data Encryption Standard (DES) are used. The current research aims to solve the worry of data confidentiality in the Internet of Things (IoT) by using enhanced two-level security measures. Optimal security in smart settings is attained by the simultaneous implementation of cryptography and threat detection methods. Utilising an encryption method may attract malicious individuals to decipher sensitive information that is encoded in a textual format (cyphers), given that they possess sufficient time or computational resources. This is the rationale behind this proposal. The inherent incapacity of cryptography to conceal confidential information from malicious users or adversaries is undeniably evident. In order to guarantee the secure and reliable transfer of data in the Internet of Things (IoT), it is advisable to include an intrusion detection system into the existing cryptographic technology. Therefore, the inclusion of the asymmetric prime chaotic Rivest Shamir Adleman technique provides an extra layer of security to the work.

Hence the overall main contributions of this research are detailed as follows:

(a) A deep-learning based approach with current databases is employed to categorize the attacks(b) A cryptographic asymmetric prime chaotic Rivest Shamir Adleman technique is introduced for the network's approved users to get the data securely

(c) A basis for incorporating IDS into an cryptographic method for the IoT-based system as an application is proposed.

## 2. RELATED WORKS

Multiple publications are examining the feasibility of using conventional machine learning methods for the purpose of detecting distributed denial of service attacks. In <u>Yasin et al. (2010)</u>, a detailed and systematic approach is presented for identifying DDoS attacks using the same architecture. The system employs Artificial Intelligence methodologies such as Random Forest and XGBoost classification approaches to detect and predict the many forms of DDoS assaults. Additionally, it formulates a defensive plan to mitigate the risk of DDoS assaults being magnified on the smart grid. The research suggests using an information-centric design technique known as iCAD to mitigate DoS/DDoS assaults on the smart grid (<u>Haseeb et al., 2022</u>).

A hybrid detection system is being developed to identify potentially hostile behaviours in the Cyber layer of a standard power grid, such as DDoS and FDI. Quantum technology is a globally encompassing discipline, characterised by a substantial body of literature. An inquiry into the first quantum encounters of optimisation, modelling, communication, and machine learning (Zhao et al., 2019). The present literature encompasses research on quality control in smart grids. To accommodate researchers with diverse scientific backgrounds, including those without a physics background, a web-based tool is available in QS. This tool aims to assist in the application of QSVM to various real-world classification difficulties. This is due to the need for highly qualified and talented individuals in the age of quality control (Haseeb et al., 2019).

Many individuals believe that cryptography is associated with clandestine operatives who transmit information using enigmatic symbols that are incomprehensible to both the sender and the receiver. As a result, many people are frequently oblivious of the profound influence that cryptography has on the world and their everyday existence. Cryptography is classified as a subfield within the domains of computer science and mathematics (Haseeb, Ud Din, Almogren et al, 2021). The cryptosystem might be either symmetric or asymmetric. Therefore, an alternative term for asymmetric cryptosystems is public key cryptosystem. The absence of adequate security measures for those seeking to safeguard their data or communications related to secret key transfers has always been a problem within asymmetric cryptographic systems. The problem is remedied by using public key cryptosystems, which use cryptographic algorithms such as the aforementioned DES and the far more robust RSA, to produce both the secret key and the private key (Abdulaziz et al., 2020).

The public secret comprises a cryptographic key to an encrypted database and the sum of the two fundamental integers. At that point, Ron Rivest devised a straightforward algorithm that may have facilitated the exploitation of the private key and the decryption of communications by another individual. The plaintext would be converted into a decrypted text by using the equation that includes the large product (<u>Abbasi et al., 2018</u>). Finally, Ron Rivest provided the decryption key, which could only be decoded by using the first two integer numbers. This was achieved by using a technique devised by Euclid, the eminent mathematician (<u>Abbasi et al., 2019</u>).

The key management was controlled using a comprehensive delegation approach based on Hierarchical Attribute-Based Encryption. Subsequently, the cloud server's processing burden is alleviated by the use of signature construction and partial decryption techniques. However, the degree of data secrecy is not taken into account. Implemented a cutting-edge security framework that integrates proxy signature and proxy reencryption to enable dynamic sharing of safety groups. This architectural implementation enhances the security of cloud servers by safeguarding the data from potential attackers (Deepika & Poonam, 2017). The group leader grants the privilege of group administration to the selected group using the proxy signature mechanism. Subsequently, using cloud servers, the group is engaged in negotiations and the group key pairs are refreshed. Proxy re-encryption enhances security while reducing calculation time, but it does not provide enough confidentiality (Shashi et al., 2015). IoT networks have distinct security difficulties compared to traditional computer systems for several reasons. To begin with, IoT systems exhibit a high degree of complexity in terms of processors, platforms, communication techniques, and protocols. Furthermore, in order to link physical entities, IoT systems use a combination of Internet-connected modules and control devices. Furthermore, the boundaries of IoT schemes are not firmly fixed and often change as a result of the adaptability of people and computers. Furthermore, individuals may face physical risks due to the presence of IoT constructions or their components. Furthermore, the scarcity of resources renders the integration of sophisticated security approaches and applications unfeasible on IoT devices. Due to the rapid growth of IoT-based computers, these networks are susceptible to privacy and security breaches (Kolivand et al., 2021; Rehman Khan et al., 2022).

