

رمزنگاری، امنیت اطلاعات و حریم خصوصى ارائه: دكتر سيدعلى لاجوردى بخش هشتم



# The public-key setting

# Review: private-key setting

- Two (or more) parties who wish to securely communicate share a uniform, secret key k in advance
- Same key k used for sending or receiving
  - Either party can send or receive
  - If multiple parties share a key, no way to distinguish them from based on the key
- Secrecy of k is critical
  - No security if attacker knows k

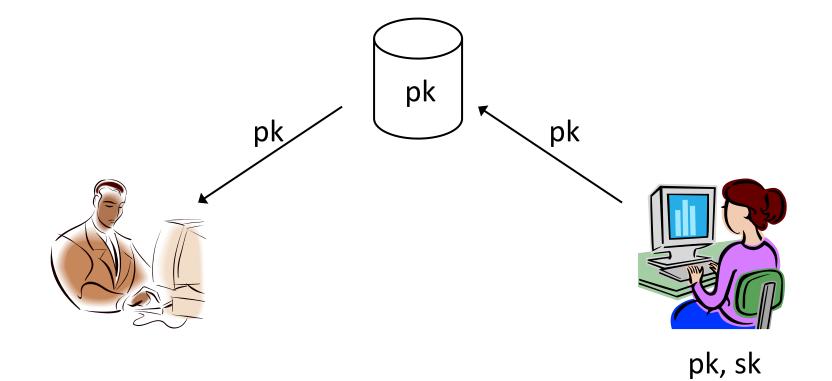


# The public-key setting

- One party generates a pair of keys: public key pk and private key sk
  - Public key is widely disseminated
  - Private key is kept secret, and shared with no one
- Private key used by the party who generated it; public key used by anyone else
  - Also called asymmetric cryptography
- Security must hold even if the attacker knows pk

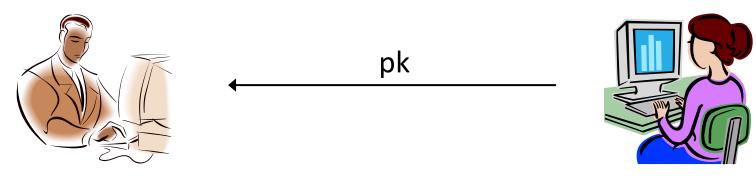


#### Public-key distribution I





#### Public-key distribution II







#### Public-key distribution

- Previous figures (implicitly) assume parties are able to obtain correct copies of each others' public keys
  - I.e., the attacker is passive during key distribution
- We will revisit this assumption later



# How does this address the drawbacks of private-key crypto...?

- Key distribution
  - Public keys can be distributed over public (but authenticated) channels
- Key management in system of N users
  - Each user stores 1 private key and N-1 public keys; only N keys overall
  - Public keys can be stored in a central, public directory
- Applicability to "open systems"
  - Even parties who have no prior relationship can find each others' public keys and use them



# Public-key vs. private-key crypto

- Note that public-key cryptography is strictly stronger than private-key cryptography
  - Parties who wish to securely communicate could each generate public/private keys and then share them with each other
  - Use appropriate key depending on who is sending or receiving



# Why study private-key crypto?

- Public-key crypto is roughly 2-3 orders of magnitude slower than private-key crypto
  - Also 2-10× higher communication
- Public-key cryptography requires stronger assumptions
- If private-key crypto is an option, better to use it!
- As we will see, private-key cryptography is used for efficiency even in the public-key setting



#### Primitives

	Private-key setting	Public-key setting
Secrecy	Private-key encryption	Public-key encryption
Integrity	Message authentication codes	Digital signature schemes



#### Public-key encryption pk pk С pk, sk pk $c \leftarrow Enc_{pk}(m)$ $m = Dec_{sk}(c)$



# Public-key encryption

- A public-key encryption scheme consists of three PPT algorithms:
  - Gen: key-generation algorithm that on input 1n outputs pk, sk
  - Enc: encryption algorithm that on input pk and a message m outputs a ciphertext c
  - Dec: decryption algorithm that on input sk and a ciphertext c outputs a message m or an error  $\bot$