Various tools and apps have been developed to address network assaults by using machine-learning and deep-learning approaches to identify irregularities in the IoT environment. Various cutting-edge approaches for categorising these abnormalities utilising machine learning methodologies in the IoT framework have been documented in the literature. However, a small number of individuals have used deep learning techniques for the same purpose. Deep learning techniques have shown their superiority in pattern recognition, making them the most advanced way for detecting and classifying inputs in an Internet of Things (IoT) system as either true or false. There are four primary forms of intrusion detection attacks: signature-based approaches, specification-based methods, anomaly-based tactics, and mixed strategies (Saba, 2020). Signature-based methods start by searching for correlations between a collection of network data and a functional database. If the scanned data matches the signature record, it will be deemed unlawful. It is advantageous to accurately ascertain the specific sort of assault. This project requires little labour and has limited demand. They promote the practice of predefining rules and thresholds for machine administrators. The same regulations will be adhered to. IDS is capable of identifying the present condition of both the system and the network. Once the threshold is surpassed or the rules are breached, the Intrusion Detection System (IDS) will promptly identify an anomalous condition and respond accordingly (Rehman Khan et al., 2022).

Anomaly-based strategies seek to discern between abnormal and normal events. The primary benefit of using this approach is the ability to identify possibly novel incursions. Nevertheless, it is susceptible to false positives, which is its only drawback. Presently, researchers are examining machine learning techniques in order to enhance the banefits of anomaly based intrusion detection approaches. Machine

learning algorithms may analyse ongoing activity and compare it to established patterns of infiltration in order to detect prospective assaults utilising anomaly-based intrusion detection approaches. A hybrid method involves the use of various recognition techniques within a single scheme. This technique would eradicate the existing constraints of a solitary mechanism and enhance the general robustness of the IoT approach. The fully formed IDS, however, would be very voluminous and intricate. The method will increase in complexity, necessitating a greater amount of cash. Furthermore, the process of intrusion detection may be both time-consuming and costly, mostly owing to the involvement of several protocols (Al-Hamar et al., 2021). Rahul et al. (2018) devised an intrusion detection system (IDS) based on anomalies, which operates on conventional networks. The model is trained and evaluated using the KDDCup99 dataset. The suggested method has a commendable accuracy rate of 95% and is highly recommended for adoption. Nevertheless, the researchers use the KDDCup99 dataset, which is characterised by its lack of uniform data and limited number of unique entries, hence posing difficulties in obtaining dependable conclusions.

<u>Ajaeiya et al. (2017)</u> proposed the usage of anomaly-based Intrusion Detection Systems (IDS) that only rely on network functioning. The R-tree approach demonstrates superior performance compared to other machine learning models, with a true positive rate of 99.5 percent and a false-positive rate of 0.001 percent. Their findings demonstrated the efficacy of mathematical methods such as Random Forest. Conversely, their dataset does not provoke any doubts about its authenticity. <u>Abubakar and Pranggono</u> (2017) introduced a tool for identification that is compatible with Software-Defined Networking (SDN). Their identification system consisted of two types of identification methods: a signature-based identification and an anomaly-based identification. Both methods were trained and evaluated using the NSLKDD dataset. The detection accuracy exceeds 97.4%. However, intrusions identified just by anomaly detection cannot be differentiated from those detected using signature detection.

A protocol was proposed by <u>Kapitonov et al. (2017)</u> that utilises blockchain technology to enable peer-topeer communication in linked networks. The protocol guarantees the security of the communication mechanism and handles fluctuations in operational conditions. Presently, academics are investigating the transformation of blockchain into a multiagent system.

Li et al. (2019) proposed an improved technique for collecting characteristics from IoT data in order to identify Intrusion Detection Systems (IDS) for smart cities. This approach uses deep migrating learning. According to them, their approach aims to make up for the absence of a suitable training set. In addition, they said that their methodology achieved superior detection rates at high levels of performance compared to traditional techniques, while also substantially reducing clustering time.

<u>Yar et al. (2021)</u> proposed a novel intrusion prevention strategy tailored for IoT systems that have constrained resources. Consequently, intrusion prevention is off for both IoT devices and the edge router. IoT devices serve as IDS nodes for the purpose of examining network traffic. The host router node only receives unprocessed packets containing sensitive information. <u>Al-Hamar et al. (2021)</u> introduced a threelayer IDS design to address the issue of real-time destructive behaviour in household IoT devices. The protective layers within this architecture establish intrusion detection for IoT systems by analysing their typical or anomalous behaviour.

## 2.1. Risks in the Internet of Things (IoT)

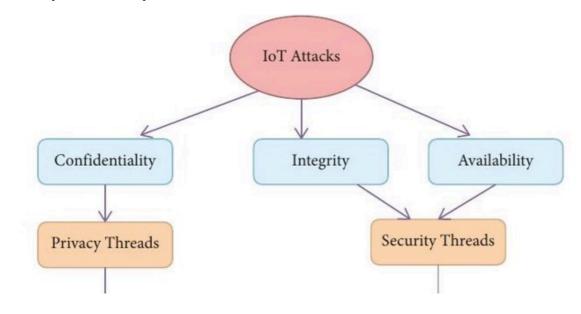
IoT refers to a diverse collection of sensing devices that link to each other over the Internet (<u>Saba, Haseeb,</u> <u>Shah et al, 2021</u>). The threads related to IoT are distinct from traditional networks due to their constrained processing capacity and memory. In addition, IoT devices make use of unsecured wireless communication protocols such as 802.15.4, LoRa, ZigBee, and 802.11ac. In addition, IoT devices suffer from the absence of standardised operating systems, varying formats, and application-specific capabilities, making it challenging to establish a uniform security protocol (<u>Elhoseny et al., 2021</u>). These deficiencies give rise to a multitude of security and privacy risks.

Furthermore, the interconnected Internet of Things (IoT) gadgets often come from different vendors, necessitating a dependable solution to serve as a bridge (Abbasi et al., 2018). Multiple research studies have emphasised the problem of software upgrades for billions of Internet of Things (IoT) devices (Abbasi et al., 2019). Hence, it is crucial to identify and address potential risks and difficulties linked to IoT-based systems when developing and executing security protocols for IoT devices. The Internet Engineering Task Force (IETF) has acknowledged many risks to the Internet of Things (IoT), including man-in-the-middle (MiTM) attacks, Denial of Service (DoS) assaults, firmware replacement with malicious code, privacy concerns, and eavesdropping attacks (Islam et al., 2021).

The fundamental concepts of security and privacy revolve on the network's availability, the confidentiality of data, and the integrity of data. Unauthorised access to data may lead to a violation of availability, confidentiality, or integrity. Hence, the issue of privacy danger pertains to the safeguarding of data privacy, while security strands impact data integrity and network availability. <u>Figure 1</u> depicts several privacy and security risks linked to Internet of Things (IoT) devices.

### 2.2. Security Threats

2.2.1. Denial of Service (DoS). A Denial of Service (DoS) attack is a prevalent and fundamental method of exploiting security vulnerabilities that may be used to target an Internet of Things (IoT) device. The low-security characteristics found in many IoT devices make DoS attacks a favoured technique among attackers. A Denial of Service (DoS) assault occurs when malicious actors gain control to make a device unavailable.



#### Figure 4. Privacy and Security of IoT Threats

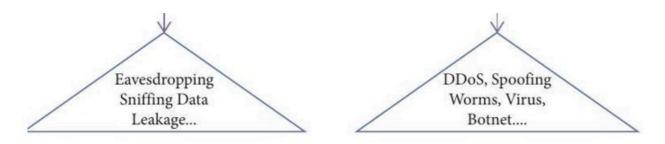


Figure 4: Privacy and security of IoT threats.

The primary objective of a Denial of Service (DoS) assault is to disrupt the functioning of a network by inundating it with unauthorised requests. The more sophisticated variant of the DOS is known as Distributed Denial of Service (DDoS), when several assaults are directed on a single target (<u>Haseeb</u>, <u>Ahmad</u>, <u>Awan et al</u>, 2021). Various types of DDoS assaults are used, although they all have a common purpose. The most prevalent sort of attack in an IoT network is a Botnet attack (<u>Yasin et al., 2010</u>).

#### 2.2.2. Man-in-the-Middle (MiTM) Attack

These attack methodologies are considered antiquated in the realm of cybersecurity (<u>Haseeb et al., 2022</u>). Sybil attacks, message tampering, and spoofing may all be categorised as Man-in-the-Middle (MiTM) attacks. IP spoofing, DNS spoofing, ARP spoofing, and HTTPS spoofing are prevalent forms of spoofing attacks.

### 2.2.3. Malicious Software

Malicious software is sometimes referred to as malware. It may be found in several forms such as trojan horse, worm, spyware, virus, malvertising, or rootkit (Zhao et al., 2019). Healthcare gadgets, vehicle sensors, and smart home items are among the instances that have been affected by malware.