For all m and pk, sk output by Gen, Dec<sub>sk</sub>(Enc<sub>pk</sub>(m)) = m



#### CPA-security

- Fix a public-key encryption scheme  $\Pi$  and an adversary A
- Define experiment PubK-CPAA,  $\Pi(n)$ :
  - Run Gen(1n) to get keys pk, sk
  - Give pk to A, who outputs (m0, m1) of same length
  - Choose uniform b ∈ {0,1} and compute the ciphertext c ← Encpk(mb); give c to A
  - A outputs a guess b', and the experiment evaluates to 1 if b'=b
- Public-key encryption scheme  $\Pi$  is CPA-secure if for all PPT adversaries A:

 $Pr[PubK-CPAA, \Pi(n) = 1] \le \frac{1}{2} + negl(n)$ 

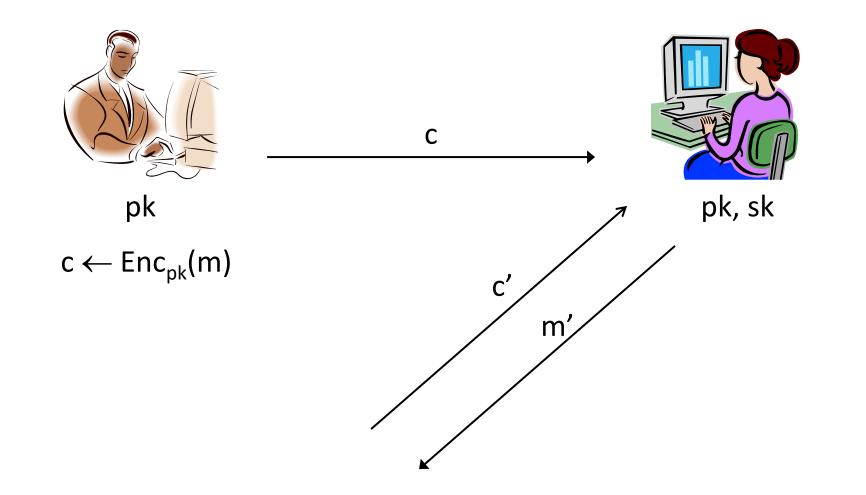


#### Notes on the definition

- No encryption oracle?!
  - Encryption oracle redundant in public-key setting
- $\Rightarrow$  No perfectly secret public-key encryption
- No deterministic public-key encryption scheme can be CPA-secure
- CPA-security implies security for encrypting multiple messages (as in the private-key case)



#### Chosen-ciphertext attacks





# Chosen-ciphertext attacks

- Chosen-ciphertext attacks are arguably even a greater concern in the public-key setting
  - Attacker might be a legitimate sender
  - Easier for attacker to obtain full decryptions of ciphertexts of its choice
- Related concern: malleability
  - I.e., given a ciphertext c that is the encryption of an unknown message m, might be possible to produce ciphertext c' that decrypts to a related message m'
  - This is also undesirable in the public-key setting
- Can define CCA-security for public-key encryption by analogy to the definition for private-key encryption





# Hybrid encryption and KEMs

# Encrypting long messages

- Public-key encryption schemes "natively" defined for "short" messages
- How can longer messages be encrypted?



# Encrypting long messages

- Can always encrypt block-by-block
  - I.e., to encrypt M =  $m_1$ ,  $m_2$ , ...,  $m_\ell$ , do: Enc<sub>pk</sub>( $m_1$ ), ..., Enc<sub>pk</sub>( $m_\ell$ )
- If the underlying scheme is CPA-secure (for short messages), then this is CPA-secure (for arbitrary length messages)
  - Why?