## 2.3. Risks to Privacy

The users and their data stored in IoT devices are vulnerable to inference attacks, sniffing, and deanonymization.

### 2.3.1. Man-in-the-Middle (MiTM) Attack

There are two distinct forms of MITM attacks: active and passive. The passive Man-in-the-Middle (MiTM) attacks covertly intercept the transmission of data between two devices. This assault does not alter the data but just breaches privacy. Upon gaining access to a device, an unauthorised individual might quietly observe for a few days before initiating an assault. The proliferation of Internet of Things (IoT) gadgets, including smartphones, toys, and wristwatches, has led to a significant rise in passive Man-in-the-Middle (MiTM) attacks, namely in the form of sniffing and eavesdropping. In addition, active Man-in-the-Middle (MiTM) assaults are capable of causing damage to the data. For instance, a client will establish communication with the server, potentially interacting with the Man-in-the-Middle (MiTM) attacker, who is impersonating the server, as seen in Figure 2. 2.3.2. Data Privacy. The subject matter encompasses issues such as data leakage (Haseeb et al., 2019), identity theft, data manipulation, and reidentification

(<u>Haseeb, Ud Din, Almogren et al, 2021</u>). Data tampering refers to the deliberate modification of data, and it may be classified as an active breach of data privacy. Data leaking and reidentification exemplify passive assaults on data privacy.

#### Figure 5. Example of MiTM Attack

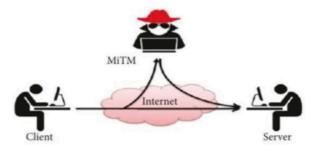


Figure 5: Example of MiTM attack

In summary, an IoT-based system lacks complete security since it enables individuals to effortlessly access their data. However, it creates an unsafe environment that allows attackers to get access to any network section. Figure 3 illustrates many methods by which IoT-based systems might be compromised, presenting various types of dangers. Hence, it is important for users to possess knowledge of these security vulnerabilities in order to safeguard themselves from cyber assaults. Diverse strategies are used to mitigate cyber risks. Recently, a method based on artificial intelligence has been used to categorise network traffic in a big configuration.

## 3. RESEARCH GAP

This chapter explores the implementation of intrusion detection systems in a cloud computing environment, using a range of methodologies including signature-based, anomaly-based, host-based, network-based, hypervisor-based, distributed, and machine learning-based systems. The research gaps and constraints of an intrusion detection system based on cloud computing are as follows:

• The standard Intrusion Detection System (IDS) is not suitable for identifying suspicious or malicious activity in the cloud environment. The efficacy of conventional intrusion detection systems

in mitigating and managing substantial hostile assaults in the context of the dispersed nature of cloud computing infrastructures.

• All virtual computers use conventional techniques to detect network intrusion. However, the majority of the jobs become laborious and monotonous.

• Virtual machines launched by individuals may execute attacks that are susceptible to host handling. To enhance network security, a sophisticated network attack detection system is implemented by diverting the individual intrusion detection system of each virtual machine via a host.

• Anomaly and signature-based intrusion detection methods do not provide effective and resilient outcomes. The anomaly-based intrusion detection system exhibited a significant percentage of false positives in cases when signature-based intrusion detection systems were unable to identify unknown threats. The intrusion detection system is effectively impl • emented by the cloud service provider due to the dispersed, scalable, and dynamic nature of the cloud architecture.

In the context of intrusion detection, the majority of studies need a longer duration to carry out the procedure.

• Increased data transport expenses pose a significant challenge in cloud computing. Researchers seldom focus on these domains in order to mitigate network vulnerabilities.

## 4. PROPOSED SYSTEM

Data protection is one of most prominent problems in IoT environment. As a result, in this research work, an appropriate intrusion detection approach was proposed. The intruder detection technique of the suggested method is depicted in Figure 6.

#### Figure 6. Suggested Architecture for Secure Data Transmission

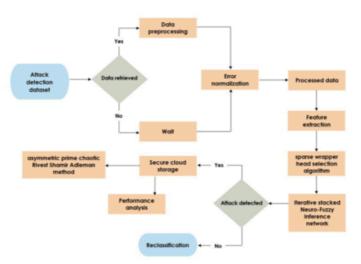


Figure 6: Suggested architecture for secure data transmission

#### 4.1 Dataset

TON-IoT is a revised and enhanced iteration of KDD99. Furthermore, the KDD 99 dataset has redundancy, making it suitable for addressing and resolving them. This dataset may also be used to detect intrusions in the big data of the smart city. Typically, it demonstrates 24 distinct forms of assaults, categorised into four classes: Remote to Local (R2L), Denial of Service (DoS), User to Root (U2R), and probing attack.