#### Note

- (Public-key) encryption is NOT a block cipher
  - F<sub>k</sub> is deterministic, one-to-one, and looks random
  - Enc<sub>pk</sub> is randomized and not one-to-one (if it is CPA-secure), and may not look random
  - ⇒ CTR-mode/CBC-mode don't make sense for public-key encryption Also may not be secure...
  - "ECB mode" is secure for public-key encryption
    - Because underlying scheme is randomized



# Encrypting long messages

- Encrypting block-by-block is inefficient
  - Ciphertext expansion in each block
  - Public-key encryption is "expensive"
- Can we do better?



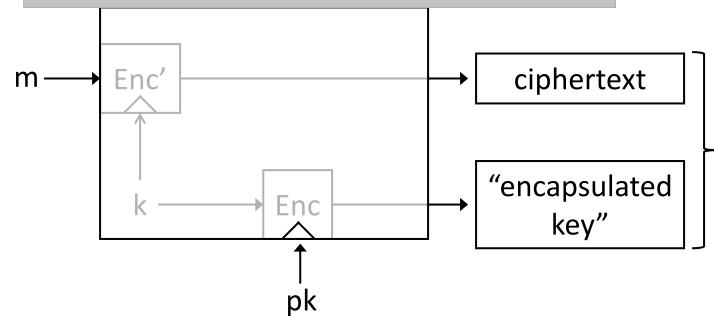
# Hybrid encryption

- Main idea
  - Use public-key encryption to establish a (shared, secret) key k
  - Use k to encrypt the message *with a symmetric-key encryption scheme*
- Benefits
  - Lower ciphertext expansion
  - Amortized efficiency of symmetric-key encryption



# Hybrid encryption

Decryption done in the obvious way



The *functionality* of public-key encryption with the (asymptotic) *efficiency* of private-key encryption!



# Formally

- Let  $\Pi$  be a public-key scheme, and let  $\Pi'$  be a symmetric-key scheme
- Define  $\Pi_{\text{hy}}$  as follows:
  - $Gen_{hy} = Gen$  (i.e., same as  $\Pi$ )
  - Enc<sub>hy</sub>(pk, m):
    - Choose  $k \leftarrow \{0,1\}^n$
    - $c \leftarrow Enc_{pk}(k)$
    - c'  $\leftarrow$  Enc'<sub>k</sub>(m)
    - Output c, c'
  - Decryption done in the natural way...



# Security of hybrid encryption

- If  $\Pi$  is a CPA-secure public-key scheme, and  $\Pi'$  is a CPA-secure private-key scheme, then  $\Pi_{\rm hv}$  is a CPA-secure public-key scheme
  - In fact, suffices for  $\Pi'$  to be EAV-secure
- If  $\Pi$  is a CCA-secure public-key scheme, and  $\Pi'$  is a CCA-secure private-key scheme, then  $\Pi_{\rm hv}$  is a CCA-secure public-key scheme

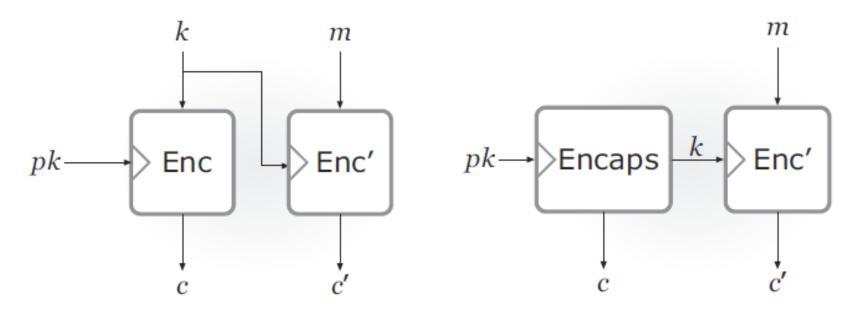


# KEM/DEM paradigm

- For hybrid encryption, something weaker than public-key encryption suffices
- Sufficient to have a "key encapsulation mechanism" (KEM) that takes a public key and outputs a ciphertext c and a key k
  - Correctness: k can be recovered from c given sk
  - Security: k is indistinguishable from uniform given pk and c; can formally define CPA-/CCA-security
- Can still combine with symmetric-key encryption (DEM) as before!