#### 4.2 Preprocessing

The normalisation approach may be used to forecast the errors of a dynamical system. Data records are used in this process to calculate values. The stages of this approach are computed using mathematical calculations, resulting in a "forward" process. The model and data may be represented as

$$H_{k+1} = \phi_k H_k + B_k u_k + w_k$$
  
$$y_k = H_k Y_k + v_k \qquad (1)$$

The internal state of the system is denoted by H, whereas u is the input, and y is the observed output. When v is the measurement error and w is the process error. The matrix of transitions is, while the matrix of measurements is H.

The filter generates the prior estimates during the time update phase, namely the state estimate  $\overline{H}$  and the state covariance matrix  $\overline{P}$ .

$$\overline{H}_{k} = \phi_{k-1} H_{k-1} + B_{k-1} u_{k-1}$$

$$\overline{P}_{k} = \phi_{k-1} P_{k-1} \phi_{k-1}^{T} + Q_{k-1}$$
(2)

Next, the phase measure update is conducted to determine the posterior estimate x and p. The equations may be expressed in the following manner.

$$K_{k} = \overline{P}_{k} H_{k}^{T} \left( H_{k} \overline{P}_{k} H_{k}^{T} + R_{k} \right)^{-1}$$

$$H_{k} = K_{k} \left( y_{k} - H_{k} H_{k} \right)$$

$$P_{k} = \left( I - K_{k} H_{k} \right) \overline{P}_{k}$$
(3)

During this particular step, the estimate that has been smoothed  $H_*$  and  $P_*$  as,

$$C_{k} = P_{k} \phi_{k} \overline{P}_{k+1}^{-1}$$

$$H_{k} = x_{k} + C_{k} H_{k+1} - H_{k+1}$$

$$P_{k} = P_{k} + C_{k} P_{k+1} - \overline{P}_{k+1} C_{k}^{T}$$
(4)

The use of the suggested smoothing procedure has clearly led to a decrease in the presence of disturbances in the filtered data.

#### **4.3 Feature Extraction**

In the context of a suggested method for selecting a sparse wrapper head, a vector  $v^-$  is constructed, which contains N high-dimensional points  $\frac{z_1}{z_2} \cdots \frac{z_n}{z_n}$ . The Euclidean distances between any two points  $\frac{z_1}{z_1}$  and  $\frac{z_n}{z_n}$  inside the vector  $v^-$  are calculated to determine a conditional probability  $P_{-}(j|i)$ . The conditional probability functions as a metric of similarity between the point  $\frac{z_n}{z_n}$  and the point  $\frac{z_n}{z_n}$ . The conditional probability  $\frac{P_{+}}{z_n}$  represents the likelihood of point  $\frac{z_n}{z_n}$  selecting  $\frac{z_n}{z_n}$  as its neighbour, given that the probability density of the characteristics follows a normal distribution (Gaussian) centred at  $\frac{z_n}{z_n}$ . Hence, the conditional probability experiences an augmentation when taking into account adjacent data points, but for data points that are far,  $\frac{P_{+}}{z_n}$  becomes almost insignificant. The conditional probability  $\frac{P_{+}}{z_n}$  may be represented mathematically as follows:

$$p_{ji} = \frac{\exp(-z_{i} - |z_{j2}|/2\sigma_{i}|^{2})}{\sum_{ixi} \exp(-z_{i} - |z_{j}|^{2}/2\sigma_{i}|^{2})}$$
(5)

where  $\sigma_i$  is the mean of the Gaussian distribution centred at the position  $x_i$ . The likelihood of a point being next to itself is 0, since the approach primarily aims to depict pairwise similarities  $P_{ij} = 0$ .

A conditional probability,  $q_{ij}$  may be derived to characterise the similarities between the map feature points  $y_i$  and  $y_j$ , which are the low-dimensional analogues of the high-dimensional  $z_i$  and  $z_j$ , respectively.

$$q_{jk} = \frac{\exp\left(-z_{j} - z_{j2}\right)}{\sum_{k \neq i} \exp\left(-z_{i} - z_{j}^{2}\right)}$$
(6)

Since this method is solely interested in simulating pairwise similarities, the conditional probability  $q_{\downarrow}$  is similarly zero ( $q_{\downarrow}=0$ ).

In order to obtain a low-dimensional representation of the data that minimises the discrepancies between  $p_{\#}$  and  $q_{\#}$ , the dimensionality reduction mapping is performed. The gradient descent technique is used frequently in sparse wrapper to achieve this for a certain cost function C, such that:

$$C = \sum_{i} KL(P_{i} \parallel Q_{i}) = \sum_{i} \sum_{j} p_{ji} \log \frac{p_{ij}}{q_{ij}}$$
(7)

The Kullback-Leibler divergence function of  $P_i \parallel Q_i$  is denoted by  $KL(P_i \parallel Q_i)$ . The Kullback-Leibler divergence, denoted as  $KL(P_i \parallel Q_i)$ , is the distance between two discrete probability distributions  $P_i$  and  $Q_i$ , and it is defined as,

$$KL(P_i \parallel Q_i) = -\sum_{z \in X} P_i(z) \log \frac{Q_i(z)}{P_i(z)}$$
(8)

The expectation of the logarithmic difference between  $P_{\epsilon}$  and  $Q_{\epsilon}$  is given by Equation (9) above. Any random continuous variable x in  $P_{\epsilon}$  and  $Q_{\epsilon}$  may be treated in the same way.

$$KL\left(P_{i} \parallel Q_{i}\right) = \int_{-\infty}^{\infty} p_{\mathbf{p}_{i}}\left(z\right) \log \frac{p_{\mathbf{p}_{i}}\left(z\right)}{q_{\mathbf{p}_{i}}\left(z\right)} dz$$
(9)

where  $p_{ij}$  and  $q_{ij}$  are the distributions of likelihoods of  $P_i$  and  $Q_i$ .

The Kullback-Leibler divergence function may be rewritten as when  $P_i$  and  $Q_i$  are evaluated over continuous sets X and P.

$$KL(P_i \parallel Q_i) = \int \log \frac{dP_i}{dQ_i} dP_i$$
(10)

 $d_{i}$ 

where  $\frac{dQ_i}{dQ_i}$  in (7) is a derivative of Radon and Nikolayevich Nikodym of  $P_i$  with respect to  $Q_i$ .

By using the chain rule for factorization, we can rewrite (10) as:

$$KL(P_i \parallel Q_i) = \int_{X} \log \frac{dP_i}{dQ_i} \frac{dP_i}{dQ_i} \frac{dQ_i}{dQ_i} dQ_i$$
(11)

It is commonly agreed that the entropy of  $P_i$  with respect to  $Q_i$  is given by the preceding equation.

If we have two absolutely continuous probability densities  $p_{ij}$  and  $q_{ij}$  such that  $p_{ij} = \frac{dP_i}{d\mu}$  and  $q_{ij} = \frac{dQ_i}{d\mu}$ , then the Kullback-Leibler divergence from  $Q_i$  to  $P_i$  is given by for every measure on the set z.

$$KL(P_i \parallel Q_i) = \int \log \frac{p_{j_i}}{q_{j_i}} d\mu$$
(12)

To minimise the cost function in equation (13), a recursive gradient descent process is used using the following form:

$$\frac{\delta C}{\delta y_i} = 2\sum_j \left( p_{j|i} - q_{j|i} + p_{i|j} - q_{i|j} \right) \left( z_i - z_j \right)$$
(13)

<sup>2</sup>, and <sup>2</sup>, are located at different coordinates on a map.

To continuously update the coordinates of the map at each iteration, the factorised gradient is combined with an exponentially declining sum of previous gradients. This update is governed by the provided formula.

$$z^{t} = z^{(t-1)} + \beta \frac{\delta C}{\delta y_{i}} + \alpha \left( t \right) \left( z^{(t-1)} - z^{(t-2)} \right)$$
(14)

where  $z^{t}$  represents the gradient value at iteration t is denoted as  $\beta$ , the learning rate is represented by  $\alpha$ , and  $\alpha(t)$  Momentum is a significant element that is added to the gradient to improve the detection of local minima.

It is important to emphasise that the computational cost of Non-Negative Matrix Factorization is  $O(N^2)$ . However, the use of the cost function for selecting a sparse wrapper head is limited since it increases quadratically as the number of objects N increases. Hence, it is only appropriate for datasets that consist of a limited number of input items, usually ranging from a few thousand. As datasets get larger, it is expected that the learning process would slow down, while the memory requirements will rise.

## 4.4 Attack Detection

In this section for attack detection Iterative stacked Neuro-Fuzzy Interence network was, we detail the mathematical formulation for the proposed method. Let the confidence scores for C number of classes given by base learner i are  $(P_1^i, P_2^i, P_3^i, ..., P_c^i)$ , here i = 1,2,3. At first, we accumulate all the confidence scores obtained from each of the base learners. As  $(P_1^i, P_2^i, P_3^i, ..., P_c^i)$  represent probabilities, essentially it will follow Eq. (15).

$$\sum_{k=1}^{\ell} P_k^i = 1, \boxtimes i = 1, 2, 3$$
(15)

and  $(R_{i}^{\varsigma}, R_{i}^{\varsigma}, R_{i}^{\varsigma}, \dots, R_{o}^{\varsigma})$  are fuzzy ranks generated by using the two

non-linear functions.

Let  $\left(R_1^{i_1}, R_2^{i_1}, R_3^{i_1}, \dots, R_{\mathcal{O}}^{i_{\ell}}\right)$ 

Tunetions.