# **KEM/DEM** paradigm



Hybrid encryption

KEM/DEM



# Security of KEM/DEM

- If Π is a CPA-secure KEM, and Π' is a CPA-secure private-key scheme, then combination is a CPA-secure public-key scheme
  - Suffices for  $\Pi'$  to be EAV-secure
- If Π is a CCA-secure KEM, and Π' is a CCA-secure private-key scheme, then combination is a CCA-secure public-key scheme



#### KEMs vs. PKE schemes

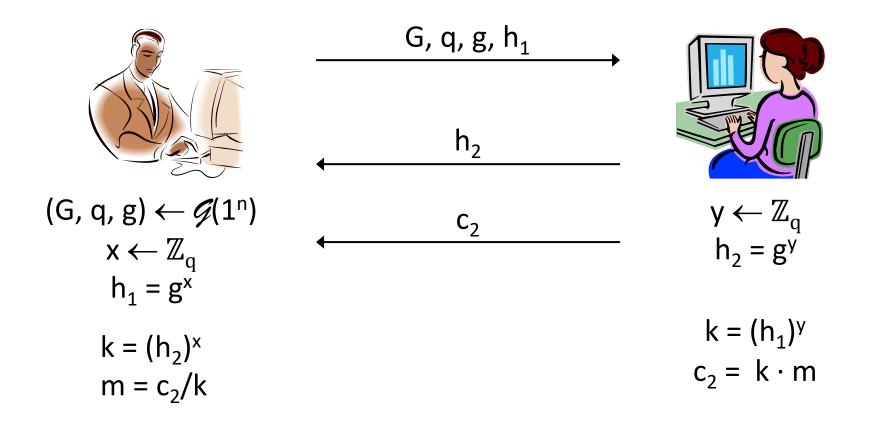
- For short messages, direct encryption using a PKE scheme (with no hybrid encryption) can sometimes be the best choice
- For anything longer, KEM/DEM or hybrid encryption will be more efficient
  - This is how things are done in practice (unless very short messages are being encrypted)





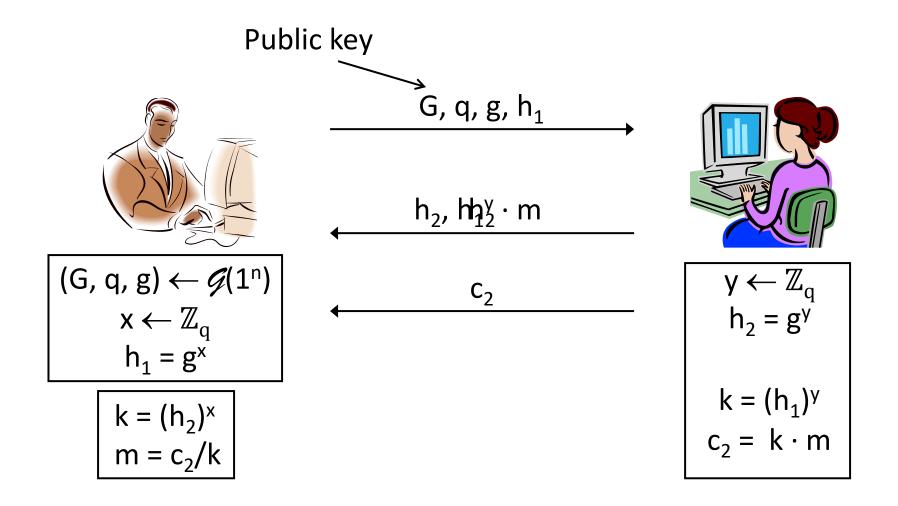
# **Dlog-based PKE**

#### Diffie-Hellman key exchange





#### **El Gamal encryption**





# El Gamal encryption

- Gen(1<sup>n</sup>)
  - Run  $\mathscr{G}(1^n)$  to obtain G, q, g. Choose uniform  $x \in \mathbb{Z}_{q}$ . The public key is (G, q, g,  $g^x$ ) and the private key is x
- $Enc_{pk}(m)$ , where pk = (G, q, g, h) and  $m \in G$ 
  - Choose uniform  $y \in \mathbb{Z}_{q}$ . The ciphertext is  $g^{y}$ ,  $h^{y} \cdot m$
- $Dec_{sk}(c_1, c_2)$ , where sk = x
  - Output  $c_2/c_1^x = c_2 \cdot c_1^{-x}$