The fuzzy ranks are calculated by Eqs.15.

$$R_{k}^{i_{1}} = 1 - \tanh \frac{\left(P_{k}^{i} - 1\right)^{2}}{2}$$

$$R_{k}^{i_{1}} = 1 - \exp \left(\frac{\left(P_{k}^{i} - 1\right)^{2}}{2}\right)$$
(16)

The domain of definition for the functions calculating non-linear rankings will be  $\frac{P_i \cdot e}{P_i \cdot e}$  as  $\frac{P_i \cdot e}{P_i \cdot e}$ .

Equation (16) provides a reward for a classification. If x approaches 1, then the value of Eq. (16) increases i.e., the amount of reward increases. Conversely for Eq. (17), when we calculate deviation from 1, i.e., if x approaches 0, the deviation will be more.

Let 
$$(RS_{1}^{t}, RS_{2}^{t}, RS_{3}^{t}, ..., RS_{c}^{t})$$
 be the fused rank scores, where  $RS_{4}^{t}$  is given by Eq. (16).

 $RS_{i}^{i} = R_{1}^{i} \cdot R_{1}^{i} \qquad (17)$ 

1.  $exp\left[\frac{(x-1)^2}{2}\right]$  The function is concave downward across its domain of definition, which is [0,1], for this research. Given the worry over the negative aspect of this function, it may be concluded that it is concave upward. Due to its negative slope inside the range of 0 to 1, the output rank score will attempt to go closer to 1.

2.  $\frac{\tanh \frac{x-1}{2}}{2}$  The function is convex in its domain of definition [0,1] for this research. Given the worry about the negative aspect of this function, it will exhibit concavity in a downward direction. Due to its upward slope inside the interval [0,1], the output rank score will tend to go closer to 0.

The rank score is calculated by multiplying the reward and deviation for a certain confidence level acquired from a base learner. Since the range of Equation (17) is less than the range of Equation (16), the behaviour of the product will be determined by Equation (17). A smaller divergence derived from the confidence score corresponds to a lower rank score. Ultimately, the only factor that has to be considered when computing the fused scores is the rank scores. The  $RS_{\bullet}^{t}$  represents the confidence level towards a certain class, which is determined by multiplying the fuzzy rankings provided by two distinct kinds of functions.

Now the fused score tuple is  $(DS_1, DS_1, DS_3, ..., DS_c)$ 

$$DS_k = \sum_{i=1}^{L} RS_k^i, \boxtimes k = 1, 2, ..., C$$
  
(18)

The fused score is the ultimate score associated with each assault class. Next, we choose the class with the lowest fused score and designate it as the victor using Equation (6). The computational complexity of the fusion technique is determined by the number of classes, and may be expressed as O (number of classes).

 $\operatorname{class}(I) = \min_{\mathsf{N}k} DS_k \tag{19}$ 

By analysing the plot of the product of two rank generating functions, it becomes evident that the final rank falls as the confidence (probability) score increases. This observation serves as evidence of the validity of the analysis.

#### 4.5 Secure Data Transmission

The asymmetric prime chaotic Rivest Shamir Adleman method algorithm can be used for both key exchange and digital signatures. Although employed with numbers using hundreds of digits, the mathematics behind asymmetric prime chaotic Rivest Shamir Adleman method is relatively straight-forward. To create an asymmetric prime chaotic Rivest Shamir Adleman method public and private key pair, the following steps can be used:

i. Choose two prime numbers, p and q. From these numbers you can calculate the modulus, n = ABii. Choose a third integer, e, that is coprime with (i.e. it has no common factors with) the product. (A-1)(-1), the number e is the public exponent.

iii. Calculate an integer d from the quotient  $\frac{(ed-1)}{(A-1)(B-1)}$ . The number d is the private exponent. iv. The public key consists of a numerical pair represented as (n,e). While these numbers are widely accessible, it is almost impossible to calculate the value of d using n and e if A and B are sufficiently big.

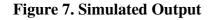
v. To encrypt a message, M, with the public key, creates the cipher-text, C, using the equation:  $C = M^{t} Modn$ 

vi. The receiver then decrypts the cipher-text with the private key using the equation:  $M = C^{t} Modn$ 

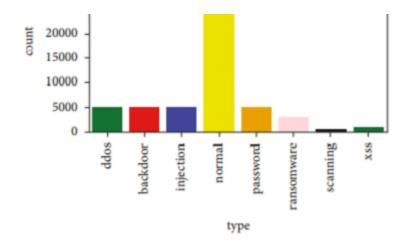
The equation is Block Size = (2 \* Key Size) - 1 (1) The values derived from the equation are used to partition the file into segments of varying key sizes. Due to the key size, the block size varies, resulting in the generation of blocks with varying sizes for files of the same size.

## 5. RESULTS AND DISCUSSIONS

The efficacy of the suggested approach was assessed using tables and graphical representations, using the following measures.