# Security?

- If the DDH assumption is hard for *g*, then the El Gamal encryption scheme is CPA-secure
  - Follows from security of Diffie-Hellman key exchange, or can be proved directly
  - Note that the discrete-logarithm assumption alone is not enough here

⇒ Secure for encryption of multiple messages (using the same public key)!

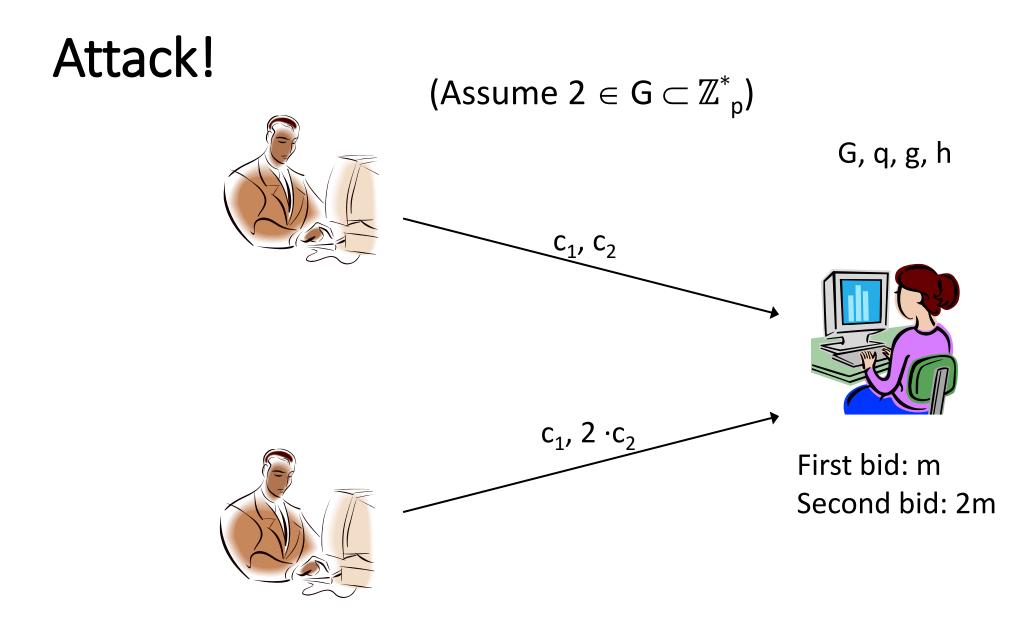
• Note that sender(s) must use fresh randomness for each encryption



#### Chosen-ciphertext attacks?

- El Gamal encryption is *not* secure against chosen-ciphertext attacks
  - Follows from the fact that it is *malleable*
- Given ciphertext ( $c_1$ ,  $c_2$ ), transform it to obtain the ciphertext ( $c_1$ ,  $c'_2$ ) = ( $c_1$ ,  $\alpha \cdot c_2$ ) for arbitrary  $\alpha$ 
  - Since  $(c_1, c_2) = (g^y, h^y \cdot m),$ we have  $(c_1, c_2') = (g^y, h^y \cdot (\alpha m))$
  - I.e., encryption of m becomes an encryption of  $\alpha$ m!







#### El Gamal in practice

- Parameters G, q, g are standardized and shared
- Need to encode message as a group element
  - In some groups, there are natural ways to do this
  - In other cases, not as easy
  - Can avoid this if using El Gamal as a KEM!



# Hybrid encryption with El Gamal?

- To use hybrid encryption with El Gamal, would need to encode key k as a group element
  - Can we avoid this?
- The sender doesn't care about encrypting a *specific* key, it just needs to send a random key
  - Idea: encrypt a random group element K; define the key as k = H(K)



# KEM based on El Gamal

- Gen(1<sup>n</sup>)
  - Run 𝒢(1<sup>n</sup>) to obtain G, q, g. Choose uniform x∈Z<sub>q.</sub> The public key is (G, q, g, g<sup>x</sup>) and the private key is x
- Ecaps<sub>pk</sub>, where pk = (G, q, g, h)
  - Choose uniform  $y \in \mathbb{Z}_{q.}$  The ciphertext is  $g^{y}$ , and the key is  $k = H(h^{y})$
- Decaps<sub>sk</sub>(c), where sk = x
  - Output k = H(c<sup>x</sup>)



# Security?

• If the DDH assumption holds, and H is modeled as a random oracle, then this KEM is CPA-secure



#### Complete scheme

- Combine the KEM with private-key encryption
- I.e., encryption of message m is g<sup>y</sup>, Enc'<sub>k</sub>(m), where k = H(h<sup>y</sup>) and Enc' is a symmetric-key encryption scheme (e.g., CTR-mode)
  - If Enc' is CPA-secure, DDH assumptions holds, and H is modeled as a random oracle, this is a CPA-secure public-key encryption scheme



#### Chosen-ciphertext security

- Under stronger assumptions, this approach can be proven to give CCA security
  - If Enc' is a CCA-secure symmetric-key scheme
- Can at least see why the previous attack no longer works
- Standardized as DHIES/ECIES





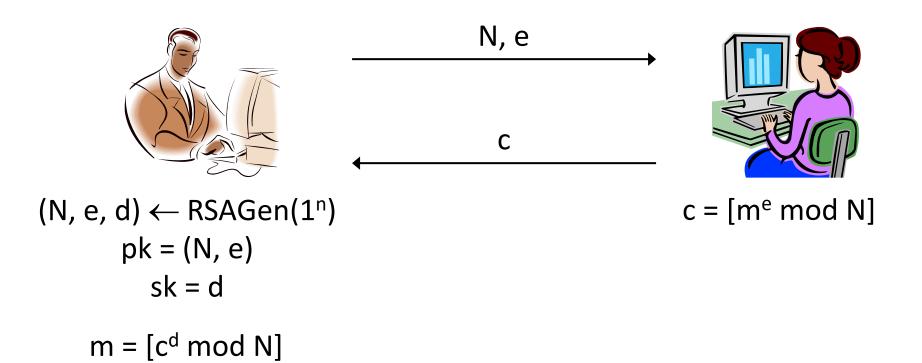
# **RSA-based PKE**

#### Recall...

- Let p, q be random, equal-length primes
- Compute modulus N=pq
- Choose e, d such that  $e \cdot d = 1 \mod \phi(N)$
- The  $e^{th}$  root of x modulo N is  $[x^d \mod N]$ 
  - I.e., easy to compute given p, q (or d)
- *RSA assumption*: given N, e <u>only</u>, it is hard to compute the e<sup>th</sup> root of a uniform  $c \in \mathbb{Z}_{N}^{*}$



#### "Plain" RSA encryption





# Security?

- This scheme is *deterministic* 
  - Cannot be CPA-secure!
- RSA assumption only refers to hardness of computing the e<sup>th</sup> root of a uniform c
  - c is not uniform unless m is
  - Why would m be uniform?
  - Easy to compute e<sup>th</sup> root of c = [m<sup>e</sup> mod N] when m is small
- RSA assumption only refers to hardness of computing the e<sup>th</sup> root of c in its entirety
  - *Partial* information about the e<sup>th</sup> root may be leaked
  - (In fact, this is the case)



#### Chosen-ciphertext attacks

- Of course, plain RSA cannot be CCA-secure since it is not even CPAsecure...
  - ...but chosen-ciphertext attacks are devastating
- Given ciphertext c for unknown message m, can compute c' = [ $\alpha^e \cdot c \mod N$ ]
  - What does this decrypt to?