The comprehensive results of the assault detection simulation are shown in <u>figure 7</u>. The effectiveness of the suggested approach is measured by calculating several performance measures, including Accuracy, Sensitivity, and F-Measure.

#### Accuracy

The Accuracy A<sub>i</sub> depends on the number of intrusions that are classified correctly and is evaluated by the formula

= TP+TN+FP+FN/ TP+TN (20)

where FN-False Negative, FP-False Positive, TN-True Negative and TP-True Positive,

#### Sensitivity

Sensitivity quantifies the accuracy of accurately identifying affirmative cases, and is formally defined as

$$S_n = \frac{TP}{TP + TN} (21)$$

#### **F-Measure**

F-measure is obtained by combining precision and recall.

 $F - Score = \frac{2* prevision * recall}{precision + recall}$ (22)

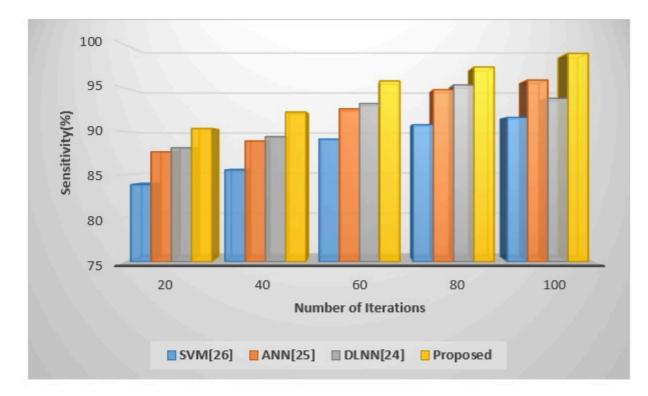
The sector compares the performances of the suggested classifier, which detects projected attacks on IoT exploitation, with the prevailing Support Vector Machine (SVM), ANN, and Deep Learning Neural Network (DLNN). The comparison is done separately based on sensitivity, accuracy, and F-Measures, and the results are presented in <u>Tables 1</u> to 4.

Table 1. Performance Comparison of Proposed and Existing Techniques in Terms of Sensitivity

Number of	SVM (Kim & Park,	ANN ( <u>Hodo et al.</u> ,	DLNN ( <u>Abdulaziz et al.</u> ,	Duranaaad
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Iterations	<u>2003</u> )	<u>2016</u> )	<u>2020</u> )	rroposed
20	83.870	87.650	88.120	90.3400
40	85.550	88.90	89.40	92.230
60	89.1200	92.6	93.20	95.80
80	90.77	94.800	95.340	97.40
100	91.600	95.90	93.80	98.970"

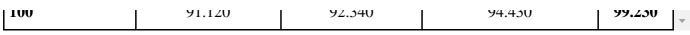
Figure 8. Sensitivity Analysis

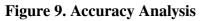


## Figure 8: Sensitivity analysis

Table 2. Performance Comparison of Proposed MANFIS and Existing Techniques in Terms ofAccuracy

Number of Iterations	SVM ( <u>Kim &amp; Park</u> , 2003)	ANN ( <u>Hodo et al.</u> , <u>2016</u> )	DLNN ( <u>Abdulaziz et al.</u> , <u>2020</u> )	Proposed
20	84.120	88.1200	89.30	92.120
40	86.230	89.870	90.340	93.450
60	88.340	92.830	93.230	95.620
80	89.130	94.760	95.380	97.230
100	01 100	00.040	04.400	





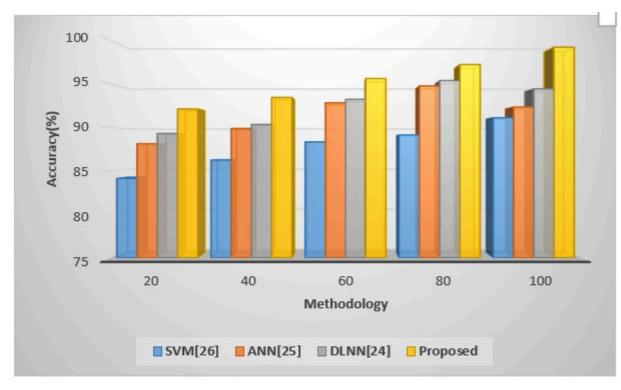


Figure 9: Accuracy analysis

Table 3. Performance Comparison of Proposed and Existing Techniques in Terms of F-Measure

Number of	SVM ( <u>Kim &amp; Park</u> ,	ANN ( <u>Hodo et al.</u> ,	DLNN ( <u>Abdulaziz et al.</u> ,	Proposed
Iterations	<u>2003</u> )	<u>2016</u> )	<u>2020</u> )	
	06 100	00 500	00.040	00 F/0

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