#### How to fix plain RSA?

- One approach: use a *randomized* encoding
- I.e., to encrypt m
  - First compute some reversible, randomized mapping M ← Encode(m)
  - Then set c := [M<sup>e</sup> mod N]
- To decrypt c
  - Compute M := [c<sup>d</sup> mod N]
  - Recover m from M



#### PKCS #1 v1.5

- Standard issued by RSA labs in 1993
- Idea: introduce random padding
  - Encode(m) = r | m
- I.e., to encrypt m
  - Choose random r
  - Compute the ciphertext c := [ (r | m)e mod N]
- Issues:
  - No proof of CPA-security (unless m is very short)
  - Chosen-plaintext attacks are known if r is too short
  - Chosen-ciphertext attacks are still possible

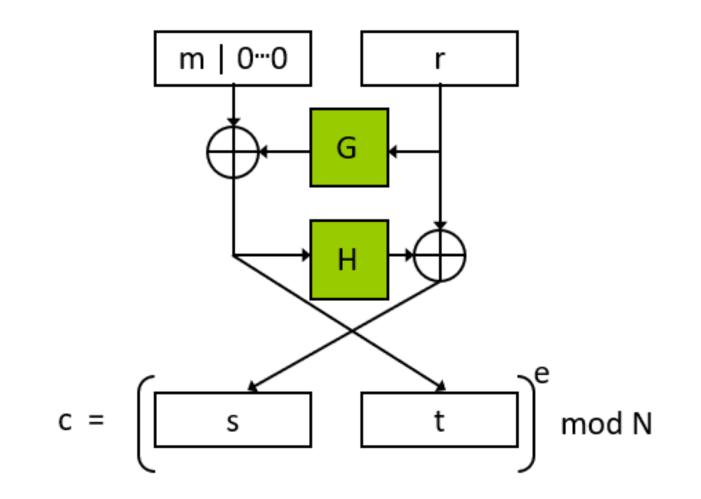


#### PKCS #1 v2.0

- Optimal asymmetric encryption padding (OAEP) applied to message first
- This padding introduces redundancy, so that not every  $c \in \mathbb{Z}^*_{\ N}$  is a valid ciphertext
  - Need to check for proper format upon decryption
  - Return error if not properly formatted



#### OAEP





#### Security?

- RSA-OAEP can be proven CCA-secure under the RSA assumption, if G and H are modeled as random oracles
- Widely used in practice...



#### **RSA-based KEM**

- Idea: use plain RSA as before... ...but on a random value!
- Then use that random value to derive a key



#### **RSA-based KEM**

- Encaps:
  - Choose uniform  $r \in \mathbb{Z}_{-N}^{*}$
  - Ciphertext is c = [r<sup>e</sup> mod N]
  - Key is k = H(r)
- Decaps(c)
  - Compute r = [c<sup>d</sup> mod N]
  - Compute the shared key k = H(r)



# Security?

• This is CCA-secure under the RSA assumption, if H is modeled as a random oracle



#### Comparison to RSA-OAEP?

- The RSA-KEM must be used with a symmetric-key encryption scheme
- For very short messages (< 1500 bits), RSA-OAEP will have shorter ciphertexts
- For anything longer, ciphertexts will be the same length; RSA-KEM is simpler



# **PKE** in practice

- What is the best way to encrypt a 1MB file?
  - Use 1MB parameters?
  - Use 1000-bit parameters; encrypt file in chunks
  - Use hybrid encryption/KEM-DEM approach



# PKE in practice

- Current recommended parameters:
  - RSA-based schemes: ≈2000-bit modulus N
  - Dlog, order-q subgroup of  $\mathbb{Z}_{p}^{*}$ :  $\|q\| \approx 256$ ,  $\|p\| \approx 2000$
  - Dlog, order-q elliptic-curve group: ||q||≈256; group elements require ≈256 bits

